ETAS ID: TM361487

Electronic Version v1.1 Stylesheet Version v1.2

**SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE:** ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL

TRADEMARK ASSIGNMENT COVER SHEET

#### **CONVEYING PARTY DATA**

| Name                         | Formerly | Execution Date | Entity Type             |
|------------------------------|----------|----------------|-------------------------|
| Swanson Vineyards and Winery |          | 08/31/2015     | CORPORATION: CALIFORNIA |

#### **RECEIVING PARTY DATA**

| Name:           | Vintage Wine Estates, Inc. |  |
|-----------------|----------------------------|--|
| Street Address: | 205 Concourse Blvd.        |  |
| City:           | Santa Rosa                 |  |
| State/Country:  | CALIFORNIA                 |  |
| Postal Code:    | 95403                      |  |
| Entity Type:    | CORPORATION: CALIFORNIA    |  |

#### **PROPERTY NUMBERS Total: 11**

| Property Type        | Number  | Word Mark             |
|----------------------|---------|-----------------------|
| Registration Number: | 3599362 | ARSENE                |
| Registration Number: | 3385049 | BON VIVANT CLUB       |
| Registration Number: | 2968138 | CREPUSCULE            |
| Registration Number: | 1757123 | CYGNET                |
| Registration Number: | 2698643 | DECADENCE WITH A WINK |
| Registration Number: | 4106333 | INSTANT NAPA          |
| Registration Number: | 3402683 | MINUIT BLACK MUSCAT   |
| Registration Number: | 3621159 | PETIT MORCEAU         |
| Registration Number: | 1666901 | SWANSON               |
| Registration Number: | 3972490 | SWANSON SIP SHOPPE    |
| Registration Number: | 3677157 | TARDIF                |

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 316-847-4886

angie.gregory@Irico.com Email:

**Correspondent Name:** Angie Gregory 2416 E. 37th St. N. Address Line 1:

**REEL: 005662 FRAME: 0676** 

TRADEMARK 900343495

| Address Line 4: Wich | Wichita, KANSAS 67219 |  |
|----------------------|-----------------------|--|
| NAME OF SUBMITTER:   | Angie Gregory         |  |
| SIGNATURE:           | /angie gregory/       |  |
| DATE SIGNED:         | 11/06/2015            |  |

## **Total Attachments: 8**

source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page1.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page2.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page3.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page4.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page5.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page6.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page7.tif source=Trademark Assignment US with exhibits fully executed (00368698x9C71C)#page8.tif

#### TRADEMARK ASSIGNMENT

THIS TRADEMARK ASSIGNMENT (this "Assignment") is made and entered into as of August 31, 2015 (the "Effective Date"), by and between Swanson Vineyards and Winery, a California corporation ("Assignor") and Vintage Wine Estates, Inc., a California corporation ("Assignee"). Assignor and Assignee are sometimes collectively referred to herein as the "Parties."

## RECITALS

- A. Assignor is the owner of the trademarks identified on <u>Schedule 1</u> (collectively, the "Trademarks"), the domain names identified on <u>Schedule 2</u> (collectively, the "Domain Names"), and certain related websites, trade dress, label designs, copyrights, drawings, direct sales programs, customer lists, mailing lists and wine club membership lists, and other similar intangible assets, owned, held, or used by Assignor in connection with Assignor's winery business, including but not limited to those items which are identified on <u>Schedule 3</u> (the aforementioned items, collectively with the Trademarks and Domain Names, the "IP Assets").
- B. Reference is made to that certain Asset Purchase and Sale Agreement by and between Assignor and Assignee, dated as of July 2015 (the "Purchase Agreement"), pursuant to which Assignee is acquiring from Assignor all rights, title, and interest in the IP Assets, and the Parties seek to file and record this Assignment in connection therewith.
- C. Capitalized terms used but not defined herein shall have the meanings given them in the Purchase Agreement.
- NOW, THEREFORE, incorporating the Recitals, and in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:
- 1. Effective as of the Effective Date, Assignor hereby irrevocably assigns, grants, conveys and transfers to Assignee all of Assignor's rights, title and interest in the Trademarks, the Domain Names, and all other IP Assets (including, but not limited to, the goodwill of the Assignor's business connected with the use of and symbolized by the Trademarks, and all registration rights with respect to the Trademarks, the Domain Names, and all other IP Assets), free of all liens and monetary encumbrances, and all rights to damages or profits, due or to become due, accrued or to accrue, arising out of past, present, or future infringement of said Trademarks, Domain Names and all other IP Assets or injury to said goodwill, together with the right to sue and recover the same in the name of Assignor.
- 2. In the event that any dispute between the Parties hereto should result in litigation or arbitration, the prevailing party shall be reimbursed for all reasonable costs in connection therewith, including, but not limited to, reasonable attorneys' fees and defense costs.

1

- 3. The terms of this Assignment shall bind and inure to the benefit of the Parties hereto and their respective heirs, legal representatives, successors and assigns.
- 4. The Parties hereby agree to execute such other documents and perform such other acts as may be necessary or desirable to carry out the purposes of this Assignment.
- 5. This Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

REMAINDER OF THIS PAGE INTENTIONALLY LEFT BLANK

2

P:\2464\0010\00368259.DOC

IN WITNESS WHEREOF, the Parties have executed this Trademark Assignment as of the date first above written.

# ASSIGNOR:

SWANSON VINEYARDS & WINERY
a California corporation

By:
W. Clarke Swanson, President

## ASSIGNEE:

VINTAGE WINE ESTATES, INC., a California corporation

By: Pat Roney, President

IN WITNESS WHEREOF, the Parties have executed this Trademark Assignment as of the date first above written.

# ASSIGNOR:

SWANSON VINEYARDS & WINERY a California corporation W. Clarke Swanson, President

## ASSIGNEE:

VINTAGE WINE ESTATES, INC., a California corporation

Pat Roney, President

# SCHEDULE 1

# LIST OF TRADEMARKS

| Trademark                    | Registration No. | USPTO or State<br>Registration |
|------------------------------|------------------|--------------------------------|
| ARSENE                       | 3,599,362        | USPTO                          |
| BON VIVANT CLUB              | 3,385,049        | USPTO                          |
| CREPUSCULE                   | 2,968,138        | USPTO                          |
| CYGNET                       | 1,757,123        | USPTO                          |
| DECADENCE WITH A WINK        | 2,698,643        | USPTO                          |
| INSTANT NAPA                 | 4,106,333        | USPTO                          |
| MINUIT BLACK MUSCAT & Design | 3,402,683        | USPTO                          |
| PETIT MORCEAU                | 3,621,159        | USPTO                          |
| SWANSON & Design (black)     | 1,666,901        | USPTO                          |
| SWANSON SIP SHOPPE           | 3,972,490        | USPTO                          |
| TARDIF                       | 3,677,157        | USPTO                          |
| ALEXIS                       | 109,302          | State of California            |
| ALEXIS                       | 104,768          | State of California            |

# SCHEDULE 2

# LIST OF DOMAIN NAMES

| Domain Names         |  |
|----------------------|--|
| alexisnapa.com       |  |
| sipshoppe.com        |  |
| swansonbrands.com    |  |
| swansonsalon.com     |  |
| swansonsipshoppe.com |  |
| swansonvineyards.com |  |
| swansonwines.com     |  |
| thesipshop.com       |  |
| thesipshoppe.com     |  |

#### SCHEDULE 3

#### LIST OF OTHER IP ASSETS

Websites and content therein located at the following internet addresses:

sipshoppe.com swansonbrands.com swansonsalon.com swansonsipshoppe.com swansonvineyards.com swansonwines.com thesipshop.com thesipshoppe.com

Facebook account for Swanson Vineyards — <a href="https://www.facebook.com/SwansonWines">https://www.facebook.com/SwansonWines</a>
Twitter account for Swanson Vineyards @swansonvineyards
Instagram account for Swanson Vineyards — <a href="http://www.yelp.com/biz/swanson-vineyards-rutherford">http://www.yelp.com/biz/swanson-vineyards-rutherford</a>
Pinterest account for Swanson Vineyards — <a href="https://www.pinterest.com/swansonwines">https://www.pinterest.com/swansonwines</a>
YouTube Channel for Swanson Vineyards — <a href="https://www.youtube.com/watch?v=b3IQCkbihsk">https://www.youtube.com/watch?v=b3IQCkbihsk</a>

Swanson Winery Wine Club customer lists Swanson Winery Mailing Lists Swanson Winery Direct Sales Programs

Label Design for Swanson Vineyards and Alexis branded wine, and unregistered copyrights in the "Swanson Swan Design," as demonstrated in the labels below:



[continued on next page]

Trade dress for Swanson Vineyards and Alexis branded wine as demonstrated in photos below:



**RECORDED: 11/06/2015**