

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Capital Dynamics Holding		10/06/2009	Public Limited Company: SWITZERLAND
RECEIVING PARTY DATA			
Name:	Capital Dynamics Holding AG		
Street Address:	Bahnhofstrasse 22		
City:	Zug		
State/Country:	SWITZERLAND		
Postal Code:	6301		
Entity Type:	Public Limited Company: SWITZERLAND		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Serial Number:	76167163	CAPITAL DYNAMICS	
Serial Number:	76165679	CAPITAL DYNAMICS	
CORRESPONDENCE DATA			
Fax Number:	2122582291		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	(212) 765-5070		
Email:	tmdoctc@fr.com		
Correspondent Name:	Fish & Richardson P.C.		
Address Line 1:	P.O. Box 1022		
Address Line 4:	Minneapolis, MINNESOTA 55440-1022		
ATTORNEY DOCKET NUMBER:	29285-0004001		
DOMESTIC REPRESENTATIVE			
Name:			

CH \$65.00 76167163

Address Line 1:
Address Line 2:
Address Line 3:
Address Line 4:

NAME OF SUBMITTER:	Kristen McCallion
Signature:	/kristen mccallion/
Date:	09/28/2012
Total Attachments: 4 source=20120928_CHCR_Capital_Dynamics_Holding_Status#page1.tif source=20120928_CHCR_Capital_Dynamics_Holding_Status#page2.tif source=20120928_CHCR_Capital_Dynamics_Holding_Status_Translation#page1.tif source=20120928_CHCR_Capital_Dynamics_Holding_Status_Translation#page2.tif	

Identification number CH-170.3.024.223-2	Legal status Limited or Corporation	Entry 24.10.2000	Cancelled	Carried 142579 from: on:	1
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In	Ca	Business name	Ref	Legal seat
1	14	Capital Dynamics Holding	1	Cham
14		Capital Dynamics Holding AG	2	Zug

In	Ca	Share capital (CHF)	Paid in (CHF)	Shares	In	Ca	Company address
1	6	100'000.00	50'000.00	10000 vinkulierte Namenaktien zu CHF 10.00	1	2	Gewerbstrasse 11
6	7	100'000.00	100'000.00	10000 vinkulierte Namenaktien zu CHF 10.00	2	9	Metallstrasse 9b
7	10	100'000.00	100'000.00	1000000 vinkulierte Namenaktien zu CHF 0.10	9		Bahnhofstrasse 22
10	14	111'500.00	111'500.00	1115000 vinkulierte Namenaktien zu CHF 0.10			6301 Zug
11	14			11'150'000 vinkulierte Namenaktien zu CHF 0.01			
14		1'226'500.00	1'226'500.00	122'650'000 vinkulierte Namenaktien zu CHF 0.01			

In	Ca	Purpose
1	15	Erwerb, Errichtung, Halten und Finanzierung und Verwaltung von Beteiligungen an Finanzdienstleistungsunternehmen sowie Vergabe von Lizenzen; kann Garantien zugunsten von verbundenen Gesellschaften stellen, Tochtergesellschaften errichten sowie Grundstücke erwerben, halten und veräussern
15		Erwerb, Errichtung, Halten, Finanzierung und Verwaltung sowie Veräusserung von Beteiligungen an Unternehmen; vollständige Zweckumschreibung gemäss Statuten

In	Ca	Remarks	Ref	Date of the acts
2		Aenderung einer nicht publikationspflichtigen Tatsache	1	20.10.2000
10		Ferner Aenderung nicht publikationspflichtiger Tatsachen	2	07.11.2001
14		Die Mitteilungen an die Aktionäre erfolgen durch Brief an die im Aktienbuch verzeichneten Adressen.	6	08.03.2004
14		Die Übertragbarkeit der Namenaktien ist nach Massgabe der Statuten beschränkt.	7	26.03.2004
18		Die Generalversammlung hat mit Beschluss vom 22.06.2012 eine bedingte Kapitalerhöhung gemäss näherer Umschreibung in den Statuten eingeführt.	10	30.05.2005
			10	13.07.2005
			11	31.10.2007
			14	10.07.2009
			14	06.10.2009
			15	07.10.2009
			18	22.06.2012

In	Ca	Qualified facts	Ref	Official publication
14		Ordentliche Kapitalerhöhung durch Verrechnung einer Forderung von CHF 2'391'985.53, wofür 79'732'851 Namenaktien zu CHF 0.01 ausgegeben werden.	1	SHAB

In	Ca	Branch offices	In	Ca	Branch offices

Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id	Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id
ZG	1	8719	24.10.2000	211	30.10.2000	7377	ZG	11	14628	15.11.2007	226	21.11.2007	15 / 4209810
ZG	2	10394	12.11.2001	223	16.11.2001	9042	ZG	12	1011	23.01.2008	19	29.01.2008	17 / 4312034
ZG	3	10970	27.11.2001	234	03.12.2001	9496	ZG	13	6046	09.05.2008	93	16.05.2008	19 / 4477532
ZG	4	11825	16.12.2002	247	20.12.2002	23 / 783224	ZG	14	17204	06.10.2009	197	12.10.2009	21 / 5287788
ZG	5	5894	27.06.2003	125	03.07.2003	19 / 1063622	ZG	15	18726	03.11.2009	217	09.11.2009	23 / 5333602
ZG	6	2624	11.03.2004	53	17.03.2004	16 / 2172208	ZG	16	4375	22.03.2010	60	26.03.2010	24 / 5559702
ZG	7	3259	30.03.2004	66	05.04.2004	20 / 2200384	ZG	17	12167	11.08.2010	158	17.08.2010	20 / 5772882

CH-170.3.024.223-2	Capital Dynamics Holding AG	Zug	2
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All datas

Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id	Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id
ZG	8	8706	24.08.2004	167	30.08.2004	14 / 2425318	ZG	18	9762	26.07.2012	147	31.07.2012	6791348
ZG	9	13114	21.12.2004	252	27.12.2004	25 / 2609704	ZG	19	12079	18.09.2012	184	21.09.2012	6858092
ZG	10	8179	22.07.2005	145	28.07.2005	15 / 2954258							

In	Mo	Ca	Personal Data	Function	Signature
1		2m	Kubr, Thomas, von Bolligen, in Oberägeri	member of the board of directors	single signature
1		2m	Jetzer, Dr. Rolf, von Kilchberg ZH und Lengnau AG, in Zürich	having right to sign	single signature
1		5	Heij, Jacob Op, niederländischer Staatsangehöriger, in Basel	having right to sign	joint signature at two
1		4	Arthur Anderson AG, in Zürich	auditor	
2			Lichtner, Dr. Katharina, deutsche Staatsangehörige, in Luzern	member of the board of directors	joint signature at two
2		12	Frey, Rainer Marc, von Titterton, in Wollerau	member of the board of directors	joint signature at two
2		10m	Sakin, Craig, amerikanischer Staatsangehöriger, in Pound Ridge (US)	member of the board of directors	joint signature at two
2		8	Leonard, Michael Barry, amerikanischer Staatsangehöriger, in Pacific Palisades (US)	member of the board of directors	joint signature at two
2		3m	Eisele, Daniel, von Herriberg, in Merlischachen	secretary of the board of directors	joint signature at two
2		17	Jetzer, Dr. Rolf, von Kilchberg ZH und Lengnau AG, in Zürich	president of the board of directors	joint signature at two
2		17m	Kubr, Thomas, von Bolligen, in Oberägeri	member of the board of directors	joint signature at two
3		8	Eisele, Daniel, von Herriberg, in Merlischachen	member of the board of directors	joint signature at two
4		10	Ernst & Young AG, in Zürich	auditor	
10		17m	PricewaterhouseCoopers AG, in Zürich	auditor	
10		17m	Sakin, Craig, amerikanischer Staatsangehöriger, in Pound Ridge (US)	vicepresident of the board of directors	joint signature at two
13			Ammann, Stefan, von Aadorf, in Allenwinden	having right to sign	joint signature at two
16			Hinderer, Dr. Michael, von Zollikon, in Grünwald (DE)	member of the board of directors	joint signature at two
17		19m	Sakin, Craig, amerikanischer Staatsangehöriger, in Pound Ridge (US)	president of the board of directors	joint signature at two
17		19m	Kubr, Thomas, von Bolligen, in Oberägeri	vicepresident of the board of directors	joint signature at two
17			Schenker, Dr. Urs, von Künsnacht ZH, in Erlenbach ZH	member of the board of directors	joint signature at two
17			PricewaterhouseCoopers AG (CH-020.3.020.876-5), in Zürich	auditor	
19			Kubr, Thomas, von Bolligen, in Oberägeri	president of the board of directors	joint signature at two
19			Sakin, Craig, amerikanischer Staatsangehöriger, in Pound Ridge (US)	vicepresident of the board of directors	joint signature at two

Zug, 28.09.2012 16:40

The information above is given with not commitment and is in no way legally binding. Only the company record (extract) issued and certified by the commercial Registry Office of Zoug and the text published in the Swiss Commercial Gazette are binding.

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Commercial register of canton Zug

Identification number CH-170.3.024.223-2	Legal status Limited or Corporation	Entry Oct. 24, 2000	Cancelled	Carried 142579 from: on:	1
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All Datas

In	Ca	Business name	Ref	Legal seat
1	14	Capital Dynamics Holding	1	Cham
14		Capital Dynamics Holding AG	2	Zug

In	Ca	Share capital (CHF)	Paid in (CHF)	Shares	In	Ca	Company address
1	6	100'000.00	50'000.00	10000 registered shares with restricted transferability at CHF 10.00	1	2	Gewerbestrasse 11 6330 Cham
6	7	400'000.00	400'000.00	40000 registered shares with restricted transferability at CHF 10.00	2	9	Metallstrasse 9b 6304 Zug
7	10	100'000.00	400'000.00	100000 registered shares with restricted transferability at CHF 0.10	9		Bahnhofstrasse 22 6301 Zug
10	14	111'500.00	111'500.00	1115000 registered shares with restricted transferability at CHF 0.10			
11	14			11'150'000 registered shares with restricted transferability at CHF 0.01			
14		1'226'500.00	1'226'500.00	122'650'000 registered shares with restricted transferability at CHF 0.01			

In	Ca	Purpose
1	15	Acquiring, incorporating, maintaining and financing and management of investments in financial services companies, as well as licensing; can provide guarantees to affiliated companies, incorporate subsidiaries, and purchase, hold and sell real estate
15		Acquiring, incorporating, maintaining and financing and sale of investments in companies; complete change of purpose according to statutes

In	Ca	Remarks	Ref	Date of the acts
2		Change of a fact not subject to publication	1	Oct. 20, 2000
10		Further change of a fact not subject to publication	2	Nov. 7, 2001
14		The notices to shareholders are communicated by letter to the addresses listed in the share register.	6	Mar. 8, 2004
14		The transferability of registered shares is restricted in accordance with the statutes.	7	Mar. 26, 2004
14			10	May 30, 2005
14			10	Jul. 13, 2005
18		The General Assembly, by order of Jun. 22, 2012, introduced a conditional raise of capital as further specified in the statutes.	11	Oct. 31, 2007
			14	Jul. 10, 2009
			14	Oct. 6, 2009
			15	Oct. 7, 2009
			18	Jun. 22, 2012

In	Ca	Qualified facts	Ref	Official publication
14		Regular raise of capital by setting off a claim of CHF 2'391'985.53 by issuing 79'732'851 registered shares at CHF 0.01	1	SHAB

In	Ca	Branch offices	In	Ca	Branch offices

Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id	Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id
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ZG	4	11825	Dec. 16, 2002	247	Dec. 20, 2002	23 / 783224	ZG	14	17204	Oct. 6, 2009	197	Oct. 12, 2009	21 / 5287788
ZG	5	5894	Jun. 27, 2003	125	Jul. 3, 2003	19 / 1063622	ZG	15	18726	Nov. 3, 2009	217	Nov. 9, 2009	23 / 5333602
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CH-170.3.024.223-2	Capital Dynamics Holding AG	Zug	2
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ZG	9	13114	Dec. 21, 2004	252	Dec. 27, 2004	25 / 2609704	ZG	19	12079	Sep. 18, 2012	184	Sep. 21, 2012	0 / 6858092
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In	Mo	Ca	Personal Data	Function	Signature
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1		2m	Jetzer, Dr. Rolf, of Kilchberg ZH und Lengnau AG, in Zurich	having right to sign	single signature
1		5	Heij, Jacob Op, Dutch citizen, in Basel	having right to sign	joint signature at two
1		4	Arthur Andersen AG, in Zurich	auditor	
2			Lichtner, Dr. Katharina, German citizen, in Luzern	member of the board of directors	joint signature at two
2		12	Frey, Rainer-Marc, of Titterten, in Wollerau	member of the board of directors	joint signature at two
2		10m	Sakin, Craig, US citizen, in Pound Ridge (US)	member of the board of directors	joint signature at two
2		8	Lenard, Michael Barry, US citizen, in Pacific Palisades (US)	member of the board of directors	joint signature at two
2		3m	Eisele, Daniel, of Herrliberg, in Merlischachen	secretary of the board of directors	joint signature at two
2		17	Jetzer, Dr. Rolf, of Kilchberg ZH und Lengnau AG, in Zurich	president of the board of directors	joint signature at two
2		17m	Kubr, Thomas, of Bolligen, in Oberägeri	member of the board of directors	joint signature at two
3		8	Eisele, Daniel, of Herrliberg, in Merlischachen	member of the board of directors	joint signature at two
4		10	Ernst & Young AG, in Zurich	auditor	
10		17m	PricewaterhouseCoopers AG, in Zurich	auditor	
10		17m	Sakin, Craig, US citizen, in Pound Ridge (US)	vicepresident of the board of directors	joint signature at two
13			Ammann, Stefan, of Aadorf, in Allenwinden	having right to sign	joint signature at two
16			Hinderer, Dr. Michael, of Zollikon, in Grünwald (DE)	member of the board of directors	joint signature at two
17		19m	Sakin, Craig, US citizen, in Pound Ridge (US)	president of the board of directors	joint signature at two
17		19m	Kubr, Thomas, of Bolligen, in Oberägeri	vicepresident of the board of directors	joint signature at two
17			Schenker, Dr. Urs, of Küsnacht ZH, in Erlenbach ZH	member of the board of directors	joint signature at two
17			PricewaterhouseCoopers AG (CH-020.3.020.876-5), in Zurich	auditor	
19			Kubr, Thomas, of Bolligen, in Oberägeri	president of the board of directors	joint signature at two
19			Sakin, Craig, US citizen, in Pound Ridge (US)	vicepresident of the board of directors	joint signature at two

Zug, Sep. 28, 2012, 4:40 pm

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