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Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)



U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Direct Access Financial Corporation

- Individual(s) Association General Partnership Limited Partnership Corporation-State Other

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Banc of America Securities LLC

Internal Address: attn: William C. Caccamise

Street Address: 9 West 57th Street

City: New York State: NY Zip: 10019

- Individual(s) citizenship Association General Partnership Limited Partnership Corporation-State Other Limited Liability Company

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

Execution Date: 03/22/2004

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) 75735568

B. Trademark Registration No.(s)

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cleary, Gottlieb, Steen & Hamilton

Internal Address: attn: Yvette Teofan

Street Address: One Liberty Plaza

City: New York State: NY Zip: 10006

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Gram Nalle Name of Person Signing

Signature

March 30, 2004 Date

Total number of pages including cover sheet, attachments, and document: 7

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Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

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TRADEMARK REEL: 002948 FRAME: 0966

TRADEMARK ASSIGNMENT AND RELEASE AGREEMENT

This TRADEMARK ASSIGNMENT AND RELEASE AGREEMENT (this "Assignment"), dated as of March 22nd, 2004 (the "Effective Date"), is made by Direct Access Financial Corporation, a Nevada corporation (the "Assignor"), in favor of Banc of America Securities LLC, a Delaware limited liability company (the "Assignee"), for its benefit.

WITNESSETH:

WHEREAS, Assignee desires to acquire all of Assignor's right, interest and title to and in the trademarks, trade names, slogans and logos listed in the attached Schedule 1, including, without limitation, all common law trademark rights in the foregoing throughout the world for which no applications or registrations exist (the "Trademarks") and the registrations and pending applications associated therewith, together with (i) the past, present and future goodwill of the business symbolized by or affiliated with such Trademarks, (ii) all rights, powers, privileges, defenses and immunities arising or accrued from the Trademarks, including all causes of action for past, present and future infringements based on such Trademarks and (iii) all the benefits of any trademark applications comprised in the Trademarks, such that upon registration of such trademark applications this Assignment shall vest the same in Assignee, together with the goodwill of the business relating to the goods and/or services in respect of which such trademark applications are pending (collectively, the "Trademark Rights"); and

WHEREAS, Assignor desires to assign all its right, interest and title to and in the Trademark Rights to Assignee;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor and Assignee hereby agree as follows:

1.1. Definitions. Unless the context shall otherwise require, terms used and not defined herein shall have the following meanings:

"Assignor" has the meaning set forth in the preamble to this Assignment.

"Assignee" has the meaning set forth in the preamble to this Assignment.

"Effective Date" has the meaning set forth in the preamble to this Assignment.

"Trademarks" has the meaning set forth in the Recitals to this Assignment.

"Trademark Rights" has the meaning set forth in the Recitals to this Assignment.

1.2. Assignment. Assignor hereby sells, assigns, transfers, conveys and delivers to the Assignee and its successors and assigns, and the Assignee hereby purchases and accepts from Assignor, all of the Assignor's right, title and interest in, to and under the Trademark Rights.

1.3. Representations. Assignor hereby represents and warrants that, as of the Effective Date, within the fields of computer software and financial services, (i) Assignor is the sole and exclusive owner of the entire right, title and interest in and to the Trademark Rights and has full power to enter into this Assignment, (ii) the Trademark Rights are free of any liens, encumbrances or other claims of third parties including, but not limited to, any licenses, (iii) the Trademark Rights do not infringe upon any rights of third parties and (iv) the Trademark Rights are valid and enforceable.

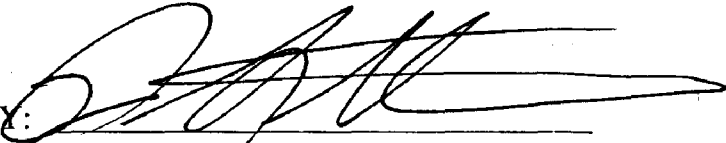
1.4. Further Assurances. Each party agrees to take such further action and execute, deliver and/or file such documents or instruments as are necessary to carry out the terms and purposes of this Assignment.

1.5. Governing Law. This Assignment shall be governed by, and construed in accordance with, the laws of the State of New York, without reference to principles of conflicts of laws.

1.6. Counterparts. This Assignment may be executed in separate counterparts, each of which when executed shall be deemed to be an original but all of which taken together shall constitute one and the same agreement.

IN WITNESS WHEREOF, each of Assignor and Assignee has executed this Assignment as of the day and year first above written.

BANC OF AMERICA SECURITIES LLC

BY: 

Name: Ross L. Stevens
Title: Chief Operating Officer of Global
Equity Securities, Managing Director

**DIRECT ACCESS FINANCIAL
CORPORATION**

By: _____


Name:
Title:

IN WITNESS WHEREOF, each of Assignor and Assignee has executed this Assignment as of the day and year first above written.

BANC OF AMERICA SECURITIES LLC

BY: _____
Name:
Title:

DIRECT ACCESS FINANCIAL CORPORATION

By:  _____
Name: *JAMES W. OBERMAYER*
Title: *PRESIDENT, CEO*

Assigned Trademarks

InstaQuote, including USPTO application # 757 35568