

TRADEMARK ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
VAN ECK ASSOCIATES CORPORATION		02/28/2013	CORPORATION: DELAWARE

RECEIVING PARTY DATA

Name:	BANK OF AMERICA, N.A.
Street Address:	One Bryant Park, NY1-100-18-03
City:	New York
State/Country:	NEW YORK
Postal Code:	10036
Entity Type:	National Banking Association: UNITED STATES

PROPERTY NUMBERS Total: 57

Property Type	Number	Word Mark
Registration Number:	3357077	TRUE
Registration Number:	3816925	VAN ECK
Registration Number:	3824057	MARKET VECTORS
Registration Number:	3824053	MARKET VECTORS
Registration Number:	3066794	TRUE ALPHA
Registration Number:	4149986	PEK
Registration Number:	4150014	REMX
Registration Number:	4149995	PRB
Registration Number:	4150020	MOO
Registration Number:	4149978	NLR
Registration Number:	4150007	RSXJ
Registration Number:	4150019	RSX
Registration Number:	4150006	SCIF
Registration Number:	4150024	SLX

**TRADEMARK**

OP \$1440.00 3357077

Registration Number:	4150010	COLX
Registration Number:	4149993	EVX
Registration Number:	4149999	GDX
Registration Number:	4150011	FLTR
Registration Number:	4149997	BJK
Registration Number:	4150001	BRF
Registration Number:	4150017	GDXJ
Registration Number:	4150023	KOL
Registration Number:	4149991	KWT
Registration Number:	4150025	GEX
Registration Number:	4217911	VECTOR INSIGHTS
Registration Number:	4233060	MUNI NATION
Serial Number:	85788231	GERJ
Serial Number:	85789478	PFXF
Serial Number:	85788953	OIH
Serial Number:	85789027	PLND
Serial Number:	85789095	PPH
Serial Number:	85789052	MOAT
Serial Number:	85789127	MLN
Serial Number:	85788995	MES
Serial Number:	85789252	MORT
Serial Number:	85789059	RKH
Serial Number:	85789247	XMPT
Serial Number:	85789044	VNM
Serial Number:	85789108	RTH
Serial Number:	85789116	SMH
Serial Number:	85789172	SMB
Serial Number:	85789202	EMLC
Serial Number:	85788985	EGPT
Serial Number:	85788974	FRAK
Serial Number:	85789087	BBH
Serial Number:	85789434	ANGL
Serial Number:	85788978	AFK
Serial Number:	85789389	CHLC
Serial Number:	85789236	BONO

	85789147	ITM
Serial Number:	85789410	IHY
Serial Number:	85789036	LATM
Serial Number:	85788959	HAP
Serial Number:	85789190	HYD
Serial Number:	85789016	IDXJ
Serial Number:	85789007	IDX
Serial Number:	85789452	HYEM

**CORRESPONDENCE DATA**

Fax Number: 7044448847  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.*  
Phone: 704-343-2000  
Email: ksaltrick@mcguirewoods.com  
Correspondent Name: McGuireWoods LLP  
Address Line 1: 201 North Tryon Street  
Address Line 2: Attention: Adam Greene, Esq.  
Address Line 4: Charlotte, NORTH CAROLINA 28202

ATTORNEY DOCKET NUMBER:	4452178-0502/VAN ECK
NAME OF SUBMITTER:	Adam Greene, Esq./McGuireWoods LLP
Signature:	/Adam Greene/
Date:	02/28/2013

Total Attachments: 9  
source=VAN ECK USPTO Coversheet - Trademarks#page1.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page2.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page3.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page4.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page5.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page6.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page7.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page8.tif  
source=VAN ECK USPTO Coversheet - Trademarks#page9.tif

### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

Van Eck Associates Corporation

- Individual(s)
- Partnership
- Corporation- State: Delaware
- Other \_\_\_\_\_
- Association
- Limited Partnership

Citizenship (see guidelines) USA

Additional names of conveying parties attached?  Yes  No

**3. Nature of conveyance/Execution Date(s) :**

Execution Date(s) February 28, 2013

- Assignment
- Security Agreement
- Other \_\_\_\_\_
- Merger
- Change of Name

**2. Name and address of receiving party(ies)**

Additional names, addresses, or citizenship attached?  Yes  No

Name: Bank of America, N.A.

Street Address: One Bryant Park, NY1-100-18-03

City: New York

State: New York

Country: USA Zip: 10036

- Individual(s) Citizenship \_\_\_\_\_
- Association Citizenship \_\_\_\_\_
- Partnership Citizenship \_\_\_\_\_
- Limited Partnership Citizenship \_\_\_\_\_
- Corporation Citizenship \_\_\_\_\_
- Other National Bank Citizenship USA

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

**4. Application number(s) or registration number(s) and identification or description of the Trademark.**

A. Trademark Application No.(s) Text

See Schedule I attached hereto and incorporated herein.

B. Trademark Registration No.(s)

See Schedule I attached hereto and incorporated herein.

Additional sheet(s) attached?  Yes  No

**C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):**

**5. Name & address of party to whom correspondence concerning document should be mailed:**

Name: McGuireWoods LLP

Internal Address: Attention: Adam Greene, Esq.

Street Address: 201 North Tryon Street

City: Charlotte

State: North Carolina Zip: 28202

Phone Number: 704-343-2000

Docket Number: \_\_\_\_\_

Email Address: agreene@mcguirewoods.com

**6. Total number of applications and registrations involved:**

57

**7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ \$1,440.00**

- Authorized to be charged to deposit account
- Enclosed

**8. Payment Information:**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:** 

Signature

February 28, 2013

Date

Adam Greene, Esq. / McGuireWoods LLP, counsel to Receiving Party

Name of Person Signing

Total number of pages including cover sheet, attachments, and document.

9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

**SCHEDULE I  
TO USPTO COVERSHEET**

**A. U.S. Trademark Registrations**

	Owner	Trademark	Registration No.
1.	Van Eck Associates Corporation	TRUE and Design	3,357,077
2.	Van Eck Associates Corporation	VAN ECK	3,816,925
3.	Van Eck Associates Corporation	MARKET VECTORS and Design	3,824,057
4.	Van Eck Associates Corporation	MARKET VECTORS	3,824,053
5.	Van Eck Associates Corporation	TRUE ALPHA	3,066,794
6.	Van Eck Associates Corporation	PEK	4,149,986
7.	Van Eck Associates Corporation	REMX	4,150,014
8.	Van Eck Associates Corporation	PRB	4,149,995
9.	Van Eck Associates Corporation	MOO	4,150,020
10.	Van Eck Associates Corporation	NLR	4,149,978
11.	Van Eck Associates Corporation	RSXJ	4,150,007
12.	Van Eck Associates Corporation	RSX	4,150,019
13.	Van Eck Associates Corporation	SCIF	4,150,006
14.	Van Eck Associates Corporation	SLX	4,150,024
15.	Van Eck Associates Corporation	COLX	4,150,010
16.	Van Eck Associates Corporation	EVX	4,149,993
17.	Van Eck Associates Corporation	GDX	4,149,999
18.	Van Eck Associates Corporation	FLTR	4,150,011
19.	Van Eck Associates Corporation	BJK	4,149,997
20.	Van Eck Associates Corporation	BRF	4,150,001
21.	Van Eck Associates Corporation	GDXJ	4,150,017
22.	Van Eck Associates Corporation	KOL	4,150,023
23.	Van Eck Associates Corporation	KWT	4,149,991
24.	Van Eck Associates Corporation	GEX	4,150,025
25.	Van Eck Associates Corporation	VECTOR INSIGHTS	4,217,911
26.	Van Eck Associates Corporation	MUNI NATION	4,233,060

**B. U.S. Trademark Applications**

	Owner	Title	Serial No.
27.	Van Eck Associates Corporation	GERJ	85/788,231

	Owner	Title	Serial No.
28.	Van Eck Associates Corporation	PFXF	85/789,478
29.	Van Eck Associates Corporation	OIH	85/788,953
30.	Van Eck Associates Corporation	PLND	85/789,027
31.	Van Eck Associates Corporation	PPH	85/789,095
32.	Van Eck Associates Corporation	MOAT	85/789,052
33.	Van Eck Associates Corporation	MLN	85/789,127
34.	Van Eck Associates Corporation	MES	85/788,995
35.	Van Eck Associates Corporation	MORT	85/789,252
36.	Van Eck Associates Corporation	RKH	85/789,059
37.	Van Eck Associates Corporation	XMPT	85/789,247
38.	Van Eck Associates Corporation	VNM	85/789,044
39.	Van Eck Associates Corporation	RTH	85/789,108
40.	Van Eck Associates Corporation	SMH	85/789,116
41.	Van Eck Associates Corporation	SMB	85/789,172
42.	Van Eck Associates Corporation	EMLC	85/789,202
43.	Van Eck Associates Corporation	EGPT	85/788,985
44.	Van Eck Associates Corporation	FRAK	85/788,974
45.	Van Eck Associates Corporation	BBH	85/789,087
46.	Van Eck Associates Corporation	ANGL	85/789,434
47.	Van Eck Associates Corporation	AFK	85/788,978
48.	Van Eck Associates Corporation	CHLC	85/789,389
49.	Van Eck Associates Corporation	BONO	85/789,236
50.	Van Eck Associates Corporation	ITM	85/789,147
51.	Van Eck Associates Corporation	IHY	85/789,410
52.	Van Eck Associates Corporation	LATM	85/789,036
53.	Van Eck Associates Corporation	HAP	85/788,959
54.	Van Eck Associates Corporation	HYD	85/789,190
55.	Van Eck Associates Corporation	IDXJ	85/789,016
56.	Van Eck Associates Corporation	IDX	85/789,007
57.	Van Eck Associates Corporation	HYEM	85/789,452

EXECUTION VERSION

**NOTICE OF SECURITY INTEREST  
IN U.S. PATENTS AND TRADEMARKS**

February 28, 2013

U.S. Department of Commerce  
U.S. Patent and Trademark Office

Please be advised that the undersigned has granted a continuing security interest in, and a continuing lien upon, all of the trademarks and trademark applications listed on ANNEX I attached hereto and all patents and patent applications listed on ANNEX II attached hereto to **BANK OF AMERICA, N.A.** (the "Lender"), pursuant to that certain Security Agreement, dated as of February 28, 2013 (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"), made by **VAN ECK ASSOCIATES CORPORATION**, a Delaware corporation, **VAN ECK ABSOLUTE RETURN ADVISERS CORPORATION**, a Delaware corporation, and **VAN ECK SECURITIES CORPORATION**, a Delaware (each a "Grantor" and collectively, the "Grantors") in favor of the Lender.

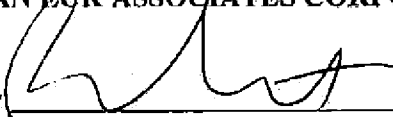
The Lender's security interest in the described patents, patent applications, trademarks and trademark applications can be terminated only in accordance with the terms of the Security Agreement.

*[Signature page follows.]*

V45724225

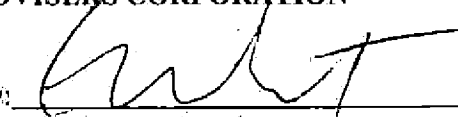
**TRADEMARK  
REEL: 004968 FRAME: 0989**

**VAN ECK ASSOCIATES CORPORATION**

By: 

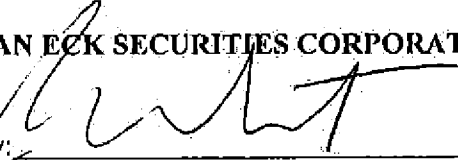
Name: Bruce J. Smith  
Title: Chief Financial Officer

**VAN ECK ABSOLUTE RETURN  
ADVISERS CORPORATION**

By: 

Name: Bruce J. Smith  
Title: Chief Financial Officer

**VAN ECK SECURITIES CORPORATION**

By: 

Name: Bruce J. Smith  
Title: Chief Financial Officer



**ANNEX I**  
**U.S. Patents and Patent Applications**

<b>Owner</b>	<b>Title</b>	<b>Patent No.</b>
Van Eck Associates Corporation	System and method for ranking investment performance	7,707,092

**ANNEX II**  
**U.S. Trademarks and Trademark Applications**

**A. U.S. Trademark Registrations**

<b>Owner</b>	<b>Trademark</b>	<b>Registration No.</b>
Van Eck Associates Corporation	TRUE and Design	3,357,077
Van Eck Associates Corporation	VAN ECK	3,816,925
Van Eck Associates Corporation	MARKET VECTORS and Design	3,824,057
Van Eck Associates Corporation	MARKET VECTORS	3,824,053
Van Eck Associates Corporation	TRUE ALPHA	3,066,794
Van Eck Associates Corporation	PEK	4,149,986
Van Eck Associates Corporation	REMX	4,150,014
Van Eck Associates Corporation	PRB	4,149,995
Van Eck Associates Corporation	MOO	4,150,020
Van Eck Associates Corporation	NLR	4,149,978
Van Eck Associates Corporation	RSXJ	4,150,007
Van Eck Associates Corporation	RSX	4,150,019
Van Eck Associates Corporation	SCIF	4,150,006
Van Eck Associates Corporation	SLX	4,150,024
Van Eck Associates Corporation	COLX	4,150,010
Van Eck Associates Corporation	EVX	4,149,993
Van Eck Associates Corporation	GDX	4,149,999
Van Eck Associates Corporation	FLTR	4,150,011
Van Eck Associates Corporation	BJK	4,149,997
Van Eck Associates Corporation	BRF	4,150,001
Van Eck Associates Corporation	GDXJ	4,150,017
Van Eck Associates Corporation	KOL	4,150,023

Owner	Trademark	Registration No.
Van Eck Associates Corporation	KWT	4,149,991
Van Eck Associates Corporation	GEX	4,150,025
Van Eck Associates Corporation	VECTOR INSIGHTS	4,217,911
Van Eck Associates Corporation	MUNI NATION	4,233,060

**B. U.S. Trademark Applications**

Owner	Title	Serial No.
Van Eck Associates Corporation	GERJ	85/788,231
Van Eck Associates Corporation	PFXF	85/789,478
Van Eck Associates Corporation	OIH	85/788,953
Van Eck Associates Corporation	PLND	85/789,027
Van Eck Associates Corporation	PPH	85/789,095
Van Eck Associates Corporation	MOAT	85/789,052
Van Eck Associates Corporation	MLN	85/789,127
Van Eck Associates Corporation	MES	85/788,995
Van Eck Associates Corporation	MORT	85/789,252
Van Eck Associates Corporation	RKH	85/789,059
Van Eck Associates Corporation	XMPT	85/789,247
Van Eck Associates Corporation	VNM	85/789,044
Van Eck Associates Corporation	RTH	85/789,108
Van Eck Associates Corporation	SMH	85/789,116
Van Eck Associates Corporation	SMB	85/789,172
Van Eck Associates Corporation	EMLC	85/789,202
Van Eck Associates Corporation	EGPT	85/788,985

Owner	Title	Serial No.
Van Eck Associates Corporation	FRAK	85/788,974
Van Eck Associates Corporation	BBH	85/789,087
Van Eck Associates Corporation	ANGL	85/789,434
Van Eck Associates Corporation	AFK	85/788,978
Van Eck Associates Corporation	CHLC	85/789,389
Van Eck Associates Corporation	BONO	85/789,236
Van Eck Associates Corporation	ITM	85/789,147
Van Eck Associates Corporation	IHY	85/789,410
Van Eck Associates Corporation	LATM	85/789,036
Van Eck Associates Corporation	HAP	85/788,959
Van Eck Associates Corporation	HYD	85/789,190
Van Eck Associates Corporation	IDXJ	85/789,016
Van Eck Associates Corporation	IDX	85/789,007
Van Eck Associates Corporation	HYEM	85/789,452

V45724225