

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM410205

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ENTITY CONVERSION		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Crest Investment Partners, LLC		10/14/2016	Limited Liability Company: FLORIDA
RECEIVING PARTY DATA			
Name:	Alpha Quant Advisors, LLC		
Street Address:	220 E. Las Colinas Blvd., Suite 1200		
City:	Irving		
State/Country:	TEXAS		
Postal Code:	75039		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4692242	SMARTALPHA	
CORRESPONDENCE DATA			
Fax Number:	2147455390		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	214-745-5172		
Email:	cberryman@winstead.com		
Correspondent Name:	Cathryn A. Berryman		
Address Line 1:	2728 North Harwood Street, Ste. 500		
Address Line 2:	RE: 49660-B00003		
Address Line 4:	Dallas, TEXAS 75201		
ATTORNEY DOCKET NUMBER:	49660-B00003		
NAME OF SUBMITTER:	Cathryn A. Berryman, attorney of record		
SIGNATURE:	/Cathryn A. Berryman/		
DATE SIGNED:	12/27/2016		
Total Attachments: 2			
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CH \$40.00 4692242

Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A FLORIDA LIMITED LIABILITY COMPANY UNDER THE NAME OF "CREST INVESTMENT PARTNERS, LLC" TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "CREST INVESTMENT PARTNERS, LLC" TO "ALPHA QUANT ADVISORS, LLC", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF OCTOBER, A.D. 2016, AT 5:30 O`CLOCK P.M.



6182779 8100F
SR# 20166214646

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JWBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

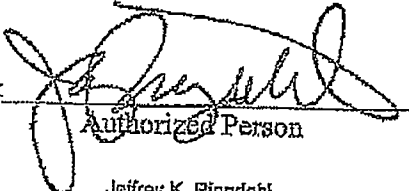
Authentication: 203170613
Date: 10-17-16

TRADEMARK
REEL: 005951 FRAME: 0949

STATE OF DELAWARE
CERTIFICATE OF CONVERSION
FROM A NON-DELAWARE LIMITED LIABILITY COMPANY TO
A DELAWARE LIMITED LIABILITY COMPANY PURSUANT TO
SECTION 18-214 OF THE LIMITED LIABILITY ACT

- 1.) The jurisdiction where the Non-Delaware Limited Liability Company first formed is Florida.
- 2.) The jurisdiction immediately prior to filing this Certificate is Florida.
- 3.) The date the Non-Delaware Limited Liability Company first formed is August 2, 2011.
- 4.) The name of the Non-Delaware Limited Liability Company immediately prior to filing this Certificate is Crest Investment Partners, LLC.
- 5.) The name of the Limited Liability Company as set forth in the Certificate of Formation is Alpha Quant Advisors, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the
14th day of October, A.D. 2016.

By: 
Authorized Person

Name: Jeffrey K. Ringdahl
Print or Type