

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM417032

SUBMISSION TYPE:	RESUBMISSION		
NATURE OF CONVEYANCE:	Transfer Statement Pursuant to Section 9-619 of the Uniform Commercial Code		
RESUBMIT DOCUMENT ID:	900392592		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Direct Flow (Assignment for the Benefit of Creditors), LLC		01/20/2017	Limited Liability Company: CALIFORNIA
RECEIVING PARTY DATA			
Name:	DFM, LLC		
Street Address:	932 Southwood Blvd.		
City:	Incline Village		
State/Country:	NEVADA		
Postal Code:	89451		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Registration Number:	4679453	GENUINE INGENUITY	
Registration Number:	3662885	DIRECT FLOW MEDICAL	
Serial Number:	86694305	DIRECTRACK	
CORRESPONDENCE DATA			
Fax Number:	9494754754		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	949-451-3800		
Email:	skann@gibsondunn.com		
Correspondent Name:	Stephanie Kann		
Address Line 1:	3161 Michelson Drive		
Address Line 2:	Gibson, Dunn & Crutcher LLP		
Address Line 4:	Irvine, CALIFORNIA 92612		
ATTORNEY DOCKET NUMBER:	73748-00033		
NAME OF SUBMITTER:	Stephanie Kann		
SIGNATURE:	/stephanie kann/		
DATE SIGNED:	02/22/2017		

Total Attachments: 12

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**TRANSFER STATEMENT PURSUANT TO SECTION 9-619 OF THE
UNIFORM COMMERCIAL CODE**

(Patents and Trademarks)

This Transfer Statement is dated as of January 20, 2017 and is executed by Direct Flow (assignment for the benefit of creditors), LLC ("Assignee"), in its sole and limited capacity as assignee of Direct Flow Medical, Inc., a Delaware corporation ("DFM"), pursuant to and in accordance with section 9-619 of the Uniform Commercial Code (the "Uniform Commercial Code"), with reference to the following:

1. Secured Party. PDL BioPharma, Inc., a Delaware corporation ("PDL"), the secured party, is, for the benefit of DFM, LLC, a Delaware limited liability company ("DFM LLC"), the holder of (i) secured promissory notes executed by DFM, and (ii) other obligations of DFM arising under that certain (w) Credit Agreement dated as of November 5, 2013, by and between DFM and PDL, (x) Security Agreement dated as of November 5, 2013, by and between DFM and PDL, (y) Trademark Security Agreement dated as of November 5, 2013, executed by DFM in favor of PDL, and (z) Patent Security Agreement dated as of November 5, 2013, executed by DFM in favor of PDL (collectively, the "Obligations").

2. Transferred and Assigned Intellectual Property. As security for payment and performance of the Obligations, DFM granted to PDL, for the benefit of DFM LLC, a security interest in all of DFM's right, title, interest, claim and estate in and to DFM's property, including but not limited to the patents and trademarks identified on Exhibit 1 and Exhibit 2 attached hereto, respectively (the "Intellectual Property").

3. Exercise By Secured Party Of Post-Default Remedies. PDL, for the benefit of DFM LLC, exercised its remedies in respect of the Intellectual Property pursuant to section 9-620 of the Uniform Commercial Code to retain the Intellectual Property in partial satisfaction of the Obligations. Pursuant to that Transfer Statement Pursuant to Section 9-619 of the Uniform Commercial Code, dated as of January 20, 2017 (the "Transfer Statement"), DFM LLC was transferred and assigned all right, title, interest, claim and estate in the Intellectual Property and its proceeds.

4. DFM LLC Has Acquired The Rights of Assignee and DFM In The Intellectual Property. DFM LLC has acquired all right, title, interest, claim and estate heretofore held by Assignee in the Intellectual Property and its proceeds, and to the extent remaining after the assignment to Assignee, all right, title, interest, claim and estate heretofore held by DFM in the Intellectual Property. As a result, DFM LLC is entitled to, among other things, the benefit and enjoyment of all rights conferred upon DFM LLC by section 9-619(b) of the Uniform Commercial Code.

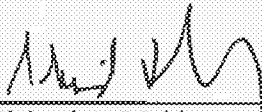
5. Notice. Any notices to be sent in connection with this agreement shall be provided pursuant to, and to the contact information set forth in, the Transfer Statement.

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IN WITNESS WHEREOF, Assignee, PDL, and DFM LLC have executed this transfer statement as of the date first written above.

Sincerely yours,

Direct Flow (assignment for the benefit of creditors), LLC, solely as assignee for the benefit of creditors of Direct Flow Medical, Inc.

By: 
Name: Michael A. Maily
Title: Manager

PDL BIOPHARMA, INC.,
a Delaware corporation

By: _____
Name:
Title:

DFM, LLC,
a Delaware limited liability company

By: _____
Name:
Title:

cc:

Mr. Jeffrey C. Krause, Esq.
Mr. Andrew Cheng, Esq.
Mark Weeks, Esq.
Robert Eisenbach, Esq.
Craig M. Prim, Esq.

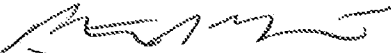
IN WITNESS WHEREOF, Assignee, PDL, and DFM LLC have executed this transfer statement as of the date first written above.

Sincerely yours,

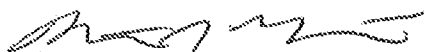
Direct Flow (assignment for the benefit of creditors), LLC, solely as assignee for the benefit of creditors of Direct Flow Medical, Inc.

By: _____
Name: Michael A. Maily
Title: Manager

PDL BIOPHARMA, INC.,
a Delaware corporation

By: 
Name: Peter S. Garcia
Title: CFO + VP

DFM, LLC,
a Delaware limited liability company

By: 
Name: Peter S. Garcia
Title: Co-President + Treasurer

cc:

Mr. Jeffrey C. Krause, Esq.
Mr. Andrew Cheng, Esq.
Mark Weeks, Esq.
Robert Eisenbach, Esq.
Craig M. Prim, Esq.

EXHIBIT 1

All United States and foreign patents and certificates of invention, or similar industrial property rights, including, but not limited to each patent referred to in Exhibit 1-a hereto, and with respect to any and all of the foregoing, (i) all applications therefor including the patent applications referred to in Exhibit 1-a hereto, (ii) all reissues, divisions, continuations, continuations-in-part, extensions, renewals, and reexaminations thereof, (iii) all rights corresponding thereto throughout the world (including, but not limited to, all rights of priority resulting from the filing of any patent applications), (iv) all inventions and improvements described therein, (v) all rights to sue for past, present and future infringements thereof, (vi) all licenses, claims, damages, and proceeds of suit arising therefrom, and (vii) all proceeds, payments and rights to payments arising out of the sale, lease, license, assignment, or other disposition thereof.

EXHIBIT 1-a

Title	Country	Application/Patent Number	Filing Date	Issue Date
Catheter Guidance Through a Calcified Aortic Valve	U.S.	Appl. No. 13/369,201 Patent No. 8,556,881	2/8/2012	10/15/2013
Unstented Heart Valve with Formed in Place Support Structure	U.S.	Appl. No. 11/579,723 Patent No. 8,377,118	12/1/2008	2/19/2013
Method of In Situ Formation of Translumenally Deployable Heart Valve Support	U.S.	Appl. No. 11/775,834 Patent No. 8,308,796	7/10/2007	11/13/2012
Catheter Guidance Through a Calcified Aortic Valve	U.S.	Appl. No. 11/874,825 Patent No. 8,133,213	10/18/2007	3/13/2012
Translumenally Implantable Heart Valve with Multiple Chamber Formed in Place Support	U.S.	Appl. No. 11/122,767 Patent No. 8,012,201	5/5/2005	9/6/2011
Profile Reduction of Valve Implant	U.S.	Appl. No. 11/875,768 Patent No. 7,935,144	10/19/2007	5/3/2011
Nonstented Temporary Valve for Cardiovascular Therapy	U.S.	Appl. No. 11/969,830 Patent No. 7,658,762	1/4/2008	2/9/2010
Percutaneous Heart Valve with Stentless Support	U.S.	Appl. No. 11/112,847 Patent No. 7,641,686	4/22/2005	1/5/2010
Translumenally Implantable Heart Valve with Formed in Place Support	U.S.	Appl. No. 11/122,983 Patent No. 7,556,645	5/5/2005	7/7/2009
Nonstented Heart Valves with Formed In Situ Support	U.S.	Appl. No. 11/123,210 Patent No. 7,534,259	5/5/2005	5/19/2009
Method of In Situ Formation of Translumenally Deployable Heart Valve Support	U.S.	Appl. No. 11/122,978 Patent No. 7,445,630	5/5/2005	11/4/2008
Methods of Cardiac Valve Replacement Using Nonstented Prosthetic Valve	U.S.	Appl. No. 11/122,988 Patent No. 7,435,257	5/5/2005	10/14/2008

Title	Country	Application/Patent Number	Filing Date	Issue Date
Nonstented Temporary Valve for Cardiovascular Therapy	U.S.	Appl. No. 11/122,768 Patent No. 7,320,704	5/5/2005	1/22/2008
Prosthetic Implant Delivery Device with Introducer Catheter	U.S.	Appl. No. 13/777,745 Patent No. 9,445,897	2/26/2013	9/20/2016
Method of In Situ Formation of Translumenally Deployable Heart Valve Support	U.S.	Appl. No. 13/648,190 Publ. No. 20130041458	10/9/2012	
Percutaneous Heart Valve with Inflatable Support	U.S.	Appl. No. 13/450,356 Publ. No. 20120277855	4/18/2012	
Inflation Media for Implants	U.S.	Appl. No. 13/110,812 Patent No. 9,433,501	5/18/2011	9/6/2016
Low Crossing Profile Delivery Catheter for Cardiovascular Prosthetic Implant	U.S.	Appl. No. 13/110,780 Publ. No. 20120016468	5/18/2011	
Method of Treating a Patient Using a Retrievable Transcatheter Prosthetic Heart Valve	U.S.	Appl. No. 13/069,209 Patent No. 9,510,941	3/22/2011	12/6/2016
Profile Reduction of Valve Implant	U.S.	Appl. No. 13/045,468 Publ. No. 20110166648	3/10/2011	
Translumenally Implantable Heart Valve with Formed in Place Support	U.S.	Appl. No. 12/976,671 Patent No. 9,308,360	12/22/2010	4/12/2016
Stentless Aortic Valve Replacement with High Radial Strength	U.S.	Appl. No. 11/422,841 Patent No. 8,568,477	6/7/2006	10/29/2013
Prosthetic Implant Delivery Device	U.S.	Appl. No. 14/826,112 Publ. No. 20160045311	8/13/2015	
Stentless Aortic Valve Replacement with High Radial Strength	U.S.	Appl. No. 14/037,734 Publ. No. 20140163667	9/26/2013	
Translumenally Implantable Heart Valve with Formed in Place Support	U.S.	Appl. No. 15/061,743 Publ. No. 20160317291	3/4/2016	

Title	Country	Application/Patent Number	Filing Date	Issue Date
Catheter Guidance Through a Calcified Aortic Valve	U.S.	Appl. No. 15/238,568	8/16/2016	
	U.S.	Appl. No. 15/297,282	10/19/2016	
Inflation Media for Implants	U.S.	Appl. No. 15/225,541	8/1/2016	
Prosthetic Implant Delivery Device with Introducer Catheter	U.S.	Appl. No. 15/233,499	8/10/2016	
Heart Valve	U.S.	Appl. No. 62/294,945	2/12/2016	
Heart Valve	U.S.	Appl. No. 62/413,924	10/27/2016	
Cardiovascular Prosthetic Valve	Australia	Appl. No. 2008288796 Patent No. 2008288796	8/22/2008	7/3/2014
Inflation Media for Implants	EPO	Appl. No. 11784267.4 Publ. No. EP2571561	5/19/2011	
Low Crossing Profile Delivery Catheter for Cardiovascular Prosthetic Implant	EPO	Appl. No. 11784260.9 Publ. No. EP2571556	5/19/2011	
Prosthetic Implant Delivery Device	PCT	Appl. No. PCT/US2015/045086	8/13/2015	
Prosthetic Implant Delivery Device with Introducer Catheter	China	Appl. No. CN2013833298 Publ. No. CN104602646	4/29/13	
Prosthetic Implant Delivery Device with Introducer Catheter	EPO	Appl. No. 13724443.0 Publ. No. EP2844191	4/29/13	
Stentless Aortic Valve Replacement with High Radial Strength	Japan	Patent No. 5119148		10/26/2012
Stentless Heart Valve Including Support Structure Formed at Site	Japan	Appl. No. 20140091393 Publ. No. JP2014195699	4/25/2014	
Translumenally Implantable Heart Valve with Formed in Place Support	Canada	Appl. No. CA 2697364	8/22/2008	

Title	Country	Application/Patent Number	Filing Date	Issue Date
Translumenally Implantable Heart Valve with Formed in Place Support	EPO	Appl. No. 08798557.8 Patent No. EP2190379	8/22/2008	6/15/2016
Translumenally Implantable Heart Valve with Formed in Place Support	EPO	Appl. No. 16171391.2 Publ. No. EP3075355	8/22/2008	
Translumenally Implantable Heart Valve with Formed in Place Support	France	Appl. No. EP08798557.8 Patent No. EP2190379	8/22/2008	6/15/2016
Translumenally Implantable Heart Valve with Formed in Place Support	Germany	Appl. No. 602008044729.4 Patent No. EP2190379	8/22/2008	6/15/2016
Translumenally Implantable Heart Valve with Formed in Place Support	Ireland	Appl. No. 08798557.8 Patent No. EP2190379	8/22/2008	6/15/2016
Translumenally Implantable Heart Valve with Formed in Place Support	Netherlands	Appl. No. EP08798557.8 Patent No. EP2190379	8/22/2008	6/15/2016
Translumenally Implantable Heart Valve with Formed in Place Support	Switzerland	Appl. No. 08798557 Patent No. EP2190379	8/22/2008	6/15/2016
Translumenally Implantable Heart Valve with Formed in Place Support	U.K.	Appl. No. EP08798557.8 Patent No. EP2190379	8/22/2008	6/15/2016
Transluminally Implantable Heart Valve with Formed In Place Support	Japan	Appl. No. 2013152405 Patent No. 5699186	7/23/2013	2/20/2015
Transluminally Implantable Heart Valve with Formed In Place Support	Japan	Patent No. 5329542		8/2/2013
Unstented Heart Valve with Formed in Place Support Structure	Canada	Appl. No. CA 2828619	5/5/2005	

Title	Country	Application/Patent Number	Filing Date	Issue Date
Unstented Heart Valve with Formed In Place Support Structure	EPO	Appl. No. 11179539.9 Publ. No. EP2425801	5/5/2005	
Unstented Heart Valve with Formed In Place Support Structure	EPO	Appl. No. 11179543.1 Publ. No. EP2422751	5/5/2005	
Unstented Heart Valve with Formed In Place Support Structure	Canada	Appl. No. CA 2563426 Patent No. CA 2563426	5/5/2005	12/24/2013
Unstented Heart Valve with Formed In Place Support Structure	EPO	Appl. No. 05770163.3 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	France	Appl. No. EP05770163.3 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	Germany	Appl. No. 602005038346.8 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	Ireland	Appl. No. 05770163.3 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	Italy	Appl. No. 05770163.3 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	Japan	Appl. No. 2012278637 Patent No. 5568621	12/20/2012	6/27/2014
Unstented Heart Valve with Formed In Place Support Structure	Japan	Patent No. 5227588		3/22/2013
Unstented Heart Valve with Formed In Place Support Structure	Netherlands	Appl. No. EP05770163.3 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	Spain	Appl. No. 05770163 Patent No. EP1750622	5/5/2005	2/27/2013
Unstented Heart Valve with Formed In Place Support Structure	Switzerland	Appl. No. 05770163 Patent No. EP1750622	5/5/2005	2/27/2013

Title	Country	Application/Patent Number	Filing Date	Issue Date
Unstented Heart Valve with Formed In Place Support Structure	U.K.	Appl. No. EP05770163.3 Patent No. EP1750622	5/5/2005	2/27/2013

EXHIBIT 2

- a. All United States, State and foreign trademarks, service marks, certification marks, collective marks, trade names, corporate names, d/b/as, business names, fictitious business names, Internet domain names, trade styles, logos, other source or business identifiers, designs and general intangibles of a like nature and, with respect to any and all of the foregoing: (i) all registrations and applications therefor including, but not limited to, the registrations and applications referred to in Exhibit 2-a hereto;
- b. the goodwill of the business symbolized thereby;
- c. all rights corresponding thereto throughout the world;
- d. all rights to sue for past, present and future infringement or dilution thereof or for any injury to goodwill;
- e. all licenses, claims, damages, and proceeds of suit arising therefrom; and
- f. all payments and rights to payments arising out of the sale, lease, license assignment or other disposition thereof.

Notwithstanding anything herein to the contrary, in no event shall the Intellectual Property transferred and assigned to DFM LLC include any applications for trademark filed in the United States Patent and Trademark Office pursuant to 15 U.S.C. § 1051 Section 1(b), only to the extent that the grant of a security interest therein would result in the abandonment, invalidation or unenforceability of the trademarks matured from such application or rights hereunder and only until evidence of the use of such trademarks in commerce, as defined in 15 U.S.C. Section 1127, is submitted to, and accepted by, the United States Patent and Trademark Office pursuant to 15 U.S.C. § 1051 Section 1(c) or 1(d), following which filing all such applications shall automatically become Intellectual Property transferred and assigned to DFM LLC.

EXHIBIT 2-a

Mark	Country	Serial/Registration Number	Filing/Registration Date
GENUINE INGENUITY	U.S.	Serial No. 85/918,243 Reg. No. 4,679,453	Filing Date 4/29/2013 Reg. Date 1/27/2015
DIRECT FLOW MEDICAL	U.S.	Serial No. 77/547,275 Reg. No. 3,662,885	Filing Date 8/14/2008 Reg. Date 8/4/2009
DIRECTRACK	U.S.	Serial No. 86/694,305	Filing Date 7/15/2015
DIRECT FLOW MEDICAL	Canada	Appl. No. 1427761 Reg. No. TMA840556	Filing Date 2/13/2009 Reg. Date 1/17/2013
DIRECT FLOW MEDICAL	Colombia	Appl. No. 12112804 Reg. No. 464901	Filing Date 7/5/2012 Reg. Date 11/21/2012
DIRECT FLOW MEDICAL	IR/Madrid	Appl. No. 993522 Reg. No. 993522	Filing Date 2/13/2009 Reg. Date 2/13/2009
DIRECT FLOW MEDICAL	IR/EU	Appl. No. 993522 Reg. No. 993522	Filing Date 2/13/2009 Reg. Date 2/13/2009
DIRECT FLOW MEDICAL	IR/JP	Appl. No. 993522 Reg. No. 993522	Filing Date 2/13/2009 Reg. Date 2/13/2009
DIRECTRACK	IR/Madrid	Appl. No. 1289612 Reg. No. 1289612	Filing Date 1/8/2016 Reg. Date 1/8/2016
DIRECTRACK	IR/EU	Appl. No. 1289612 Reg. No. 1289612	Filing Date 1/8/2016 Reg. Date 1/8/2016

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