#### TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT OF AN UNDIVIDED PART OF ASSIGNOR'S INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type	
Vitro Europa, Ltd.		01/09/2008	CORPORATION: MEXICO	

# RECEIVING PARTY DATA

Name:	Vitro, S.A.B. de C.V.
Street Address:	Ave. Roble 660, Colonia Valle Campestre
City:	Garza Garcia, N.L.
State/Country:	MEXICO
Postal Code: 66265	
Entity Type: CORPORATION: MEXICO	

#### PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Registration Number:	1542139	ALCALI

#### **CORRESPONDENCE DATA**

7038365288 Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Phone: 703-684-5600

Email: jim@sagllp.com, nitasantiago@sagllp.com

JAMES E. SHLESINGER Correspondent Name:

Address Line 1: 5845 RICHMOND HIGHWAY, SUITE 415 ALEXANDRIA, VIRGINIA 22303 Address Line 4:

ATTORNEY DOCKET NUMBER: R2796

#### DOMESTIC REPRESENTATIVE

JAMES E. SHLESINGER Name:

Address Line 1: 5845 RICHMOND HIGHWAY, SUITE 415

Address Line 4: ALEXANDRIA, VIRGINIA 22303

TRADEMARK

REEL: 005112 FRAME: 0224

NAME OF SUBMITTER:	James E. Shlesinger
Signature:	/jes/
Date:	09/16/2013
Total Attachments: 12 source=Assignment Vitro S A B de C V #par source=Assignment Vitro S A B de C V #par source=Assignment Vitro S A B de C V #par	ge2.tif ge3.tif
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# Sales and Purchase Agreement

between

Vitro Europa Ltd., Route André Piller 33a, 1762 Givisiez, Switzerland

(hereinafter "Seller")

and

Vitro, S.A.B. de C.V., Ave. Roble 660, Colonia Valle Campestre, 66265 Garza García, N.L., Mexico

(hereinafter "Purchaser")

regarding

# Intellectual Property Rights of Vitro Europa Ltd.

#### RECITALS

- A. WHEREAS, Seller is a company duly established and existing in accordance with the laws of Switzerland;
- WHEREAS, Purchaser is a company duly established and existing in accordance with the laws of Mexico;
- C. WHEREAS, Seller desires to sell and Purchaser desires to purchase certain intellectual property rights owned by Seller;
- D. WHEREAS, the extraordinary shareholders' meetings of Seller held on 10 December 2007 and 9 January 2008 approved the sale of said intellectual property from Seller to Purchaser.

NOW, THEREFORE, the parties hereby agree as follows:

#### Sale and Purchase of Intellectual Property Rights

- 1. Sale and Purchase of Intellectual Property Rights
- 1.1 Seller hereby sells and Purchaser hereby purchases the intellectual property rights owned by Seller as more specifically defined in <u>Annex A</u> hereto (hereinafter "IP-Rights").
- 1.2. This sale and purchase shall be and become effective as per 1 January 2008.

II.

#### **Purchase Price and Payment**

- 2. Purchase Price
- 2.1. The purchase price to be paid by Purchaser to Seller for the purchase of the IP-Rights shall be CHF 10,864,483 (ten million eight hundred and sixty four thousand four hundred and eighty three Swiss Francs; hereinafter: "Purchase Price").
- The Purchase Price was fixed based on the valuation letter of Baker & McKenzie dated
   December 2007 attached hereto as <u>Annex B</u>.
- 3. Payment

The Purchase Price shall become due and payable and shall be paid by Purchaser to Seller, to the account indicated by Seller, within thirty (30) calendar days from the date of the signature of this Agreement.

111.

#### Registration of the IP-Rights

4. Seller shall, upon demand of Purchaser, deliver to Purchaser any and all information, declarations, confirmations or similar documents and undertake all reasonable acts that may be necessary for the worldwide registration of the IP-Rights in the name of Purchaser.

/x /2.6.

#### Representations and Warranties

#### 5. Representations and Warranties of Seller

- 5.1. Seller hereby represents and warrants that the following is true and correct in all material respects as of the date of the signing of this Agreement:
  - a) Due authorizations
    - Seller is a corporation duly organized and validly existing under the laws of Switzerland and has the corporate power and authority to execute and perform this Agreement.
    - ii) The execution and performance of this Agreement by Seller does not constitute any breach of, or otherwise conflict with, any law, rule or regulation, any corporate document or any material agreement to which Seller is a party.
    - iii) The execution and performance of this Agreement and the consummation of the transaction contemplated herein does not require any registration or filing by Seller or any permit or approval by any governmental or regulatory body, agency or authority, with the execution of the registration of the IP-Rights in the name of Purchaser with the competent registers and/or authorities.
  - b) IP-Rights
- 5.2. Seller has full, unrestricted and unencumbered title to and possession of all IP-Rights sold to Purchaser hereunder. Seller does not make any representations and warranties, whether express or implied, other than those expressly set forth in this Agreement.

#### 6. Representations and Warranties of Purchaser

- 6.1. Purchaser hereby represents and warrants that the following is true and correct in all material respects as of the date of the signing of this Agreement:
  - a) Due authorizations
    - Purchaser is a corporation duly organized and validly existing under the laws of Mexico and has the corporate power and authority to execute and perform this Agreement.
    - ii) Purchaser has obtained all corporate resolutions necessary in order to enter into this Agreement and purchase the IP-Rights.
    - iii) The execution and performance of this Agreement by Purchaser does not constitute any breach of, or otherwise conflict with, any law, rule or regulation, any corporate document or any material agreement to which Purchaser is a party.

iv) The execution and Performance of this Agreement and the consummation of the transaction contemplated herein does not require any registration or filing by Purchaser or any permit or approval by any governmental or regulatory body, agency or authority, with the exception of the registration of the IP-Rights in the name of Purchaser with the competent registers and/or authorities.

#### b) Solvency of Purchaser

Purchaser is solvent and has obtained or secured sufficient funds to reimburse the Loan pursuant to the terms and conditions of the Loan Agreement.

V.

#### Survivals and Remedies

#### 7. Validity of Representations and Warranties

Claims relating to any inaccuracy or breach of the representations and warranties shall have validity for a period of one (1) year following the signing of this Agreement.

#### 8. Limitation of Liability

- 8.1 Any liability of Seller for defects of title of the IP-Rights beyond the representations, warranties and obligations expressly assumed by Seller in this Agreement shall be excluded.
- 8.2 The liability of Seller for breach or inaccuracy of representations and warranties in this Agreement shall be excluded to the extent that the breach or the inaccuracy of the representation and warranty was or should have been known to the Purchaser or its representatives.
- 8.3 In the case of any inaccuracy or breach of representations and warranties, the party in breach shall put the other party in a position as if the respective representations and warranties were correct. If this is factually not possible, the other party shall have the right to request the payment of damages resulting from such inaccuracy or breach.
- 8.4 Every right of rescission (Wandelung or Rücktritt) shall be excluded.

VI.

#### **Miscellaneous**

#### 9. Notices

Any notices to be given under this Agreement shall be given by registered mail or telecopier (with proof of delivery) to the following addresses of the Parties

#### To Seller:

Vitro Europa Ltd Route André Piller 33a 1762 Givisiez Switzerland

Telecopier: ++ 41 (26) 460-3010 Attention: Jaime Rico Garza

#### To Purchaser:

Vitro, S.A.B. de C.V. Av. Roble 660 Colonia Valle del Campestre 66265 Garza García, N.L. México

Telecopier: ++ 52 (81) 8863-1515 Attention: Javier Arechavaleta Santos Legal Department

### 10. Modifications and Amendments

Any modification or amendment of this Agreement, as well as any declaration to be made hereunder, shall only be valid if made in writing unless a stricter form is required by law.

#### 11. Severability

If a provision of this Agreement should be or become invalid or incomplete, the validity of the other provisions of this Agreement shall not be affected thereby. The invalid provision shall be replaced by or the Agreement shall be amended with a legally valid arrangement which comes as closely as possible to the intention of the parties or what would have been the intention of the parties according to the aim and purpose of this Agreement if the parties had been aware of the invalid provision or the lack of the necessary regulation.

#### 12 Annexes

The Annexes to this Agreement shall form an integral part of this Agreement.

#### 13. Applicable Law and Jurisdiction

- 13.1 This Agreement shall be exclusively governed by Swiss law, excluding conflicts of laws.
- 13.2 Exclusive place of jurisdiction for any problems arising out of or in connection with this Agreement shall be at the registered office of Purchaser in Switzerland.

12.6

#### 14. Execution

Vitro Europa Ltd/

This Agreement shall be signed in two (2) originals, one for each party. It may be signed in counterparts.

Signatures: Place and date: Seller:

Zollikon, Switzerland, 9 January 2008

Name: Jaime Rico Garza Title: Chairman of the Board

Zollikon, Switzerland, 9 January 2008
Patricia Guerra

Title: Member of the Board

Purchaser: Vitro, S.A.B. de C.V.

Garza García, N.L. México, 9 January 2008

Name: Claudio Luis del Valle Cabello

Title: Executive Administration Vice President

Garza García, N.L. México, 9 January 2008

Name: Enrique Osorio López
Title: Executive Finance Vice President

#### 14. Execution

This Agreement shall be signed in two (2) originals, one for each party. It may be signed in counterparts.

Signatures:

Place and date:

Seller:

Vitro Europa Ltd.

Name: Jaime Rico Garza

Zollikon, Switzerland, 9 January 2008

Title: Chairman of the Board

Zollikon, Switzerland, 9 January 2008

Patricia Guerra

Title: Member of the Board

Purchaser:

Vitro, S.A.B. de C.V.

Garza García, N.L. México, 9 January 2008

Name: Claudio Luis del Valle Cabello

Title: Executive Administration Vice President

Name: Enrique Osorio López

Title: Executive Finance Vice President

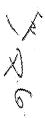
Garza García, N.L. México, 9 January 2008

# Annex

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S S S S S S S S S S S S S S S S S S S	patents and patent applications at dec	CATIONS AT DECEMBER 31%, 2007, SOLD TO VITRO S.A.B. DE.C.V. ON JANUARY 1st. 2009	LOTOVITROSABL	ECV ON TA	MUARY 181, 200	63		
Our Ref	me	ORESIMAL DWINER	ACTUAL OWNER	COUNTRY	146	FRING	Falling ISBUE EXPERIES	EXPORTE DATE
0.115.e.1.20	OBTAINING OF SODIUM SULFATE	MDUSTRIA DEL ALCALI, S.A. DE C.V	VITRO EUROPA, LTD	MEX	E 92/50054 P 183,497	91 12 27 999 12 09	96 12 06	11.12.27
Q-316.e.1.20.1	obtanna of sodem sucfate	MINISTRIA DEL ALCALI, S.A. DE C.V	VITRO EUROPA, LTD	USA	8 09/758,242 P-5,871,551	88 12 22 98 11 27	98 02 16	(2 12 22
D-115,e.120.2	obtaning of Bodium Sulfate	MOUSTRADEL ALCALL S.A. OE.C.Y	WIRD EUROPA, LTD	CANADA	E 2,585,303 P 2,085,203	92 12 23   95 02 01	30 63 01	a a a
0-115.8,1.21	DIRECT OBTAINING OF SUDIUM SULFAT	INDUSTAIA DEL ALCAU, S.A. DE C.V	VITRO EUROPA, LTD	MEX	E 520053 P 181,387	91 (2.27	95 C3 18	11.12.27
0-115-0.1.22	ENVIRONABINT DEHUMIDIETER	ROUSTRIA DEL ALCALI	VITRO EUROPA, LTD.	MEX	MUNIZEOZ/OCIO34	02 11 13		

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(A) WINTER	TUAL PROPERTY OF VITRO	CUMUTA CID.						
RAGEMAR	KS AND TRADEMARK APPLICATI	ONS AT DECEMBER 3	ISC 2007 SOLD TO	VITRO S.A	B.DEC.Y.O	NJANUARY	1st, 2008	
ur. Ref.	MARK	ORKSINAL OWNER	ACTUAL DWHER	COUNTRY	No.	FILING DATE	ISSUE CATE	EXPIRING DATE
415 6.4.19	ALCAL& DESIGN OL 12 INT	INDUSTRIA DEL ALCALI, S.A. DE C.V.	VITRO EUROPA, 130	MEX	E 37,429 R 354,386	88 002 18	89 10 25	13 02 15
1-1:5.e 4.1 <u>9.1</u>	ALCALI & DESIGN CL 8 & 15	INDUSTRIA DEL ALCALI, S.A. DE C.V.	CENTRO DE TECNOLOGIA VIORIERA SE TRANSF A VEUROPA	USA	E 735,982 R 1,542,139	88 06 2 :	69 00 00	09.06.21
1-195 q.k. 19.2	ALCALIS BÉSIGN CL 1 INT	INCUSTRIA DEL ALCAEL SIA BÈ CIV.	VITRO EGROPA CTO	MEX	E 514,111 R 643,595	03 05 11	040721	13.08 11
-115.e4 193	ALCALI & GESIGN CL 81, 64, 66, 13, 17, 22, 28 Y 21 BUT	NOUSTRIA DEL ALCALI, SIÀ GEIC V.	VITRO EUROPA, LID	MEX	£ 16,979 R 353,157	85 11 15	88 00 78	11 11 18
-{15.e.4.15-4	ALCALI & DESIGN CL 21 NT	INDUSTRIA DEL ALCALI, S.A. DE C.V.	WTRO EUROPA, LYD	MEX	E 37,427 R 351,559	88 QZ 15	£8 08 16	13 02 15
-135.e.4 18.5	ALCAL & DESIGN CL 5 INT	NOUSTRIA DEL ALCALI, S.A. DE C.V.	VITRO SUBOPA (TO	MEX	6.014.123 R.929,520	03 03 (1		***************************************
-118.g. <b>4.2</b> 1	RIKALY & DESIGN OL DI NAL	INDUSTRIA DEL ACCALI, S.A. DE C.V.	VITRO EUROPA 1.70	MEX	E,205,845 R,481,301	sid (57 20	9st 12 t06	14 07 20
11564251	BIKALÝ Á DESIGN OLOS	INDUSTRIA DEL ALCALI, S.A. DE C.V.	VITRO EUROPA, L'ID	MEX	R 481,302	D4 G7 20	84.12.05	14 07 20
-115.e 4.22	CALLS & DÉSIGN OL 30 INT	MOUSTRIA DEL ALCALÍ, S.A. DE C.V.	WTRO EUROPA, LTD	MEX	E 97,735 R 404,770	90 10 00	92 02 04	10 10 02
\$16.6423.1	HADA CL 90 NT	industria del alcali, s.a. de c.v.	VERO EUROPA, LTO	MEX	E 218,872 9 483,758	54 12 14	86-01 ZG	141214
155.c424	HADA & DESIG CL 30 INT	NOUSTRIA DEL ALCAU, S'A. DE C.V.	VITRO EUROPA, ETD	MEX	E 219,873 R 483,799	94 12/14	95:01:25	14 12 14
418 e 428	HADITA CI. 30 INT	INDUSTRIA DEL ALCALI, S.A. DE C.V.	VITRO EUROPA, LYO	хэх	E 454,986 R 754,305	00 10 23	CZ 07 03	12 10 23
115 a.4.28	SALEROSA & DESKON CL 30 INT	INDUSTRVA DEL ALCALI, S.A. ÓE.C.V.	WTRO EUROPA, LTD	MEX	E 97,732 R 404,769	-90° 15° 0%	92:02:0X	10 10 02



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Annex B

BAKER & MCKENZIE

Baker & McKenzie México, S.C. Oficinas en el Parque, Torre I Piso 10 Bivd. Antonio L. Rodriguez 1884 Pte. 64650 Monterrey, N.L., México

Tel: +52 81 8399 1300 Fax +52 81 8399 1399 info.mexico@bakemet.com www.bakernet.com

Bangkok Beijing Henci

December 5th, 2007

Ho Chi Minh City Hong Kong Jakarta Kusia Lumpur Manila Melbourne Shanghai Singapore Sydney

Mr. Ricardo Mena Corporate Tax Manager Vitro S.A. de C.V. Ricardo Margain 440 Valle del Campestre

Taipei Tokyo

66265 Garza García, Nuevo León

México

Almaty Amsterdam

RE: Intangible Asset Valuation Results

Baku Barcelona Berlin Bologna

Dear Mr. Mena:

Catro Frankfurt / Main

Per the request of Vitro, S.A. de C.V. ("Vitro" or "the Company"), Baker & McKenzie México S.C. ("Baker & McKenzie") prepared a financial valuation analysis in order to estimate the fair market value as of October 31st, 2007 of the intangible assets property of Vitro Europa Ltd. ("VEUROPA"), the Company's subsidiary resident of Switzerland which

Kyiv London Madrid Milan Munich Parts Prague Riyadh St. Petersburg Stockholm

holds certain intangible assets.

Wensw Zurich North & South Bogota Brasilla Buenos Aires

Vienna

The intangible assets property of VEUROPA include manufacturing intangibles such as patents and industrial designs, as well as marketing intangibles such as trademarks, and other non-classified intangibles such as know how, copyrights and trade secrets, corresponding to Vitro's flat glass business unit.

Caraces Chicago Chihushua Delles Guadalajara Houston Jueroz Mexico City Miami Monterrey Peto Atto Porto Alegre Rio de Janeiro San Diego San Francisc

Seo Paulo Velencio Washington, DC

In view of the information made available to us by the management of the Company and the specific characteristics of the intangible assets under analysis, for purposes of this valuation we selected the financial valuation method commonly referred to as the "Cost Method". The financial valuation analysis assumes that VEUROPA's intangible assets will remain to be in use in the following years.

# BAKER & M9KENZIE

The Cost Method estimates the value of an asset considering the amount of economic resources required to purchase or replace it with a brand new and identical asset. However, if the information required to estimate the replacement value is not available, an alternative approach to the method would be to estimate its value by considering the amount of resources needed to reproduce an asset with similar characteristics.

During the valuation process, Baker & McKenzie reviewed the following elements of information and documentation provided by the management of the Company:

- 1. Nature and background of Vitro's and VEUROPA's businesses;
- 2. Type of intangible asset;
- 3. Remaining useful life of the intangible assets;
- 4. Book value of the intangible assets;
- 5. The expected cash flows to be generated by the intangible assets;
- Audited financial statements of VEUROPA corresponding to tax years 2005 and 2006;
- Interviews with the personnel responsible of the research and development ("R&D")
  activities in Vitro;
- The amount of resources invested by Vitro in R&D activities related to VEUROPA's intangible assets for the period 2002-2007.

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#### BAKER & MCKENZIE

The result of the application of the Cost Method indicates that the fair market value of VEUROPA's intangible assets as of October 31st, 2007 is equivalent to CHF 42,743,109. Such value represents the price that a willing buyer and seller, where neither is under compulsion to buy or sell and when both have reasonable knowledge of the relevant facts, would used in order to exchange the property of the intangible assets.

Sincerely,

Carlos Linares, Ph.D

Latin America Transfer Pricing Group Baker & McKenzie México, S.C.

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