TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM321235

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
NYSE AMEX LLC		05/14/2012	LIMITED LIABILITY COMPANY:

RECEIVING PARTY DATA

Name:	NYSE MKT LLC
Street Address:	86 Trinity Place
City:	New York
State/Country:	NEW YORK
Postal Code:	10006
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Registration Number:	1859977	XMI

CORRESPONDENCE DATA

2156562498 Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 215-656-3385

pto.phil@dlapiper.com Email:

PAUL A. TAUFER, DLA PIPER LLP (US) **Correspondent Name:** Address Line 1: 1650 MARKET STREET, SUITE 4900 Address Line 4: PHILADELPHIA, PENNSYLVANIA 19103

ATTORNEY DOCKET NUMBER:	ASX-14-1332
NAME OF SUBMITTER:	Nancy Nunez
SIGNATURE:	/NN/
DATE SIGNED:	10/27/2014

Total Attachments: 4

source=NYSE AMEX LLC to NYSE MKT LLC]#page1.tif source=NYSE AMEX LLC to NYSE MKT LLC]#page2.tif source=NYSE AMEX LLC to NYSE MKT LLC]#page3.tif source=NYSE AMEX LLC to NYSE MKT LLC]#page4.tif

> **TRADEMARK** REEL: 005388 FRAME: 0151

900305292

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT

COPY OF THE CERTIFICATE OF AMENDMENT OF "NYSE AMEX LLC",

CHANGING ITS NAME FROM "NYSE AMEX LLC" TO "NYSE MKT LLC", FILED

IN THIS OFFICE ON THE FOURTEENTH DAY OF MAY, A.D. 2012, AT 12:23

O'CLOCK P.M.

4486791 8100

120556854

You may verify this certificate online at corp.deleware.gov/authver.shtml

Jeffrey W. Bullock, Secretary of State
AUTHENTY CATION: 9569616

DATE: 05-14-12

TRADEMARK REEL: 005388 FRAME: 0152 State of Delaware Secretary of State Division of Corporations Delivered 12:43 PM 05/14/2012 FILED 12:23 PM 05/14/2012 SRV 120556854 - 4486791 FILE

STATE OF DELAWARE CERTIFICATE OF AMENDMENT

		n of the limited	liability company is hereby amended
		ility company i	NYSE MKT LLC."
e: ine name of t	ne mmiten iiao	umy company i	11 to our succe
THW STAN	TREAST the	undersioned	have executed this Certificate
Se Since	Oav or		, A.U. ∠ ∨ six
14	day or _	11.2	, A.D. <u>2013</u>
14	aay.ot_	· · · ·	
14	day or _	· · · ·	Authorized Person(s)
	s entirety as folls: The name of t	s entirety as follows: The name of the limited liab	

New York State Department of State
Division of Corporations, State Records
and Uniform Commercial Code
One Commerce Plaza, 99 Washington Avenue
Albany, NY 12231
www.dos.ny.gov

CERTIFICATE OF AMENDMENT OF

NYSE Amex LLC

WXZ	SE Amex LLC
(Insert Name of Forei	gn Limited Liability Company)
Under Section 804 of the	Limited Liability Company Law
FIRST: The name of the limited liability co	ompany as it appears on the index of names in
ичз	E Amex LLC
If applicable, the fictitious name the foreign l	limited liability company has agreed to use in this
SECOND: The jurisdiction of organization	
Total.	Y44 C
with the Department of State is:	for authority to do business in this state was filed
October	r 14, 2008
limited liability company is to be changed, se	amended as follows (if the true name of the foreign t forth a statement that the change of name has been its formation and the date the change was so effected):
(A) Paragraph First of the Application for A	authority is amended to read as follows:
"FIRST: The name of the limited liability compeffected in the State of Delaware on May 14, 20	any is NYSE MKT LLC." This name change was
(B) Paragraph of the Application for A	authority is amended to read as follows:
	X JMAM (Hower) (Signature) Janet McGinness
a a	(Type or print name) Secretary/Authorized Person
	(Title of signer)

-1-

CERTIFICATE OF AMENDMENT OF

	NYSE Amex LLC
***************************************	(Insert Name of Foreign Limited Liability Company)
	Under Section 804 of the Limited Liability Company Law
	
Filed by:	Janet McGinness
i nea oy.	(Name)
	20 Broad Street
	(Mailing address)
	New York, New York 10005
	(City, State and ZIP code)
a foreign use this forecomme	his form was prepared by the New York State Department of State for filing a certificate of amendment for limited liability company. It does not contain all optional provisions under the law. You are not required to form. You may draft your own form or use forms available at legal supply stores. The Department of State ands that legal documents be prepared under the guidance of an attorney. The certificate must be submitted witing fee made payable to the Department of State.
(For office	e use only)

DOS-1360 (Rev. 2/12)

RECORDED: 10/27/2014

NY974 - 92:08/2012 Welters Klower Online

TRADEMARK
REEL: 005388 FRAME: 0155