

Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)

**RECORDATION FORM COVER SHEET
TRADEMARKS ONLY**

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings =>=>=>

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rogers, Casey & Associates, Inc.

Individual(s) Association

General Partnership Limited Partnership

Corporation-State Delaware

Other _____

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Barra Rogers Casey, Inc.

Internal _____

Address: _____

Street Address: One Parklands Drive

City: Darien State: CT Zip: 06820

Individual(s) citizenship _____

Association _____

General Partnership _____

Limited Partnership _____

Corporation-State Delaware

Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Date: June 29, 1999

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

Additional number(s) attached Yes No

B. Trademark Registration No.(s)

2,088,069

2,005,436

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Becky L. Troutman

Internal Address: Thelen Reid & Priest LLP

Street Address: P.O. Box 190187

City: San Francisco State: CA Zip: 94119

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41)..... \$ 65.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

500918

DO NOT USE THIS SPACE

9. Signature.

Becky L. Troutman *Becky L. Troutman* March 19, 2003

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington D.C. 20231

State of Delaware
Office of the Secretary of State PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ROGERS, CASEY & ASSOCIATES, INC.", A DELAWARE CORPORATION, WITH AND INTO "BARRA ROGERSCASEY, INC." UNDER THE NAME OF "BARRA ROGERSCASEY, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE SEVENTH DAY OF SEPTEMBER, A.D. 1999, AT 12:30 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Edward J. Freel

Edward J. Freel, Secretary of State

2187506 8100M

991373283

AUTHENTICATION: 9958010

DATE: 09-07-99

TRADEMARK
REEL: 002620 FRAME: 0069

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 12:30 PM 09/07/1999
991373283 - 2187506

CERTIFICATE OF MERGER

MERGING

ROGERS, CASEY & ASSOCIATES, INC.

WITH AND INTO

BARRA ROGERSCASEY, INC.

It is hereby certified that:

1. The constituent business corporations participating in the merger herein certified are:

(i) Rogers, Casey & Associates, Inc., which is incorporated under the laws of the State of Delaware; and

(ii) BARRA RogersCasey, Inc., which is incorporated under the laws of the State of Delaware.

2. An Agreement and Plan of Merger for the merger herein certified has been approved, adopted, certified, executed and acknowledged by each of the aforesaid constituent corporations in accordance with the provisions of subsections (b) and (c) of Section 251 of the General Corporation Law of the State of Delaware.

3. The name of the surviving corporation in the merger herein certified is BARRA RogersCasey, Inc.

4. The certificate of incorporation of BARRA RogersCasey, Inc. as in effect immediately prior to the effective date of the merger herein certified shall continue in full force and effect as the certificate of incorporation of the BARRA RogersCasey, Inc. until duly amended in accordance with the provisions thereof and the General Corporation Law of the State of Delaware.

5. The executed Agreement and Plan of Merger for the merger herein certified is on file at the principal place of business of the aforesaid surviving corporation, the address of which is as follows:

BARRA RogersCasey, Inc.
One Parklands Drive
Darien, Connecticut 06820

6. A copy of the aforesaid Agreement and Plan of Merger will be furnished by the aforesaid surviving corporation, on request and without cost, to any stockholder of any of the aforesaid constituent corporations.

7. The merger herein certified shall become effective upon the filing of this Certificate of Merger with the Secretary of State of the State of Delaware.

IN WITNESS WHEREOF, BARRA RogersCasey, Inc. has caused this Certificate of Merger to be executed in its corporate name this 29 day of June, 1999.

BARRA ROGERSCASEY, INC.

By: D. Kamal Duggirala

Name: Kamal Duggirala

Title: President

Thelen Reid & Priest LLP
Attorneys At Law

101 Second Street, Suite 1800
San Francisco, CA 94105-3606
Tel. 415.371.1200
Fax 415.371.1211
www.thelenreid.com

F A X C O V E R P A G E

**TO: Commissioner Patents
and Trademarks,
BOX ASSIGNMENTS**

**FAX: 703.306.5995
PHONE:**

DATE: March 19, 2003

FROM: Becky L. Troutman

**FAX: 415.369.8731
PHONE: 415.369.7367
E-MAIL: btroutman@thelenreid.com**

**TOTAL PAGES: 7
(including cover page)**

V I A F A X O N L Y

In case of a problem with this transmission, please call the **Fax Operator at 415.369.7159**

JOB #	ATTORNEY #	CLIENT-MATTER	RETURN TO	ROOM #
	3430	031331.000004	Sharon Royce	23-48

IMPORTANT: This fax transmission is intended only for the addressee. It contains information from the law firm of **Thelen Reid & Priest LLP** which may be privileged, confidential and exempt from disclosure under applicable law. Dissemination, distribution, or copying of this by anyone other than the addressee or the addressee's agent is strictly prohibited. If this transmission is received in error, please notify Thelen Reid & Priest LLP immediately at the telephone number indicated above. We will reimburse your costs incurred in connection with this erroneous transmission and your return of these materials. **THANK YOU**

Thelen Reid & Priest LLP
Attorneys At Law

101 Second Street, Suite 1800
San Francisco, CA 94105-3606

Tel. 415.371.1200
Fax 415.371.1211

www.thelenreid.com

Becky L. Troutman
415.369.7367 Direct Dial
415.369.8731 Direct Fax
btroutman@thelenreid.com

March 19, 2003

VIA FACSIMILE: 703-306-5995

Commissioner Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Re: **U.S. Trademark Registration Nos. 2,088,069 and 2,005,346**
Mark: QSUM; INVESTWORKS

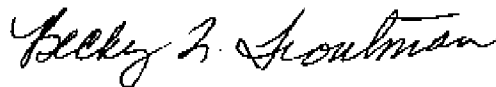
Sir:

The following documents are enclosed for recordation against the captioned trademark registrations:

- 1) Recordation Form Coversheet (in duplicate); and
- 3) Certificate of Merger of Rogers, Casey & Associates, Inc. with and into Barra Rogers Casey, Inc.

The \$65 recordation fee is authorized to be charged to Deposit Account Number 500918.

Sincerely,



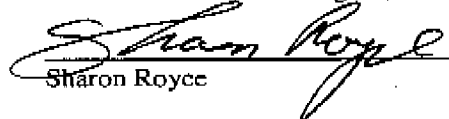
Becky L. Troutman

BLT:srt
Enclosure

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the enclosed recordation form cover sheet, in duplicate, and Certificate of Merger are being transmitted via facsimile, fax number 703-306-5995, on the date indicated above and addressed to: Commissioner for Patents and Trademarks, BOX ASSIGNMENTS, Washington, DC 20231.

Mar 19, 2003
Date


Sharon Royce

TRADEMARK SA 21693 v1

REEL: 002620 FRAME: 0073

Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)

**RECORDATION FORM COVER SHEET
TRADEMARKS ONLY**

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings => => =>

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rogers, Casey & Associates, Inc.

Individual(s) Association
 General Partnership Limited Partnership
 Corporation-State Delaware
 Other _____

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Barra Rogers Casey, Inc.
Internal
Address: _____

Street Address: One Parklands Drive
City: Darien State: CT Zip: 06820

Individual(s) citizenship _____
 Association _____
 General Partnership _____
 Limited Partnership _____
 Corporation-State Delaware
 Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: June 29, 1999

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

Additional number(s) attached Yes No

B. Trademark Registration No.(s)

2,088,069
2,005,436

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Becky L. Troutman
Internal Address: Thelen Reid & Priest LLP

Street Address: P.O. Box 190187

City: San Francisco State: CA Zip: 94119

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41)..... \$ 65.00

Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
_____ 500918 _____

DO NOT USE THIS SPACE

9. Signature.

Becky L. Troutman
Name of Person Signing
Becky L. Troutman
Signature
March 19, 2003
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington D.C. 20231