

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM441715

<b>SUBMISSION TYPE:</b>	RESUBMISSION		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>RESUBMIT DOCUMENT ID:</b>	900418165		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Oerlikon Trading AG, Trübbach		12/10/2014	Corporation: SWITZERLAND
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Oerlikon Surface Solutions AG, Trübbach		
<b>Street Address:</b>	Hauptstrasse 53		
<b>City:</b>	Trübbach		
<b>State/Country:</b>	SWITZERLAND		
<b>Postal Code:</b>	9477		
<b>Entity Type:</b>	Corporation: SWITZERLAND		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	2095456	DICHROLIGHT	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8453597798		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	8453597700		
<b>Email:</b>	jzaccaria@notaromichalos.com, asmith@notaromichalos.com		
<b>Correspondent Name:</b>	John Zaccaria		
<b>Address Line 1:</b>	100 Dutch Hill Road, Suite 240		
<b>Address Line 4:</b>	Orangeburg, NEW YORK 10962		
<b>ATTORNEY DOCKET NUMBER:</b>	H37-038		
<b>DOMESTIC REPRESENTATIVE</b>			
<b>Name:</b>	John Zaccaria		
<b>Address Line 1:</b>	100 Dutch Hill Road, Suite 240		
<b>Address Line 4:</b>	Orangeburg, NEW YORK 10962		
<b>NAME OF SUBMITTER:</b>	John Zaccaria		
<b>SIGNATURE:</b>	/H37-038-JZ-as/		

<b>DATE SIGNED:</b>	09/01/2017
---------------------	------------

**Total Attachments: 3**

source=HR-Auszug OSS \_#page1.tif

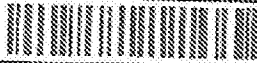
source=HR-Auszug OSS \_#page2.tif

source=HR-Auszug OSS \_#page3.tif



# COMMERCIAL REGISTER OF CANTON ST. GALLEN

Identification number	Legal status	Entry	Cancelled	Carried CH-320.3 040.000-8 from:	1
CHE-106.812.396	Limited or Corporation (Ltd)	21.12.1995		on:	



All records

In	Ca	Business name	Ref	Legal seat
1	4	Balzers-Hochvakuum-AG	0	so far, Zürich
1	4	(Balzers-technique-du-vido SA) (Balzers S.p.A. tecnica dell'alto vuoto)	1	Wartau
4	11	Unaxis-Trading-AG		
4	11	(Unaxis-Trading Ltd) (Unaxis-Trading SA)		
11	26	Oerlikon-Trading-AG, Trübbach		
11	26	(Oerlikon-Trading Ltd, Trübbach) (Oerlikon-Trading SA, Trübbach)		
26		Oerlikon Surface Solutions AG, Trübbach		
26		(Oerlikon Surface Solutions Ltd, Trübbach) (Oerlikon Surface Solutions SA, Trübbach)		

In	Ca	Share capital (CHF)	Paid in (CHF)	Shares	In	Ca	Company address
1		8'000'000.00	8'000'000.00	6'000 Namenaktien zu CHF 1'000.00	1	8	o/o Balzers-AG 8477 Trübbach
					8	11	o/o Unaxis-Balzers-AG 8477 Trübbach
					11	18	o/o OERLIKON-BALZERS AG Hauptstrasse 8477 Trübbach
					18	20	Hauptstrasse 8477 Trübbach
					20	23	Hauptstrasse 1a 8477 Trübbach
					23		Hauptstrasse 53 8477 Trübbach

In	Ca	Purpose	In	Ca	Postal address
1	1	Vertrieb von technischen Geräten aller Art, insbesondere von Hochvakuumgeräten und -anlagen unter den Markenbezeichnungen "Balzers" und "Pfeiffer", sowie von unter Verwendung von Vakuum hergestellten Produkten, usw.			
1	13	Erwerb, Halten und Verwertung von Patenten und Lizenzen im Bereich Hochtechnologie, insbesondere im Verschleisschutz, in der Beschichtungstechnik sowie von Messgeräten und Vakuumzubehör. Die Gesellschaft kann in In- und Ausland Tochtergesellschaften und Zweigniederlassungen gründen sowie Beteiligungen erwerben.			
13	26	Erwerb, Halten und Verwertung von Patenten und Lizenzen im Bereich Hochtechnologie, insbesondere im Verschleisschutz, in der Beschichtungstechnik sowie von Messgeräten und Vakuumzubehör. Die Gesellschaft kann in In- und Ausland Tochtergesellschaften und Zweigniederlassungen gründen, Beteiligungen erwerben, in- oder indirekten Muttergesellschaften sowie deren direkten oder indirekten Tochtergesellschaften entgegen oder unentgeltlich Darlehen oder andere Finanzierungen gewähren und für die Verbindlichkeiten solcher Gruppengesellschaften Sicherheiten aller Art stellen, einschliesslich Garantien, Pfandrechte und fiduziarische Sicherstellungen von Aktiven der Gesellschaft.			
26		The purchase, sale, development, application, and exploitation of physical and chemical processes, as well as the manufacture and distribution of devices, fixtures, and machines of all types. The Company may establish subsidiary companies and branch locations both domestically and abroad, acquire, sell, and manage real property and equity stakes of all types, acquire, sell, and manage intellectual property rights, extend loans or other financing, whether at a profit or not, to its direct or indirect parent companies or their direct or indirect subsidiary companies, and to provide securities of all types for the obligations of such Group companies, including guarantees, liens, and fiduciary pledges of Company assets.			



# COMMERCIAL REGISTER OF CANTON ST. GALLEN

CHE-108.912.385	Oerlikon Surface Solutions AG, Trübbach	Wartau	2
-----------------	---	--------	---

## All records

In	Ca	Remarks	Ref	Date of the acts
1	13	<p>The facts withdrawn prior to entry in the Canton of St. Gallen, as well any previous articles of association or diary and SHAB (Schweizerischen Handelsamtsblatt) [Swiss Official Gazette of Commerce] quotations may be seen in the excerpt from the Commercial Register for the previous registered office of the Company, which resides at the deposited Commercial Register documents.</p> <p>Notifications provided by the Company to its shareholders are made by registered mail.</p> <p>The restriction on the transferability of registered shares is hereby eliminated.</p>	1	20.06.1956
1	1		19.12.1995	
3	3		18.05.1997	
3	4		13.10.1999	
13	11		23.08.2006	
13	11		05.09.2006	
13	13		08.01.2007	
			26	10.12.2014

In	Ca	Qualified facts	Ref	Official publication
			1	SHAB

In	Ca	Branch offices	In	Ca	Branch offices

Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id	Vis	Ref	No journal	Date	SOGC	Date SOGC	Page / Id
ZH	0		(Sitzverlegung)	(Sitzverlegung)			SG	14	5382	16.06.2007	119	22.06.2007	12 / 3969810
SG	1	8682	21.12.1995	252	29.12.1995	7050	SG	15	7012	03.08.2007	152	09.08.2007	10 / 4058876
SG	2	3634	23.06.1996	103	30.05.1996	3144	SG	16	706	25.01.2008	21	31.01.2008	13 / 4316776
SG	3	4946	08.07.1997	132	14.07.1997	4951	SG	17	7097	21.08.2008	166	27.08.2008	14 / 4527302
SG	4	6747	20.10.1999	208	26.10.1999	7306	SG	18	2244	08.03.2009	50	13.03.2009	14 / 4925336
SG	5	1823	08.03.2001	51	14.03.2001	1900	SG	19	6740	22.06.2009	121	26.06.2009	22 / 5092956
SG	6	7793	24.10.2001	210	30.10.2001	8485	SG	20	152	05.01.2011	7	11.01.2011	14 / 5980080
SG	7	2052	14.03.2003	54	20.03.2003	11 / 811686	SG	21	7797	26.08.2011	166	31.08.2011	0 / 6315666
SG	8	5709	07.08.2003	153	13.08.2003	10 / 1127666	SG	22	5163	12.06.2012	115	15.06.2012	0 / 6721366
SG	9	847	30.01.2006	24	05.02.2006	12 / 3228950	SG	23	10620	11.12.2012	244	14.12.2012	0 / 6977042
SG	10	2461	30.03.2006	67	05.04.2006	11 / 3320640	SG	24	10870	08.11.2013	218	11.11.2013	0 / 1172616
SG	11	8556	06.09.2006	176	12.09.2006	10 / 3545370	SG	25	9838	05.11.2014	217	10.11.2014	0 / 1813165
SG	12	9392	22.12.2006	1	03.01.2007	19 / 3705644	SG	26	11466	19.12.2014	246	24.12.2014	0 / 1900861
SG	13	609	23.01.2007	19	29.01.2007	12 / 3747124							

In	Mol	Ca	Personal Data	Function	Signature
1		1	Klaus, Heinz, von Kirchberg SG, in Scheer (FL)	president of the board of directors	joint signature of two
1		1m	Angehör, Anton, von Muelen, in Sevelen	member of the board of directors	joint signature of two
1		7m	Hanselmann, Kaspar, von Wartau, in Oberschan (Wartau)	member of the board of directors	joint signature of two
1		1	Leeder, André B., von Basel, in Zeilhan	manager	joint signature of two
1		1	Boer, Hans-Peter, von Thunstetten, in Langnau am Albis		joint agent signature of two
1		1	Kaufmann, Moritz, von Gehanis, in Uster		joint agent signature of two
1		1	Rechtsteiner, Ernst, von Zürich und Wipzikonhausen, in Fällanden		joint agent signature of two
1		1	Wilk, Alex, von Bergans, in Bergans		joint agent signature of two
1		5m	AT&T Ernst & Young AG, in Zürich	auditor	
1		9	Vogt, Andreas, leichtensteinerischer Staatsangehöriger, in Balzers (FL)	president of the board of directors	joint signature of two
1		7	Angehör, Anton, von Muelen, in Buchs SG	member of the board of directors	joint signature of two
2		7m	Neefeli, Erich, von Zürich und Klingnau, in Vaduz (FL)	member of the board of directors	joint signature of two
2		8	Wagmann, Urs, von Winterthur und Volketswil, in Oberschan (Wartau)	member of the board of directors	joint signature of two
5		6	Ernst & Young AG, in Zürich	auditor	



# COMMERCIAL REGISTER OF CANTON ST. GALLEN

CHE-108.812.396

Cerlikon Surface Solutions AG, Trübbach

Wartau

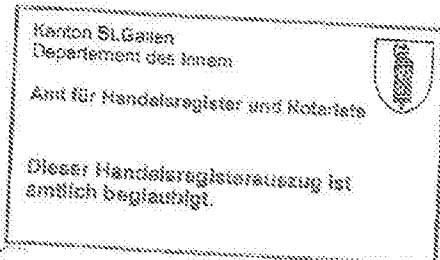
3

All records

In No	Ca	Personal Data	Function	Signature
6	7	Kell, Karl-Arthur, von Bottenstein, in Maierfeld	member of the board of directors	joint signature at two
7	9	Bünter, Valentin, von Zürich und Gresswangen, in Zürich	member of the board of directors	joint signature at two
7	9	Emch, Thomas, von Lütiswil-Gachliwil, in Augst am Albis	member of the board of directors	joint signature at two
7	8m	Kellerborn, Kasper, von Basel, in Bendorf-Altinsheim (GD)	member of the board of directors	joint signature at two
7	9m	Baur, Jörg, deutscher Staatsangehöriger, in Trübbach (Wartau)		joint signature at two
7	10m	Haefeli, Erich, von Zürich und Klingnau, in Vaduz (FL)		joint signature at two
7	10	Hanschmann, Kasper, von Wartau, in Oberehen (Wartau)		joint signature at two
8	9	Kellerborn, Kasper, von Basel, in Pfäfers SZ (Freienbach)	member of the board of directors	joint signature at two
8	15m	KPMG-Fides Real, in Zürich	auditor	
9	12	Muck, Reiner, deutscher Staatsangehöriger, in Schmiedlog (Fenisberg)	president of the board of directors	joint signature at two
9	12m	Harting, Andreas, deutscher Staatsangehöriger, in Freienbach	member of the board of directors	joint signature at two
9		Baur, Jörg, deutscher Staatsangehöriger, in Sax (Sennwald)		joint signature at two
10	22	Haefeli, Erich, von Zürich und Klingnau, in Vaduz (FL)	manager	joint signature at two
10		Bruggmann, Albert, von Lütisburg, in Winterthur		joint signature at two
12	14	Harting, Andreas, deutscher Staatsangehöriger, in Freienbach	member of the board of directors	single signature
14	19	Sajan, Björn, von Prères, in Gröningen	president of the board of directors	joint signature at two
14		Niggli, Wolfgang, von Zürich, in Uerikon (Stafa)	member of the board of directors	joint signature at two
15	22m	KPMG AG, in Zürich	auditor	
16	25	Grändle, Johann-Paul, von Mättwil, in Bad Ragaz		joint signature at two
16		Heine-Kempkens, Claus, deutscher Staatsangehöriger, in Maierfeld		joint signature at two
17	21	Geod, Bruno, von Mels, in Heiligkreuz (Mels)		joint signature at two
19		Fedler, Jörg, von Silenen, in Richterswil	president of the board of directors	joint signature at two
21		Rudiger, Helmut, österreichischer Staatsangehöriger, in Bad Ragaz		joint signature at two
22	24	Hart, Dr. Matthias Rudolf, deutscher Staatsangehöriger, in Hergiswil NW	member of the board of directors	joint signature at two
22		Büssinger, Paul André François, von Zürich, in Zürich	manager	joint signature at two
22		Dostmann, Volker Bernhard, von Muri AG, in Winterthur		joint signature at two
22		Hafner, Prisca, von Holderbank SO, in Horgen		joint signature at two
22		KPMG AG (CH-020.3.001.833-8), in Zürich	auditor	
24		Mauerhofer, Dr. Mark, von Kölliken, in Thalwil	member of the board of directors	joint signature at two

St. Gallen, 20.01.2015 16:28 TST

This extract from the cantonal Registry Office is not valid without the original authentication on the left. The extract contains all valid entries as well as any deleted entries for the company in question. On special request only the valid entries.



100000000