

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Waterhouse Securities, Inc.		09/20/1999	CORPORATION: NEW YORK

RECEIVING PARTY DATA

Name:	TD Waterhouse Investor Services, Inc.
Street Address:	100 Wall Street
City:	New York
State/Country:	NEW YORK
Postal Code:	10005
Entity Type:	CORPORATION: NEW YORK

PROPERTY NUMBERS Total: 8

Property Type	Number
Registration Number:	2462985
Registration Number:	2290085
Registration Number:	2253020
Registration Number:	2102549
Registration Number:	2082573
Registration Number:	1743537
Registration Number:	1766912
Registration Number:	1754178

CORRESPONDENCE DATA

Fax Number: (212)818-9606

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: (212) 818-9200

OP \$215.00 2462985

Email: lmcquade@ssbb.com  
Correspondent Name: Laura McQuade  
Address Line 1: 230 Park Avenue  
Address Line 4: New York, NEW YORK 10169

NAME OF SUBMITTER:

Laura McQuade

Total Attachments: 3

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N. Y. S. DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS AND STATE RECORDS

ALBANY, NY 12231-0001

FILING RECEIPT

ENTITY NAME: TD WATERHOUSE INVESTOR SERVICES, INC.

DOCUMENT TYPE: CERTIFICATE OF AMENDMENT (DOM. BUS.)  
NAME

COUNTY: NEWY

SERVICE COMPANY: CT CORPORATION SYSTEM

SERVICE CODE: 07

FILED:09/20/1999 DURATION:\*\*\*\*\* CASH#:990920000900 FILM #:990920000885

ADDRESS FOR PROCESS

REGISTERED AGENT



FILER	FEES		PAYMENTS	
-----	-----	145.00	-----	145.00
WATERHOUSE INVESTOR SERVICES	FILING	60.00	CASH	0.00
100 WALL STREET	TAX	0.00	CHECK	145.00
NEW YORK, NY 10005	CERT	0.00	CHARGE	0.00
	COPIES	10.00	DRAWDOWN	0.00
	HANDLING	75.00	BILLED	0.00
			REFUND	0.00
			-----	

**State of New York**  
**Department of State** } *ss:*

*I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.*

*Witness my hand and seal of the Department of State on* SEP 21 1999



*Special Deputy Secretary of State*

DOS-1266 (5/96)

F 990920000885

CT-07

CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF INCORPORATION  
OF  
WATERHOUSE SECURITIES, INC.

CT-07

UNDER SECTION 805 OF THE BUSINESS CORPORATION LAW

DOES HEREBY CERTIFY:

FIRST: The name of the corporation is Waterhouse Securities, Inc.

SECOND: The date of its incorporation is September 29, 1978.

THIRD: Waterhouse Securities, Inc. is a corporation organized and existing under and by virtue of the Business Corporation Law of the State of New York.

FOURTH: That the Board of Directors of said corporation, at a meeting duly held, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation, by which amendment the name of the corporation shall be changed:

RESOLVED, that the Certificate of Incorporation of Waterhouse Securities, Inc. be amended by changing Article 1 thereof so that, as amended, said Article shall be and read as follows:

1. The name of the corporation is TD Waterhouse Investor Services, Inc.

FIFTH: That in lieu of a meeting and vote of stockholders, the stockholders have given unanimous written consent to said amendment in accordance with the provisions of Section 615 of the Business Corporation Law of the State of New York.

SIXTH: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 801 and 615 of the Business Corporation Law of the State of New York.

IN WITNESS WHEREOF, said Board of Directors has caused this certificate to be signed by Richard H. Neiman, its Secretary, this 20th day of September, 1999.

By: Richard H. Neiman  
Richard H. Neiman  
Secretary