

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
SG Cowen & Co., LLC		07/12/2006	LIMITED LIABILITY COMPANY: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Cowen Group, Inc.		
<b>Street Address:</b>	1221 Avenue of the Americas		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10020		
<b>Entity Type:</b>	CORPORATION: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	78753279	AMORTIZING CONVERTIBLE SECURITIESACTS	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	(212)768-6800		
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
<b>Phone:</b>	212-768-5367		
<b>Email:</b>	trademarks@sonnenschein.com		
<b>Correspondent Name:</b>	Monica B. Richman		
<b>Address Line 1:</b>	P.O. Box #061080		
<b>Address Line 2:</b>	Wacker Drive Station, Sears Tower		
<b>Address Line 4:</b>	Chicago, ILLINOIS 60606-1080		
<b>ATTORNEY DOCKET NUMBER:</b>	21604099-0022-001		
<b>NAME OF SUBMITTER:</b>	Monica B. Richman-Attorney for Applicant		
<b>Signature:</b>	/monica b. richman/		

OP \$40.00 78753279

Date:

08/08/2008

**Total Attachments: 5**

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United States

**ASSIGNMENT**

This Assignment Agreement is effective July 12, 2006, by and between SG Cowen & Co., LLC, a Delaware limited liability company, with an address at 1221 Avenue of the Americas, New York, NY 10020 (“Assignor”) and Cowen Group, Inc., a Delaware corporation, with an address at 1221 Avenue of the Americas, New York, New York 10020 (“Assignee”).

WHEREAS, Assignor is the owner of certain Trademark Registrations and Applications listed in Exhibit A (the “Trademarks”);

WHEREAS, Assignee desires to acquire all right, title and interest in and to the Trademarks;

WHEREAS, Assignor desires to convey all right, title and interest in and to the Trademarks to Assignee; and

In consideration of the foregoing, Assignor assigns to Assignee all of the Assignor’s right, title and interest in and to the Trademarks, including all common law rights, together with the goodwill symbolized by any use of the mark covered by the Trademarks, and the right to sue third parties for and recover damages from past and future infringement of the marks listed in Exhibit A.

Assignor hereby authorizes the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue trademark registrations, to record this Assignment, and to issue or transfer all said Trademarks to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.


IN WITNESS WHEREOF, this Assignment has been executed by the parties on the day and year written below.

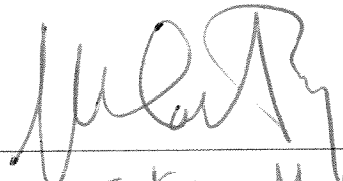
ASSIGNOR:

ASSIGNEE:

**SG COWEN & CO., LLC  
(k/n/a) COWEN AND COMPANY, LLC.**

**COWEN GROUP, INC.**

By:   
Name: J. Kevin McCarthy  
Title: General Counsel  
Date: 7/29/08

By:   
Name: J. Kevin McCarthy  
Title: General Counsel  
Date: 7/29/08

United States

EXHIBIT A

Date	Name of Invention	Applicant	Inventor	Serial No.
1957	A method of determining the relative humidity of a gas mixture	The United States Atomic Energy Commission	John H. D'Arco, et al.	2,812,000

Thank you for your request. Here are the latest results from the TARR web server.

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Serial Number: 78753279 [Assignment Information](#) Trademark Document Retrieval

Registration Number: 3229215

Mark

# AMORTIZING CONVERTIBLE SECURITIES\ACTS

(words only): AMORTIZING CONVERTIBLE SECURITIES\ACTS

Standard Character claim: Yes

Current Status: Registered.

Date of Status: 2007-04-17

Filing Date: 2005-11-14

Transformed into a National Application: No

Registration Date: 2007-04-17

Register: Principal

Law Office Assigned: LAW OFFICE 116

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)

Current Location: 650 -Publication And Issue Section

Date In Location: 2007-04-17

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## LAST APPLICANT(S)/OWNER(S) OF RECORD

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1. SG Cowen & Co., LLC

**Address:**

SG Cowen & Co., LLC  
1221 Avenue of the Americas  
New York, NY 10020  
United States

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** Delaware

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**GOODS AND/OR SERVICES**

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**International Class:** 036  
**Class Status:** Active  
Financial services in the nature of an investment security  
**Basis:** 1(a)  
**First Use Date:** 2005-06-00  
**First Use in Commerce Date:** 2005-06-00

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**ADDITIONAL INFORMATION**

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**Disclaimer:** "AMORTIZING CONVERTIBLE"

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**MADRID PROTOCOL INFORMATION**

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(NOT AVAILABLE)

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**PROSECUTION HISTORY**

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**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

- 2008-04-09 - Withdrawal Of Attorney Granted
- 2008-04-09 - TEAS Withdrawal Of Attorney Received
- 2007-04-17 - Registered - Principal Register
- 2007-01-30 - Published for opposition
- 2007-01-19 - TEAS Change Of Correspondence Received
- 2007-01-10 - TEAS Change Of Correspondence Received
- 2007-01-10 - Notice of publication
- 2006-12-09 - Law Office Publication Review Completed
- 2006-12-09 - Assigned To LIE
- 2006-11-22 - Approved for Pub - Principal Register (Initial exam)
- 2006-11-20 - Examiner's Amendment Entered
- 2006-11-20 - EXAMINERS AMENDMENT E-MAILED
- 2006-11-20 - Examiners Amendment -Written

<http://tarr.uspto.gov/tarr?regser=serial&entry=78753279>

7/29/2008