

4/2/04

04-07-2004

Form PTO-1594  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

*SLI Holdings International, LLC*

- Individual(s)
- General Partnership
- Corporation-State
- Other *Limited Liability Company*
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Execution Date: *MARCH 18, 2004*

2. Name and address of receiving party(ies)

Name: *Chicago Miniature Optoelectronic  
Internal Technologies, Inc.*  
Address: \_\_\_\_\_

Street Address: *147 Central Ave.*  
City: *HACKENSACK* State: *NJ* Zip: *07601*

- Individual(s) citizenship
- Association
- General Partnership
- Limited Partnership
- Corporation-State *DELAWARE*
- Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

OFFICE OF PATENT RECORDS  
FINANCE SECTION  
2004 APR 12 PM 2:36

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

*2,919,671*

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: *Chicago Miniature Optoelectronic  
Technologies, Inc.*  
Internal Address: *Attn: President*

Street Address: *147 Central Ave*  
City: *HACKENSACK* State: *NJ* Zip: *07601*

6. Total number of applications and registrations involved: \_\_\_\_\_

*1*

7. Total fee (37 CFR 3.41).....\$ *40.00*

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*Stephen N. Cummings*  
Name of Person Signing \_\_\_\_\_ Signature \_\_\_\_\_

*29 March 2004*  
Date \_\_\_\_\_

Total number of pages including cover sheet, attachments, and document: **5**

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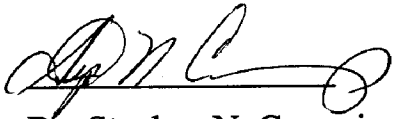
Mall documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

TRADEMARK  
REEL: 002944 FRAME: 0664

**CERTIFICATION**

I, Stephen N. Cummings, Vice President of SLI Holdings International, LLC do hereby certify that the attachment hereto is a true and correct copy of the assignment dated 24 March 2004 by SLI Holdings International, LLC to Chicago Miniature Optoelectronic Technologies, Inc. of the trademark PROVISION.

Signed this 24 day of March 2004:



By: Stephen N. Cummings

Secretary

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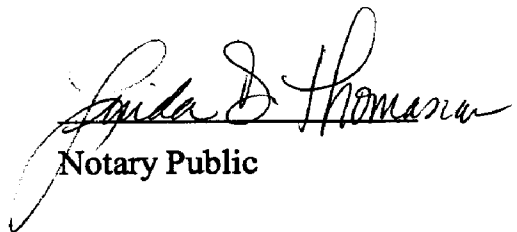
I, Linda Thomasian, a Notary Public, certify that before me personally appeared Stephen N. Cummings personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

County of Bergen

State of New Jersey

Singed in Hackensack, NJ on this 24 day of March 2004.

Sworn to and subscribed  
before me this  
24 day of March, 2004



Notary Public

**LINDA D. THOMASIAN**  
**NOTARY PUBLIC OF NEW JERSEY**  
Commission Expires 9/6/2005

Notary Seal

**TRADEMARK**  
**REEL: 002944 FRAME: 0665**

## ASSIGNMENT

WHEREAS, SLI, Inc., a corporation organized under the laws of the State of Oklahoma, United States of America, and having a principal place of business at 500 Chapman Street, Massachusetts, is the owner of the entire right, title and interest in and to United States trademark registration 2,419,671 registered on January 9, 2001 for the trademark PROVISION (hereinafter referred to as the "Trademark Rights"); and

WHEREAS, on July 1, 2003, SLI, Inc. was converted into SLI Holdings International, LLC ("Holdings"), a limited liability company formed under the laws of the State of Delaware; and

WHEREAS, Holdings is the successor in interest to the Trademark Rights; and

WHEREAS, CHICAGO MINIAUTRE OPTOELECTRONIC TECHNOLOGIES, INC., a corporation organized under the laws of the State of Delaware, United States of America, and having a principal place of business at 147 Central Avenue, Hackensack, NJ 07601, is desirous of acquiring Holdings' entire right, title and interest in and to said Trademark Rights;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Holdings has sold and by these presents does hereby sell, assign, transfer and convey unto the said CHICAGO MINIATURE OPTOELECTRONIC TECHNOLOGIES, INC., its successors, assigns and legal representatives, *nunc pro tunc* as of December 31, 2000, the entire right, title and interest in and to Said Trademark Rights, together with the goodwill of the business symbolized by said Trademark Rights and all rights of action against past infringement thereof, the same to be held and enjoyed by said CHICAGO MINIATURE OPTOELECTRONIC TECHNOLOGIES, INC., its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by said Holdings if this Assignment and sale had not been made.

Holdings undertakes to execute or procure the execution of any and all future Assignments, or other instruments, which may be necessary to perfect, and evidence CHICAGO MINIATURE OPTOELECTRONIC TECHNOLOGIES, INC. 's ownership of the property and rights herein assigned.

IN WITNESS WHEREOF, Holdings, intending to be legally bound, has caused these presents to be executed and sealed, this 24 day of March 2004.

SLI Holdings International, LLC

By: 

Name: Stephen N. Cummings

Title: Vice President

I, Linda Thomasian, a Notary Public, certify that before me personally appeared Stephen N. Cummings personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

County of Bergen:  
State of New Jersey

Signed in Hackensack, NJ on the 24 day of March 2004.

Sworn to and subscribed  
before me this  
24 day of March, 2004

Notary Seal

  
Notary Public

LINDA D. THOMASIAN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 9/6/2005

TRADEMARK  
REEL: 002944 FRAME: 0667

**CERTIFICATE OF FORMATION**

**OF**

**SLI HOLDINGS INTERNATIONAL, LLC**

This Certificate of Formation of SLI Holdings International, LLC (the "LLC") dated as of June 30, 2003, is being duly executed and filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act, 6 Del. C. §§ 18-101, et seq.

**FIRST:** The name of the LLC formed hereby is:

SLI Holdings International, LLC

**SECOND:** The address of the registered office of the LLC and name and address of the registered agent for service of process on the LLC in the State of Delaware is:

The Corporation Trust Company  
Corporation Trust Center  
1209 Orange Street  
Wilmington, Delaware 19801

**THIRD:** The LLC is a continuation of SLI, Inc., which, immediately prior to its conversion to a Delaware limited liability company pursuant to a Certificate of Conversion filed with the Delaware Secretary of State on June 30, 2003, was a corporation named SLI, Inc., formed and existing under the laws of the State of Oklahoma. SLI, Inc. was incorporated pursuant to a Certificate of Incorporation filed with the Oklahoma Secretary of State on October 13, 1992. The conversion to a Delaware limited liability company was approved in the manner provided by 18 Okl. St. §11118.

**FOURTH:** The principal office and the mailing address of the LLC are:

500 Chapman Street  
Canton, Massachusetts 02021

**FIFTH:** The LLC shall not issue any non-voting equity interests.

**SIXTH:** The formation of the LLC shall be effective upon the filing of this Certificate of Formation.

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Formation to be executed as of the date first above written:

By: /s/ STEPHEN N. CUMMINGS  
Stephen N. Cummings  
Authorized Person