# \$65.00 //55/020

# TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	05/17/2010

# **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Lockwood Capital Management, Inc.		04/12/2010	CORPORATION: DELAWARE

# **RECEIVING PARTY DATA**

Name:	Lockwood Advisors, Inc.	
Street Address:	10 Valley Stream Parkway	
City:	Malvern	
State/Country:	PENNSYLVANIA	
Postal Code:	19355	
Entity Type:	CORPORATION: DELAWARE	

# PROPERTY NUMBERS Total: 2

Property Type	Number	Word Mark
Serial Number:	77557020	LOCKWOOD CAPITAL MANAGEMENT
Serial Number:	77255508	LIS2

# **CORRESPONDENCE DATA**

Fax Number: (412)288-3063

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 412-288-7284

Email: krydstrom@reedsmith.com

Correspondent Name: Kirsten R. Rydstrom
Address Line 1: P.O. Box 488
Address Line 2: Reed Smith LLP

Address Line 4: Pittsburgh, PENNSYLVANIA 15230-0488

ATTORNEY DOCKET NUMBER:	09-259 450000.20442 KRR
NAME OF SUBMITTER:	Kirsten R. Rydstrom

900199225 REEL: 004600 FRAME: 0758

Signature:	/Kirsten R. Rydstrom/
Date:	08/09/2011
Total Attachments: 3 source=Lockwood Certificate of Merger (Delaware)#page1.tif source=Lockwood Certificate of Merger (Delaware)#page2.tif source=Lockwood Certificate of Merger (Delaware)#page3.tif	

TRADEMARK REEL: 004600 FRAME: 0759



PAGE 1

# The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"LOCKWOOD CAPITAL MANAGEMENT, INC.", A DELAWARE CORPORATION, WITH AND INTO "LOCKWOOD ADVISORS, INC." UNDER THE NAME OF "LOCKWOOD ADVISORS, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TENTH DAY OF MAY, A.D. 2010, AT 5:39 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE SEVENTEENTH DAY OF MAY, A.D. 2010, AT 5 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2613317 8100M

100487324

You may verify this certificate online at corp. delawere gov/authver. shtml

AUTHENTY CATION: 7984340

DATE: 05-11-10

TRADEMARK REEL: 004600 FRAME: 0760

## CERTIFICATE OF MERGER

Of

# Lockwood Capital Management, Inc.

### Into

# Lockwood Advisors, Inc. Under Section 251 of the Delaware General Corporation Law

Pursuant to Section 251 of the Delaware General Corporation Law, Lockwood Advisors, Inc. does hereby certify that:

1. The name and state of incorporation of each of the constituent corporations of the merger (the "Merger") are:

Name
Lockwood Advisors, Inc.
Lockwood Capital Management, Inc.
Delaware
Delaware

- 2. An Agreement of Merger (the "Agreement") has been approved, adopted, executed and acknowledged by each of the constituent corporations in accordance with the provisions of Section 251 of the Delaware General Corporation Law.
- The name of the corporation surviving the Merger is Lockwood Advisors, Inc. (the "Surviving Corporation").
- The Certificate of Incorporation of Lockwood Advisors, Inc. shall be the Certificate of Incorporation of the Surviving Corporation.
- 5. The executed Agreement is on file at the principal place of business of the Surviving Corporation. The address of the principal place of business of the Surviving Corporation is 10 Valley Stream Parkway, Malvern, PA 19355.
- 6. A copy of the executed Agreement will be furnished by the Surviving Corporation, on request and without cost, to any stockholder of either constituent corporation.
  - 7. The Merger shall be effective at 5:00 p.m. on May 17, 2010.

PHTRANS/ 866077.3

TRADEMARK
REEL: 004600 FRAME: 0761

IN WITNESS WHEREOF, the undersigned corporation has caused this Certificate of Merger to be executed on [April 12], 2010.

Lockwood Advisors, Inc.

By: <u>Usa Dchiller</u> Name: Lisa Detwiler

Title: Assistant Secretary

-2-

RECORDED: 08/09/2011