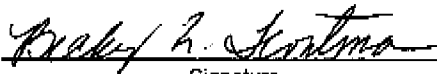


Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)	RECORDATION FORM COVER SHEET TRADEMARKS ONLY	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
Tab settings ⇒ ⇒ ⇒		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): Rogers, Casey & Associates, Inc. <input type="checkbox"/> Individual(s) <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Corporation-State <u>Delaware</u> <input type="checkbox"/> Other _____ Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>Barra Rogers Casey, Inc.</u> Internal _____ Address: _____ Street Address: <u>One Parklands Drive</u> City: <u>Darien</u> State: <u>CT</u> Zip: <u>06820</u> <input type="checkbox"/> Individual(s) citizenship _____ <input type="checkbox"/> Association _____ <input type="checkbox"/> General Partnership _____ <input type="checkbox"/> Limited Partnership _____ <input checked="" type="checkbox"/> Corporation-State <u>Delaware</u> <input type="checkbox"/> Other _____ <small>If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> Yes <input type="checkbox"/> No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</small>	
3. Nature of conveyance: <input type="checkbox"/> Assignment <input checked="" type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>June 29, 1999</u>	4. Application number(s) or registration number(s): A. Trademark Application No.(s) B. Trademark Registration No.(s) <u>2,088,069</u> <u>2,005,346</u> Additional number(s) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Beckly L. Troutman</u> Internal Address: <u>Thelen Reid & Priest LLP</u> Street Address: <u>P.O. Box 190187</u> City: <u>San Francisco</u> State: <u>CA</u> Zip: <u>94119</u>	6. Total number of applications and registrations involved: 2 7. Total fee (37 CFR 3.41)..... \$ <u>65.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>500918</u>	
DO NOT USE THIS SPACE		
9. Signature. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> <u>Becky L. Troutman</u> Name of Person Signing </div> <div style="width: 30%; text-align: center;">  Signature </div> <div style="width: 30%; text-align: right;"> <u>June 2003</u> Date </div> </div> <div style="text-align: center; margin-top: 10px;"> Total number of pages including cover sheet, attachments, and document: 5 </div>		

CH \$65.00 500918 2088069

 Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington D.C. 20231

Guidelines for Completing Trademarks Cover Sheets

OPR/ASSIGNMENTS
MAR-19-2003 16:45

3/21/03 8:48 PAGE 4/4

RightFAX

Thelen Reid & Priest SF

415 371 1211 23 P.04/07

03/19/2003

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Form PTO-1084
(Rev. 10/02)
OMB No. 0951-0027 (exp. 6/30/2005)

Tab settings → → →
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Rogers, Casey & Associates, Inc.

Individual(s) Association
 General Partnership Limited Partnership
 Corporation-State Delaware
 Other _____

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Berre Rogers Casey, Inc.
 Internal Address: _____
 Street Address: One Parklands Drive
 City: Darien State: CT Zip: 06820

Individual(s) citizenship _____
 Association _____
 General Partnership _____
 Limited Partnership _____
 Corporation-State Delaware
 Other _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
 (Designations must be a separate document from assignment)
 Additional name(s) & address(es) attached: Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: June 29, 1999

4. Application number(s) or registration number(s):
 A. Trademark Application No.(s)

 Additional number(s) attached: Yes No

B. Trademark Registration No.(s)
2,088,088
2,005,436

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Becky L. Troutman
 Internal Address: Thelen Reid & Priest LLP

 Street Address: P.O. Box 180167

 City: San Francisco State: CA Zip: 94119

6. Total number of applications and registrations involved: 2

7. Total fee (\$7 CFR 3.41)..... \$ 65.00
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
50091B

DO NOT USE THIS SPACE

9. Signature.

Becky L. Troutman Becky L. Troutman March 19, 2003
 Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents

5

Not designed to be recorded with required cover sheet information for
 Commissioner of Patents & Trademarks, Box Assignments
 Washington D.C. 20521

State of Delaware
Office of the Secretary of State PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ROGERS, CASEY & ASSOCIATES, INC.", A DELAWARE CORPORATION, WITH AND INTO "BARRA ROGERSCASEY, INC." UNDER THE NAME OF "BARRA ROGERSCASEY, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE SEVENTH DAY OF SEPTEMBER, A.D. 1999, AT 12:30 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



2187506 8100M

991373283

Edward J. Freel

Edward J. Freel, Secretary of State

AUTHENTICATION: 9958010

DATE: 09-07-99

TRADEMARK
REEL: 002668 FRAME: 0817

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 12:30 PM 09/07/1999
992373283 - 2187506

CERTIFICATE OF MERGER

MERGING

ROGERS, CASEY & ASSOCIATES, INC.

WITH AND INTO

BARRA ROGERSCASEY, INC.

It is hereby certified that:

1. The constituent business corporations participating in the merger herein certified are:

(i) Rogers, Casey & Associates, Inc., which is incorporated under the laws of the State of Delaware; and

(ii) BARRA RogersCasey, Inc., which is incorporated under the laws of the State of Delaware.

2. An Agreement and Plan of Merger for the merger herein certified has been approved, adopted, certified, executed and acknowledged by each of the aforesaid constituent corporations in accordance with the provisions of subsections (b) and (c) of Section 251 of the General Corporation Law of the State of Delaware.

3. The name of the surviving corporation in the merger herein certified is BARRA RogersCasey, Inc.

4. The certificate of incorporation of BARRA RogersCasey, Inc. as in effect immediately prior to the effective date of the merger herein certified shall continue in full force and effect as the certificate of incorporation of the BARRA RogersCasey, Inc. until duly amended in accordance with the provisions thereof and the General Corporation Law of the State of Delaware.

5. The executed Agreement and Plan of Merger for the merger herein certified is on file at the principal place of business of the aforesaid surviving corporation, the address of which is as follows:

BARRA RogersCasey, Inc.
One Parklands Drive
Darien, Connecticut 06820

6. A copy of the aforesaid Agreement and Plan of Merger will be furnished by the aforesaid surviving corporation, on request and without cost, to any stockholder of any of the aforesaid constituent corporations.

7. The merger herein certified shall become effective upon the filing of this Certificate of Merger with the Secretary of State of the State of Delaware.

IN WITNESS WHEREOF, BARRA RogersCasey, Inc. has caused this Certificate of Merger to be executed in its corporate name this 29 day of June, 1999.

BARRA ROGERSCASEY, INC.

By: D. Kamal Duggirala

Name: Kamal Duggirala

Title: President

OPR/ASSIGNMENTS 3/21/03 8:48 PAGE 2/4 RightFAX



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
 ASSISTANT SECRETARY AND COMMISSIONER
 OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

MARCH 21, 2003

PTAS

THELEN REID & PRIEST LLP
 BECKY L. TROUTMAN
 P.O. BOX 190187
 SAN FRANCISCO, CA 94119

700026353A***700026353A***

UNITED STATES PATENT AND TRADEMARK OFFICE
 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/19/2003

REEL/FRAME: 002620/0068
NUMBER OF PAGES: 7

BRIEF: MERGER

ASSIGNOR:
ROGERS, CASEY & ASSOCIATES, INC.DOC DATE: 06/29/1999
CITIZENSHIP: DELAWARE
ENTITY: CORPORATIONASSIGNEE:
BARRA ROGERS CASEY, INC.
ONE PARKLANDS DRIVE
DARIEN, CONNECTICUT 06820CITIZENSHIP: DELAWARE
ENTITY: CORPORATIONAPPLICATION NUMBER: 74672747
REGISTRATION NUMBER: 2088069FILING DATE: 05/11/1995
ISSUE DATE: 08/12/1997MARK: Q SUM
DRAWING TYPE: STYLIZED WORDS, LETTERS, OR NUMBERSAPPLICATION NUMBER: 75042671
REGISTRATION NUMBER: 2005436FILING DATE: 12/28/1995
ISSUE DATE: 10/01/1996MARK: FLOWER GARDENS
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

TRADEMARK
 REEL: 002668 FRAME: 0820

OPR/ASSIGNMENTS 3/21/03 8:48 PAGE 3/4 RightFAX

002620/0068 PAGE 2

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Thelen Reid & Priest LLP*Attorneys At Law*101 Second Street, Suite 1800
San Francisco, CA 94105-3608

Tel. 415.371.1200

Fax 415.371.1211

www.thelenreid.com

F A X C O V E R P A G ETO: **Commissioner Patents
and Trademarks,
BOX ASSIGNMENTS**

FAX: 703.306.5995

DATE: June 16, 2003

PHONE:

FROM: Becky L. Troutman

FAX: 415.369.8731

TOTAL PAGES: 10
(including cover page)

PHONE: 415.369.7367

E-MAIL: btroutman@thelenreid.com

VIA FAX ONLYIn case of a problem with this transmission, please call the **Fax Operator at 415.369.7159**

JOB #	ATTORNEY #	CLIENT-MATTER	RETURN TO	ROOM #
	3430	033955.000002	Sharon Royce	23-48

IMPORTANT: This fax transmission is intended only for the addressee. It contains information from the law firm of **Thelen Reid & Priest LLP** which may be privileged, confidential and exempt from disclosure under applicable law. Dissemination, distribution, or copying of this by anyone other than the addressee or the addressee's agent is strictly prohibited. If this transmission is received in error, please notify Thelen Reid & Priest LLP immediately at the telephone number indicated above. We will reimburse your costs incurred in connection with this erroneous transmission and your return of these materials. **THANK YOU.**

\\SF01\USER1\HOME\ASROYCE\Public\TMs-fax.doc

TRADEMARK**REEL: 002668 FRAME: 0822**

Thelen Reid & Priest LLP
Attorneys At Law

Becky L. Troutman
415.369.7367 Direct Dial
415.369.8731 Direct Fax
btroutman@thelenreid.com

101 Second Street, Suite 1800
San Francisco, CA 94105-3606
Tel. 415.371.1200
Fax 415.371.1211
www.thelenreid.com

June 16, 2003

VIA FACSIMILE: 703-306-5995

Commissioner Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

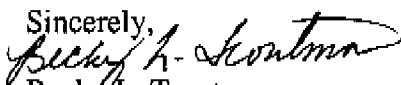
Re: Registration No. 2,005,346
Mark: INVESTWORKS
Request for Correction of Recordation Coversheet

Dear Commissioner Trademarks:

A Recordation Form Cover Sheet and Certificate of Merger of Rogers, Casey & Associates, Inc. with and into Barra Rogers Casey, Inc. was submitted for recordation on March 19, 2003, and recorded at Reel 2620, Frame 68. The original Recordation Form Cover Sheet contained a typographical error, listing Registration No. 2,005,346 for INVESTWORKS as 2,005,436. The correct registration number was listed in the transmittal letter for the recordation. The following documents are enclosed to correct this error:

- 1) Corrected Recordation Form Cover Sheet (in duplicate); and
- 2) Original transmittal letter, recorded Recordation Form Cover Sheet and copy of Certificate of Merger of Rogers, Casey & Associates, Inc. with and into Barra Rogers Casey, Inc.

The \$40 recordation fee is authorized to be charged to Deposit Account Number 500918.

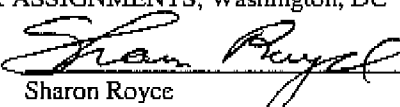
Sincerely,

Becky L. Troutman

BLT:srr
Enclosure

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the enclosed recordation form cover sheet, in duplicate, and Certificate of Merger are being transmitted via facsimile, fax number 703-306-5995, on the date indicated above and addressed to: Commissioner for Patents and Trademarks, BOX ASSIGNMENTS, Washington, DC 20231.

6-16-03
Date


Sharon Royce

TRADEMARK 36693 v1

Thelen Reid & Priest LLP
Attorneys At Law

101 Second Street, Suite 1800
San Francisco, CA 94105-3806

Tel. 415.371.1200
Fax 415.371.1211
www.thelenreid.com

Becky L. Troutman
415.369.7367 Direct Dial
415.369.8731 Direct Fax
btroutman@thelenreid.com

March 19, 2003

VIA FACSIMILE: 703-306-5995

Commissioner Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Re: **U.S. Trademark Registration Nos. 2,088,069 and 2,005,346**
Mark: QSUM; INVESTWORKS

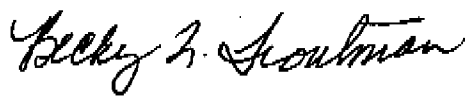
Sir:

The following documents are enclosed for recordation against the captioned trademark registrations:

- 1) Recordation Form Coversheet (in duplicate); and
- 3) Certificate of Merger of Rogers, Casey & Associates, Inc. with and into Barra Rogers Casey, Inc.

The \$65 recordation fee is authorized to be charged to Deposit Account Number 500918.

Sincerely,



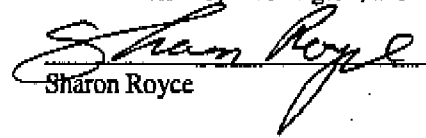
Becky L. Troutman

BLT:srr
Enclosure

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the enclosed recordation form cover sheet, in duplicate, and Certificate of Merger are being transmitted via facsimile, fax number 703-306-5995, on the date indicated above and addressed to: Commissioner for Patents and Trademarks, BOX ASSIGNMENTS, Washington, DC 20231.

Mar 19, 2003
Date


Sharon Royce

TRADEMARK 736693 v1