# CH \$565.00 297316

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM435977

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
CARRIX HOLDINGS, LLC		07/19/2017	Limited Liability Company: DELAWARE
CARRIX, INC.		07/19/2017	Corporation: WASHINGTON
CARRIX CANADA, INC.		07/19/2017	Corporation: WASHINGTON
FRS AFRICA, INC.		07/19/2017	Corporation: WASHINGTON
INLAND SERVICES, INC.		07/19/2017	Corporation: WASHINGTON
RAIL SERVICES OF AMERICA, INC.		07/19/2017	Corporation: WASHINGTON
SSA MARINE, INC.		07/19/2017	Corporation: WASHINGTON
SSA ASIA, INC.		07/19/2017	Corporation: WASHINGTON
SSA INTERNATIONAL, INC.		07/19/2017	Corporation: WASHINGTON
SSA MEXICO, INC.		07/19/2017	Corporation: WASHINGTON
SSA NEW ZEALAND, INC.		07/19/2017	Corporation: WASHINGTON
TIDEWORKS TECHNOLOGY, INC.		07/19/2017	Corporation: WASHINGTON

## **RECEIVING PARTY DATA**

Name:	DNB BANK ASA, NEW YORK BRANCH		
Street Address:	200 PARK AVENUE, 31ST FLOOR		
City:	NEW YORK		
State/Country:	NEW YORK		
Postal Code:	10166		
Entity Type:	PUBLIC LIMITED COMPANY: NORWAY		

## **PROPERTY NUMBERS Total: 22**

Property Type	Number	Word Mark
Registration Number:	2973161	CARRIX
Registration Number:	2973162	CARRIX
Registration Number:	2978667	CARRIX
Registration Number:	4732629	CREATING SUCCESS
Registration Number:	2973163	
Registration Number:	2973164	

TRADEMARK REEL: 006110 FRAME: 0381

900414206

Property Type	Number	Word Mark			
Registration Number:	2995777				
Registration Number:	4274997	DIGITAL BRIDGE			
Registration Number:	3328687	FORECAST			
Registration Number:	3328688	GATEVISION			
Registration Number:	4112962	INTERMODAL PRO			
Registration Number:	4119502	IPRO			
Registration Number:	2778138	MAINSAIL TERMINAL MANAGEMENT SYSTEM			
Registration Number:	3901658	MAINSAIL VANGUARD			
Registration Number:	2742584	SPINNAKER PLANNING MANAGEMENT SYSTEM			
Registration Number:	2973165	SSA MARINE			
Registration Number:	2973166	SSA MARINE			
Registration Number:	2973167	SSA MARINE			
Registration Number:	1417751	STEVEDORING SERVICES OF AMERICA			
Registration Number:	3053683	Т			
Registration Number:	2820125	TIDEWORKS TECHNOLOGY			
Serial Number:	86846413	TIDEWORKS INSIGHT			

#### **CORRESPONDENCE DATA**

**Fax Number:** 6508385109

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 650-838-3743
Email: ilik@shearman.com

Correspondent Name: MARIE-ALEXIS VALENTE
Address Line 1: 599 Lexington Avenue
Address Line 2: Shearman & Sterling LLP
Address Line 4: New York, NEW YORK 10022

ATTORNEY DOCKET NUMBER:	34201/6
NAME OF SUBMITTER:	MARIE-ALEXIS VALENTE
SIGNATURE:	/MARIE-ALEXIS VALENTE/
DATE SIGNED:	07/20/2017

## **Total Attachments: 12**

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#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This INTELLECTUAL PROPERTY SECURITY AGREEMENT (as amended, amended and restated, supplemented or otherwise modified from time to time, the "IP Security Agreement") dated as of July 19, 2017, is made by the Persons listed on the signature pages hereof (collectively, the "Grantors") in favor of DNB Bank ASA, New York Branch, as collateral agent (the "Collateral Agent") for the Secured Parties (as defined in the Intercreditor Agreement referred to below).

WHEREAS, (a) Carrix, Inc., a Washington corporation (the "Company"), and the guarantors named therein have entered into a Credit Agreement dated as of July 19, 2017 (as amended, amended and restated, supplemented or otherwise modified from time to time, the "Credit Agreement"), with DNB Bank ASA, New York Branch, as Administrative Agent and as Collateral Agent, and the lenders from time to time party thereto and (b) the Company has issued Guaranteed Senior Secured Notes pursuant to a Note and Guaranty Agreement, dated as of July 19, 2017 (as amended, amended and restated, supplemented, refinanced, replaced or otherwise modified from time to time, the "Note and Guaranty Agreement"), among the Company, Holdings, certain of the Company's subsidiaries and the Senior Secured Note Purchasers.

WHEREAS, the Company and the other Grantors have entered into the Collateral Agency and Intercreditor Agreement, dated as of July 19, 2017, (as amended, amended and restated, supplemented or otherwise modified from time to time, the "*Intercreditor Agreement*") with the Agents, the Senior Secured Noteholders, each Secured Hedge Bank from time to time party thereto and each other Secured Party from time to time party thereto, pursuant to which the Secured Parties (other than the Collateral Agent) have appointed DNB Bank ASA, New York Branch, as Collateral Agent for the benefit of the Secured Parties with respect to the Collateral. Terms defined in the Intercreditor Agreement and not otherwise defined herein (including the recitals hereto) are used herein as defined in the Intercreditor Agreement.

WHEREAS, as a condition precedent to (a) the making of Senior Facilities Loans and the issuance of Letters of Credit (as defined in the Credit Agreement) under the Credit Agreement, (b) the entry into Secured Hedge Agreements by the Secured Hedge Banks from time to time and (c) the purchase of the Senior Secured Notes by the Senior Secured Note Purchasers pursuant to the Note and Guaranty Agreement, each Grantor and the other grantors party thereto have executed and delivered that certain Security Agreement dated July 19, 2017 among the Grantors, the other grantors party thereto and the Collateral Agent (as amended, amended and restated, supplemented or otherwise modified from time to time, the "Security Agreement").

WHEREAS, under the terms of the Security Agreement, the Grantors have granted to the Collateral Agent, for the ratable benefit of the Secured Parties, a security interest in, among other property, certain intellectual property of the Grantors, and have agreed as a condition thereof to execute this IP Security Agreement for recording with the U.S. Patent and Trademark Office, the United States Copyright Office and other governmental authorities.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each Grantor agrees as follows:

SECTION 1. <u>Grant of Security</u>. To secure the payment when due (whether by acceleration or otherwise) of all of the Secured Obligations, each Grantor hereby grants to the Collateral Agent for the ratable benefit of the Secured Parties a security interest in all of such Grantor's right, title and interest in and to the following, in each case, as to each type of property described below, whether now owned or hereafter acquired by such Grantor, wherever located, and whether now or hereafter existing or arising (the "*Collateral*"):

- (a) the patents and patent applications set forth in <u>Schedule A</u> hereto (the "*Patents*");
- (b) the trademark and service mark registrations and applications set forth in <u>Schedule B</u> hereto, together with the goodwill symbolized thereby (the "*Trademarks*");
- (c) the copyright registrations and applications and exclusive copyright licenses set forth in <u>Schedule C</u> hereto (the "*Copyrights*");
- (d) all reissues, divisions, continuations, continuations-in-part, extensions, renewals and reexaminations of any of the foregoing, all rights in the foregoing provided by international treaties or conventions, all rights corresponding thereto throughout the world and all other rights of any kind whatsoever of such Grantor accruing thereunder or pertaining thereto;

provided that, notwithstanding anything to the contrary in this IP Security Agreement, this IP Security Agreement shall not constitute a grant of a security interest in any Collateral that would result in the forfeiture or impairment of any Grantor's rights in such property including any Trademark applications filed in the United States Patent and Trademark Office on the basis of such Grantor's "intent-to-use" such Trademark, unless and until acceptable evidence of use of such Trademark has been filed with the United States Patent and Trademark Office pursuant to Section 1(c) or Section 1(d) of the Lanham Act (15 U.S.C. 1051, et seq.).

SECTION 2. Security for Obligations. The grant of a security interest in, the Collateral by each Grantor under this IP Security Agreement secures the payment of all Secured Obligations of such Grantor now or hereafter existing under or in respect of the Secured Obligation Documents, whether direct or indirect, absolute or contingent, and whether for principal, reimbursement obligations, interest, premiums, penalties, fees, indemnifications, contract causes of action, costs, expenses or otherwise. Without limiting the generality of the foregoing, this IP Security Agreement secures, as to each Grantor, the payment of all amounts that constitute part of the Secured Obligations and that would be owed by such Grantor to any Secured Party under the Secured Obligation Documents but for the fact that such Secured Obligations are unenforceable or not allowable due to the existence of a bankruptcy, reorganization or similar proceeding involving a Grantor.

SECTION 3. <u>Recordation</u>. Each Grantor authorizes and requests that the Register of Copyrights, the Commissioner for Patents and the Commissioner for Trademarks and any other applicable government officer record this IP Security Agreement.

SECTION 4. Execution in Counterparts. This IP Security Agreement may be executed in any number of counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement. Delivery by telecopier or other electronic communication of an executed counterpart of this IP Security Agreement, any amendment or waiver of any provision of this IP Security Agreement or of any IP Security Agreement Supplement or Schedule hereto shall be effective as delivery of an original executed counterpart thereof.

SECTION 5. Grants, Rights and Remedies. This IP Security Agreement has been entered into in conjunction with the provisions of the Security Agreement. Each Grantor does hereby acknowledge and confirm that the grant of the security interest hereunder to, and the rights and remedies of, the Collateral Agent with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are incorporated herein by reference as if fully set forth herein. If there is any conflict between the terms of this Agreement and the Security Agreement, the terms of the Security Agreement shall govern.

SECTION 6. <u>Governing Law</u>. This IP Security Agreement shall be governed by, and construed in accordance with, the laws of the State of New York.

IN WITNESS WHEREOF, each Grantor has caused this IP Security Agreement to be duly executed and delivered by its officer thereunto duly authorized as of the date first above written.

[Signature Pages Follow]

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IN WITNESS WHEREOF, each Grantor has caused this IP Security Agreement to be duly executed and delivered by its officer thereunto duly authorized as of the date first above written.

CARRIX HOLDINGS, LLC

Ву

Vanyle: Jaime Neal

Fitle: Senior Vice President, Treasurer and

Assistant Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

CARRIX, INC.

By

,Name: Jaime Neal

Time: Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

CARRIX CANADA, INC.

(y ) \_\_\_\_\_

Vame: Jaime Neal

Titls: Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134 FRS AFRICA, INC.

Ву

Name: Jaime Neal

Title Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

INLAND SERVICES, INC.

 $B_V$ 

Name/Jaime Nea

Title: Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

RAIL SERVICES OF AMERICA, INC.

By

Mame Jaime Neal

Title Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

SSA MARINE, INC.

Ву

Name: Jaime Neal

শির্মার্ড: Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

[Signature Page to Intellectual Property Security Agreement]

SSA ASIA, INC.

Name/Jaime Neal

title/Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

SSA INTERNATIONAL, INC.

Βv

Name: Jaime Neal

Thle: Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

SSA MEXICO, INC.

Day

Name/ Jaime Neal

(Fit)e Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

SSANEW ZEALAND, INC.

Name: Jaime Neal

Title: Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134

[Signature Page to Intellectual Property Security Agreement]

TIDEWORKS TECHNOLOGY, INC.

Name/Jaime Neal

Title Senior Vice President and Treasurer

Address for Notices: 1131 SW Klickitat Way Seattle, WA 98134 DNB BANK ASA, NEW YORK BRANCH, as Collateral Agent

By:\_\_\_\_ Name:

Mägdalena Brzostowska First Vice President

Title:

By:

Name: Title:

V**aúlm Shulov** Assistant Treasurer

REEL: 006110 FRAME: 0391

# Schedule A

## **Patents**

None.

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# Schedule B

# **Trademarks**

Trademark	Country	Reg. No. / Date	App. No. / Filed	Owner	Status
CARRIX	United	2973161	78234471	Carrix, Inc.	Registered
	States	19-JUL-2005	07-APR-2003		
CARRIX	United	2973162	78234481	Carrix, Inc.	Registered
	States	19-JUL-2005	07-APR-2003		
CARRIX	United	2978667	78234475	Carrix, Inc.	Registered
	States	26-JUL-2005	07-APR-2003		
CREATING SUCCESS	United	4732629	86166612	Tideworks	Registered
	States	05-MAY- 2015	15-JAN-2014	Technology, Inc.	
DESIGN ONLY	United	2973163	78234490	Carrix, Inc.	Registered
	States	19-JUL-2005	07-APR-2003		
DESIGN ONLY	United	2973164	78234498	Carrix, Inc.	Registered
	States	19-JUL-2005	07-APR-2003		
DESIGN ONLY	United	2995777	78234502	Carrix, Inc.	Registered
	States	13-SEP-2005	07-APR-2003		
DIGITAL BRIDGE	United	4274997	85633359	Tideworks	Registered
	States	15-JAN-2013	23-MAY-2012	Technology, Inc.	
FORECAST	United	3328687	77110257	Tideworks	Registered
	States	06-NOV- 2007	18-FEB-2007	Technology, Inc.	
GATEVISION	United	3328688	77110258	Tideworks	Registered
	States	06-NOV- 2007	18-FEB-2007	Technology, Inc.	
INTERMODAL PRO	United	4112962	85225456	Tideworks	Registered
	States	13-MAR- 2012	25-JAN-2011	Technology, Inc.	
IPRO	United	4119502	85225328	Tideworks	Registered
	States	27-MAR- 2012	25-JAN-2011	Technology, Inc.	
MAINSAIL TERMINAL	United	2778138	75896192	Tideworks	Registered
MANAGEMENT SYSTEM	States	28-OCT-2003	13-JAN-2000	Technology, Inc.	
MAINSAIL VANGUARD	United	3901658	77837612	Tideworks	Registered
	States	04-JAN-2011	29-SEP-2009	Technology, Inc.	
SPINNAKER PLANNING	United	2742584	75896360	Tideworks	Registered
MANAGEMENT SYSTEM	States	29-JUL-2003	13-JAN-2000	Technology, Inc.	
SSA MARINE	United	2973165	78234515	Carrix, Inc.	Registered
	States	19-JUL-2005	07-APR-2003		
SSA MARINE	United	2973166	78234524	Carrix, Inc.	Registered
	States	19-JUL-2005	07-APR-2003		
SSA MARINE	United States	2973167	78234526 07-APR-2003	Carrix, Inc.	Registered
		19-JUL-2005			
STEVEDORING SERVICES OF	United	1417751	73550533	SSA Containers,	Registered
AMERICA	States	18-NOV- 1986	29-JUL-1985	Inc.	
Т	United	3053683	78462969	Tideworks	Registered
	States	31-JAN-2006	05-AUG-2004	Technology, Inc.	11091510104
TIDEWORKS TECHNOLOGY	United	2820125	75896188	Tideworks	Registered
	States	02-MAR- 2004	13-JAN-2000	Technology, Inc.	110910100

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Trademark	Country	Reg. No. / Date	App. No. / Filed	Owner	Status
TIDEWORKS INSIGHT	United States	N/A	86846413 11-DEC-2015	Tideworks Technology, Inc.	Pending (ITU)

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# Schedule C

# **Copyrights**

Title of Work	Country	Registration Number	Registration Date	Owner
Mainsail Terminal Management System	United States	Txu955423	15-MAY-2000	Stevedoring Services of
				America, Inc., d/b/a Tideworks Technology

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**RECORDED: 07/20/2017**