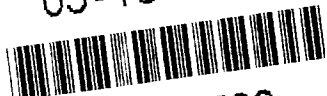


5-11-05

05-13-2005



103002722 ONLY

Form PTO-1594 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CARDIOFOCUS, INC.

Individual(s)       Association  
 General Partnership       Limited Partnership  
 Corporation-State  
 Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Venture Lending & Leasing IV, Inc.

Internal Address: \_\_\_\_\_

Street Address: 2010 North First Street

City: San Jose State: CA Zip: 95131

Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State Maryland  
 Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
 (Designations must be a separate document from assignment)  
 Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Assignment       Merger  
 Security Agreement       Change of Name  
 Other \_\_\_\_\_

Execution Date: 4/15/05

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) \_\_\_\_\_

B. Trademark Registration No.(s) 2,036,512; 2,194,032

Additional number(s) attached  Yes  No

2,491,207

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Russell D. Pollock, Esq.

Internal Address: \_\_\_\_\_

Street Address: Greene Radovsky Maloney & Share LLP  
Four Embarcadero Center, Suite 4000

City: San Francisco State: CA Zip: 94111

6. Total number of applications and registrations involved: 3

7. Total fee (37 CFR 3.41).....\$ 90.00

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

APR 11 AM 7:10

DO NOT USE THIS SPACE

9. Signature.

Jeffrey T. Klugman      [Signature]      5/6/05

Name of Person Signing      Signature      Date

Total number of pages including cover sheet, attachments, and document: 13

05/12/2005 6TON11 00000006 2036512

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

01 FC:6521 40.00 DP  
02 FC:6522 50.00 DP

TRADEMARK REEL: 003160 FRAME: 0903

## INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is made as of April 15, 2005, by and between CardioFocus, Inc., a Delaware corporation ("Grantor"), and VENTURE LENDING & LEASING IV, INC., a Maryland corporation ("Secured Party").

### RECITALS

A. Pursuant to a Loan and Security Agreement of even date herewith (the "Loan Agreement") between Grantor, as borrower, and Secured Party, as lender, Secured Party has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in the Loan Agreement. All capitalized terms used herein without definition shall have the meanings ascribed to them in the Loan Agreement.

B. Secured Party is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Secured Party a security interest in substantially all of Grantor's personal property whether presently existing or hereafter acquired. To that end, Grantor has executed in favor of Secured Party the Loan Agreement granting a security interest in all Collateral, and is executing this Agreement with respect to certain items of Intellectual Property, in particular.

NOW, THEREFORE, THE PARTIES HERETO AGREE AS FOLLOWS:

1. Grant of Security Interest. As collateral security for the prompt and complete payment and performance of all of Grantor's present or future Obligations, Grantor hereby grants a security interest and mortgage to Secured Party, as security, in and to Grantor's entire right, title and interest in, to and under the following Intellectual Property, now owned or hereafter acquired by Grantor or in which Grantor now holds or hereafter acquires any interest (all of which shall collectively be called the "Collateral" for purposes of this Agreement):

(a) Any and all copyrights, whether registered or unregistered, held pursuant to the laws of the United States, any State thereof or of any other country; all registrations, applications and recordings in the United States Copyright Office or in any similar office or agency of the United States, and State thereof or any other country; all continuations, renewals, or extensions thereof; and any registrations to be issued under any pending applications, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

(b) All letters patent of, or rights corresponding thereto in, the United States or any other country, all registrations and recordings thereof, and all applications for letters patent of, or rights corresponding thereto in, the United States or any other country, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country; all reissues, continuations, continuations-in-part or extensions thereof; all petty patents, divisionals, and patents of addition; and all patents to be issued under any such applications, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(c) All trademarks, trade names, corporate names, business names, trade styles, service marks, logos, other source or business identifiers, prints and labels on which any of the foregoing have appeared or appear, designs and general intangibles of like nature, now existing or hereafter adopted or acquired, all registrations and recordings thereof, and any applications in connection therewith, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country or any political subdivision thereof, and reissues, extensions or renewals thereof, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");

(d) Any and all claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(e) All licenses or other rights to use any of the Copyrights, Patents or Trademarks, and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(f) All amendments, renewals and extensions of any of the Copyrights, Trademarks or Patents; and

(g) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Notwithstanding the foregoing the term "Collateral" shall not include: (a) "intent-to-use" trademarks at all times prior to the first use thereof, whether by the actual use thereof in commerce, the recording of a statement of use with the United States Patent and Trademark Office or otherwise, but only to the extent the granting of a security interest in such "intent to use" trademarks would be contrary to applicable law or (b) any contract, instrument or chattel paper in which Grantor has any right, title or interest if and to the extent such contract, instrument or chattel paper includes a provision containing a restriction on assignment such that the creation of a security interest in the right, title or interest of Grantor therein would be prohibited and would, in and of itself, cause or result in a default thereunder enabling another person party to such contract, instrument or chattel paper to enforce any remedy with respect thereto; provided, however, that the foregoing exclusion shall not apply if (i) such prohibition has been waived or such other person has otherwise consented to the creation hereunder of a security interest in such contract, instrument or chattel paper, or (ii) such prohibition would be rendered ineffective pursuant to Sections 9-407(a) or 9-408(a) of the UCC, as applicable and as then in effect in any relevant jurisdiction, or any other applicable law (including the Bankruptcy Code) or principles of equity); provided further that immediately upon the ineffectiveness, lapse or termination of any such provision, the term "Collateral" shall include, and Grantor shall be deemed to have granted a security interest in, all its rights, title and interests in and to such contract, instrument or chattel paper as if such provision had never been in effect; and provided further that the foregoing exclusion shall in no way be construed so as to limit, impair or otherwise affect Secured Party's unconditional continuing security interest in and to all rights, title and interests of Grantor in or to any payment obligations or other rights to receive monies due or to become due under any such contract, instrument or chattel paper and in any such monies and other proceeds of such contract, instrument or chattel paper.

2. Covenants and Warranties. Grantor represents, warrants, covenants and agrees as follows:

(a) Grantor is now the sole owner of the Collateral, except for non-exclusive licenses granted by Grantor to its customers in the ordinary course of business and except for Permitted Liens;

(b) During the term of this Agreement, Grantor will not transfer or otherwise encumber any interest in the Collateral, except for non-exclusive licenses granted by Grantor in the ordinary course of business or as set forth in this Agreement, and except for Permitted Liens;

(c) To its knowledge, each of the Patents is valid and enforceable, and no part of the Collateral has been judged invalid or unenforceable, in whole or in part, and no claim has been made that any part of the Collateral violates the rights of any third party;

(d) Grantor shall deliver to Secured Party within thirty (30) days of the last day of each fiscal quarter, a report signed by Grantor, in form reasonably acceptable to Secured Party, listing any applications or registrations that Grantor has made or filed in respect of any patents, copyrights or trademarks and the status of any outstanding applications or registrations. Grantor shall promptly advise Secured Party of any material change in the composition of the Collateral, including but not limited to any subsequent ownership right of the Grantor in or to any Trademark, Patent or Copyright not specified in this Agreement;

(e) Grantor shall use reasonable commercial efforts to (i) protect, defend and maintain the validity and enforceability of the Trademarks, Patents and Copyrights (ii) detect infringements of the Trademarks, Patents and Copyrights and promptly advise Secured Party in writing of material infringements detected and (iii) not allow any material Trademarks, Patents or Copyrights to be abandoned, forfeited or dedicated to the public without the written consent of Secured Party, which consent shall not be unreasonably withheld;

(f) Grantor shall apply for registration on an expedited basis (to the extent not already registered) with the United States Patent and Trademark Office or the United States Copyright Office, as applicable: those additional intellectual property rights developed or acquired by Grantor from time to time in connection with any product or service, prior to the sale or licensing of such product or the rendering of such service to any third party (including without limitation revisions or additions to the intellectual property rights listed on such Exhibits A, B and C), except with respect to such rights that Grantor determines in its sole but reasonable commercial judgment need not be registered to protect its own business interests. Grantor shall, from time to time, execute and file such other instruments, and take such further actions as Secured Party may reasonably request from time to time to perfect or continue the perfection of Secured Party's interest in the Collateral. Grantor shall give Secured Party notice of all such applications or registrations; and

(g) Grantor shall not enter into any agreement that would materially impair or conflict with Grantor's obligations hereunder without Secured Party's prior written consent, which consent shall not be unreasonably withheld. Grantor shall not permit the inclusion in any material contract to which it becomes a party of any provisions that could or might in any way prevent the creation of a security interest in Grantor's rights and interests in any property included within the definition of the Collateral acquired under such contracts.

3. Further Assurances; Attorney in Fact.

(a) On a continuing basis, Grantor will make, execute, acknowledge and deliver, and file and record in the proper filing and recording places in the United States, all such instruments, including appropriate financing and continuation statements and collateral agreements and filings with the United States Patent and Trademark Office and the Register of Copyrights, and take all such action as may reasonably be deemed necessary or advisable, or as reasonably requested by Secured Party, to perfect Secured Party's security interest in all Copyrights, Patents and Trademarks and otherwise to carry out the intent and purposes of this Agreement, or for assuring and confirming to Secured Party the grant or perfection of a security interest in all Collateral.

(b) Grantor hereby irrevocably appoints Secured Party as Grantor's attorney-in-fact, with full authority in the place and stead of Grantor and in the name of Grantor, from time to time in Secured Party's discretion, to take any action and to execute any instrument which Secured Party may deem necessary or advisable to accomplish the purposes of this Agreement, including (i) to modify, in its sole discretion, this Agreement without first obtaining Grantor's approval of or signature to such modification by amending Exhibits A, B and C, hereof, as appropriate, to include reference to any right, title or interest in any Copyrights, Patents or Trademarks acquired by Grantor after the execution hereof or to delete any reference to any right, title or interest in any Copyrights, Patents or Trademarks in which Grantor no longer has or claims any right, title or interest, (ii) to file, in its sole discretion, one or more financing or continuation statements and amendments thereto, relative to any of the Collateral without the signature of Grantor where permitted by law, and (iii) after the occurrence of an Event of Default, to transfer the Collateral into the name of Secured Party or a third party to the extent permitted under applicable law.

4. Events of Default. The occurrence of any of the following shall constitute an Event of Default under this Agreement:

(a) An Event of Default under the Loan Agreement; or

(b) Grantor breaches any warranty or agreement made by Grantor in this Agreement and, as to any breach that is capable of cure, Grantor fails to cure such breach within thirty (30) days of the sooner to occur of Grantor's receipt of notice of such breach from Secured Party or the date on which such breach first becomes known to Grantor.

5. Amendments. This Agreement may be amended only by a written instrument signed by both parties hereto, except for amendments permitted under Section 3 hereof to be made by Secured Party alone.

6. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

[Signature Pages Follow]

*[Signature Page to Intellectual Property Security Agreement]*


IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

GRANTOR:

Address of Grantor:

10 Commerce Way  
Norton, MA 02766  
Attn: Chief Financial Officer

CARDIOFOCUS, INC.

By:  \_\_\_\_\_

Name: Stephen W. Sagon

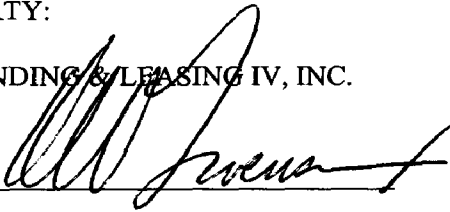
Its: President and CEO

SECURED PARTY:

Address of Secured Party:

2010 North First Street, Suite 310  
San Jose, CA 95131  
Attn: President

VENTURE LENDING & LEASING IV, INC.

By:  \_\_\_\_\_

Name: RONALD W. SWENSON

Its: CHIEF EXECUTIVE OFFICER

**EXHIBIT A**

**Copyrights**

Description

Registration Number

Registration Date

**None**

## EXHIBIT B

### Patents

#### Issued U.S. Patents

U.S. Patent 4,719,912 Issue Date: January 19, 1988

*"Apparatus for Controlling the Photocoagulation of Biological Tissue"*  
(Our File: 101327-005/ROE-004)

U. S. Patent 4,850,351 Issue Date: July 25, 1989

*"Wire Guided Laser Catheter"*  
(Our File: 101327-92/ROE-047)

U. S. Patent 4,852,567 Issue Date: August 1, 1989

*"Laser Tipped Catheter"*  
(Our File: 101327-93/ROE-048)

U.S. Patent No. 4,917,084, Issue Date: April 17, 1990

*"Infrared Laser Catheter System"*  
(Our File: 101327-81/ROE-040CP1)

U.S. Patent No. 4,950,266 Issue Date: August 21, 1990

*"Infrared Laser Catheter System"*  
(Our File: 101327-78/ROE-040C2)

U. S. Patent 5,009,655 Issue Date: April 23, 1991

*"Hot Tipped Device with Optical Diagnostic Capability"*  
(Our File: 101327-95/ROE-050)

U. S. Patent 5,042,980 Issue Date: August 27, 1991

*"Optical Fiber Diffusion Tip for Uniform Illumination"*  
(Our File: 101327-96/ROE-051)

U.S. Patent 5,071,417 Issue Date: December 10, 1991

*"Laser Fusion of Biological Materials"*  
(Our File: 101327-002/ROE-001)

U. S. Patent 5,123,421 Issue Date: June 23, 1992

*"Liquid Activated Steerable Catheter Guidewire"*  
(Our File: 101327-97/ROE-052)

U.S. Patent No. 5,167,686, Issue Date: December 1, 1992

*"Catheter System for Controlled Removal by Radiant Energy of Biological Obstructions"*  
(Our File: 101327-122/ROE-068)

U.S. Patent No. 5,196,004, Issue Date: March 23, 1993

*"Infrared Laser Catheter System"*  
(Our File: 101327-82/ROE-040CP2)

U.S. Patent 5,207,670 Issue Date: May 4, 1993

*"Photoreactive Suturing Of Biological Materials"*  
(Our File: 101327-18/ROE-005)



U.S. Patent No. 5,261,904, Issue Date: November 16, 1993  
*"Laser Catheter Having Diffraction Grating for Beam Shaping"*  
(Our File: 101327-123/ROE-069)

U.S. Patent No. 5,275,594, Issue Date: January 4, 1994  
*"Angioplasty System Having Means for Identification of Atherosclerotic Plaque"*  
(Our File: 101327-124/ROE-070)

U.S. Patent No. 5,540,677, Issue Date: July 30, 1996  
*"Endoscopic Systems for Photoreactive Suturing of Biological Materials"*  
(Our File: 101327-24/ROE-008CN)

U.S. Patent No. 5,569,239, Issue Date: October 29, 1996  
*"Photoreactive Suturing of Biological Materials"*  
(Our File: 101327-20/ROE-005C2)

U.S. Patent No. 5,632,767, Issue Date: May 27, 1997  
*"Loop Diffusers for Diffusion of Optical Radiation"*  
(Our File: 101327-44/ROE-024)

U.S. Patent No. 5,637,877, Issue Date: June 10, 1997  
*"Ultraviolet Sterilization of Instrument Lumens"*  
(Our File: 101327-37/ROE-017)

U.S. Patent No. 5,643,253, Issue Date: July 1, 1997  
*"Phototherapy Apparatus with Integral Stopper Device"*  
(Our File: 101327-46/ROE-026)

U.S. Patent No. 5,725,522, Issue Date: March 10, 1998  
*"Laser Suturing of Biological Materials"*  
(Our File: 101327-25/ROE-008C2)

U.S. Patent No. 5,773,835, Issue Date: June 30, 1998  
*"Fiber Optic Spectroscopy"*  
(Our File: 101327-61/ROE-035)

U.S. Patent No. 5,843,073, Issue Date: December 1, 1998  
*"Infrared Laser Catheter System"*  
(Our File: 101327-80/ROE-040C4)

U.S. Patent No. 5,908,415, Issue Date: June 1, 1999  
*"Phototherapy Methods and Apparatus" by Edward L. Sinofsky*  
(Our File: 101327-29/ROE-011CN)

U.S. Patent No. 5,947,959, Issue Date: September 7, 1999  
*"Phototherapeutic Apparatus with Diffusive Tip Assembly"*  
(Our File: 101327-105/ROE-060CP)

U.S. Patent No. 5,997,571, Issue Date: December 7, 1999  
*"Non-Occluding Phototherapy Probe Stabilizers"*  
(Our File: 101327-88/ROE-043)

U.S. Patent No. 6,071,302, Issue Date: June 6, 2000  
*"Phototherapeutic Apparatus for Wide-Angle Diffusion"*  
(Our File: 101327-101/ROE-056)

U.S. Patent No. 6,102,905, Issue Date: August 15, 2000

*"Phototherapy Device Including Housing for an Optical Element and Method of Making"*  
(Our File: 101327-100/ROE-055)

U.S. Patent No. 6,159,203, Issue Date: December 12, 2000  
*"Infrared Laser Catheter"*  
(Our File: 101327-79/ROE-040C3)

U.S. Patent No. 6,168,591, Issue Date: January 2, 2001  
*"Guide for Penetrating Phototherapy"*  
USSN 08/991,429, filed December 16, 1997  
(Our File: 101327-30/ROE-011CNCP)

U.S. Patent No. 6,270,492, Issue Date: August 7, 2001  
*"Phototherapeutic Apparatus with Diffusive Tip Assembly"*  
USSN 09/390,964, filed September 7, 1999  
(Our File: 101327-140/ROE-060CP2)

U.S. Patent No. 6,375,654, Issue Date: April 23, 2002  
*"Catheter System with Working Portion Radially Expandable Upon Rotation"*  
USSN 09/574,619, filed May 19, 2000  
(HBSR File: 2996.1000-000)

U.S. Patent No. 6,423,055, Issue Date: June 23, 2002  
*"Phototherapeutic Wave Guide Apparatus"*  
USSN 09/357,355, filed July 14, 1999  
(Our File: 101327-133/ROE-078)

U.S. Patent No. 6,547,780, Issue Date: April 15, 2003  
*"Infrared Laser Catheter System"*  
USSN 09/201,072, filed November 30, 1998  
(Our File: 101327-125/ROE-040C5)

U.S. Patent No. 6,558,375, Issue Date: May 6, 2003  
*"Cardiac Ablation Instrument"*  
USSN 09/616,777, filed July 14, 2000  
(Our File: 101327-139/ROE-083)

U.S. Patent No. 6,572,609, Issue Date: June 3, 2003  
*"Phototherapeutic Waveguide Apparatus"*  
USSN 09/602,420, filed June 23, 2000  
(Our File: 101327-147/ROE-078CP)

U.S. Patent No. 6,579,285, Issued June 17, 2003  
*"Photoablation with Infrared Radiation"*  
USSN 09/924,394, filed August 7, 2001  
(Our File: 101327-164/ROE-101)

U.S. Patent No. 6,605,055, Issue Date: August 12, 2003  
*"Balloon Catheter with Irrigation Sheath"*  
USSN 09/660,601, filed September 13, 2000  
(Our File: 101327-149/ROE-091)

U.S. Patent No. 6,626,900, Issue Date: September 30, 2003  
*"Intraluminal Contact Sensor"*  
USSN 09/616,275, filed July 14, 2000  
(Our File: 101327-145/ROE-087)

U.S. Patent No. 6,676,656, Issue Date: January 13, 2004

*"Surgical Ablation with Radiant Energy"*

USSN 09/924,393, filed August 7, 2001

(Our File: 101327-162/ROE-099)

**Pending U.S. Patent Applications**

*"Maneuverable Optical Fiber Device for Cardiac Photoablation"*

USSN 09/382,615, filed August 25, 1999

(Our File: 101327-126/ROE-071)

*"Energy Direction Markers"*

USSN 09/716,738, filed November 20, 2000

(HBSR File: 2996.1001-000)

*"Surgical Ablation Methods and Apparatus"*

USSN 09/924,397, filed August 7, 2001

(Our File: 101327-169)

*"Safety Shut-Off Device for Laser Surgical Instruments Employing Blackbody Emitters"*

USSN 10/145,866, filed May 14, 2002

(Our File: 101327-174)

*"Coaxial Catheter Instruments for Ablation with Radiant Energy"*

USSN 10/357,156, filed February 3, 2003

(Our File: 101327-175)

*"Phototherapeutic Wave Guide Apparatus"*

USSN 10/200,357, filed July 22, 2002

(Our File: 101327-176)

*"Intraluminal Contact Sensor"*

USSN 10/674,114, filed September 29, 2003

(Our File: 101327-185)

a. *"Guided Cardiac Ablation Catheters"*

USSN 10/865,558, filed June 10, 2004

(Our File: 101327-190)

b. *"Deflectable Sheath Catheters"*

USSN 11/062,905, filed February 22, 2005

(Our File: 101327-189)

*"Deflectable Sheath Catheter with Out-of-Plane Bent Tip"*

USSN 11/062,903, Filed February 22, 2005

(Our File: 101327-199)

c. *"Methods of Cardiac Ablation Employing A Deflectable Sheath Catheter"*

USSN 11/062,906, Filed February 22, 2005

(Our File: 101327-200)

d. *"Methods of Cardiac Ablation in the Vicinity of the Right Inferior Pulmonary Vein"*

USSN 11/062,774, Filed February 22, 2005

(Our File: 101327-201)

**Foreign Patents/ Applications**

*"Apparatus for Controlling the Photocoagulation of Biological Tissue"*

Canadian Patent 1,215,747 Issue Date: December 23, 1986

(Our File: 101327-009/ROE-040CA)

Australian Patent 725320 Issue Date: January 25 2001

*"Phototherapeutic Apparatus"*

Australian Application Serial No. 36269/95

(Our File: 101327-51/ROE-030AU)

*"Phototherapeutic Apparatus"*

Canadian Application Serial No. 2199384

Status: Pending

(Our File: 101327-52/ROE-030CA)

Chinese Patent ZL95195954.9 Issue Date: October 17, 2001

*"Phototherapeutic Apparatus"*

Chinese Application Serial No. 95195954.9

(Our File: 101327-53/ROE-030CI)

*"Phototherapeutic Apparatus"*

European Application Serial No. 95 93 3733.8

Status: Pending

(Our File: 101327-54/ROE-030EP)

*"Phototherapeutic Apparatus"*

Japanese Application Serial No. 509634/1996

Status: Pending

(Our File: 101327-55/ROE-030JP)

*"Photoablation System"*

European Patent Application No. 00 94 8683.8

Based on PCT Application Serial No. PCT/US00/19285, filed 14 July 2000

Status: Pending

(Our File: 101327-172/ROE-092EP)

*"Photoablation System"*

Japanese Patent Application No. 2001-508,888

Based on PCT Application Serial No. PCT/US00/19285, filed 14 July 2000

Status: Pending

(Our File: 101327-173/ROE-092JP)

*"Coaxial Catheter Instruments for Ablation with Radiant Energy"*

International Patent Application Serial No. PCT/US2004/003141, filed 3 February 2004

(Our File: 101327-195)

(a) Invention Disclosures / Patent Applications in Preparation

*"Self-Collapsing Balloon"*

(Our File: 101327-168/ROE-104)

*"Safety Jacket for Laser Surgical Instruments"*

(Our File: 101327-177)

*"Balloon Catheter Devices for Treatment of Atrial Fibrillation with Independently-Positionable Energy Sources"*

(Our File: 101327-187)

*"Treatment of Atrial Fibrillation by Overlapping Curvilinear Lesions"*

(Our File: 101327-188)

*"Deflectable Sheath for Cardiac Ablation Elements"*

(Our File: 101327-189)

*"Endoscope-Guided Cardiac Ablation Catheters"*

(Our File: 101327-190)

e. *"Color Enhanced Endoscopic Visualization of Cardiac Tissue"*

(Our File: 101327-193)

*"Light Diffusing Instruments for Phototreatment of Vascular Disorders"*

(Our File: 101327-194)

**EXHIBIT C**

**Trademarks**

**U.S. Trademark/Service Mark Registrations**

Trademark: LIGHTSTIC  
Registration No. 2,036,512, Registered: February 11, 1997 (10 years)  
(Our File: 101327-39/ROE-019)

Trademark: LIGHT FOR LIFE  
Registration No. 2,194,032, Registered October 6, 1998  
(Our File: 101327-63/ROE-037)

Trademark for: CARDIOFOCUS  
Registration No. 2,491,207, Registered September 18, 2001  
(Our File: 101327-131)  
(New)

**Foreign Trademark/Service Mark Applications**

CTM Trademark for: CARDIOFOCUS  
Registration No. 1306455, Registered: January 20, 2003  
(Our File: 101327-141ROE-076EP)