

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM464038

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
FINSIX CORPORATION		03/01/2018	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Silicon Valley Bank		
<b>Street Address:</b>	555 Mission Street		
<b>Internal Address:</b>	Suite 900		
<b>City:</b>	San Francisco		
<b>State/Country:</b>	CALIFORNIA		
<b>Postal Code:</b>	94105		
<b>Entity Type:</b>	Corporation: CALIFORNIA		
<b>PROPERTY NUMBERS Total: 5</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4978528	DART	
<b>Registration Number:</b>	5050496	DART	
<b>Registration Number:</b>	4973426	FINSIX	
<b>Registration Number:</b>	4960522	FINSIX	
<b>Registration Number:</b>	4994979	THE WORLD'S SMALLEST LAPTOP CHARGER	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8004947512		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	202-370-4750		
<b>Email:</b>	ipteam@cogencyglobal.com		
<b>Correspondent Name:</b>	Joanna McCall		
<b>Address Line 1:</b>	1025 Vermont Ave NW, Suite 1130		
<b>Address Line 2:</b>	COGENCY GLOBAL INC.		
<b>Address Line 4:</b>	Washington, D.C. 20005		
<b>ATTORNEY DOCKET NUMBER:</b>	F175770		
<b>NAME OF SUBMITTER:</b>	Andrew Nash		
<b>SIGNATURE:</b>	/Andrew Nash/		
<b>DATE SIGNED:</b>	03/02/2018		

OP \$140.00 4978528

**Total Attachments: 12**

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## INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is entered into as of March 1, 2018 by and between **SILICON VALLEY BANK**, a California corporation, with a loan production office located at 555 Mission Street, Suite 900, San Francisco, California 94105 ("Bank") and **FINSIX CORPORATION**, a Delaware corporation, with its principal place of business located at 3565 Haven Avenue, Suite 1, Menlo Park, California 94025 ("Grantor").

### RECITALS

A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated as of October 20, 2015, as amended by a certain First Loan Modification Agreement dated as of the date hereof (as the same has been and as may be further amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in its Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor to Bank.

B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of Grantor's obligations to Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

### AGREEMENT

1. Grant of Security Interest. To secure Grantor's obligations to Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:

(a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

(b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

(c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;

(d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");

(f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");

(g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and

(j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

2. Recordation. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Bank.

3. Loan Documents. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Bank with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.

4. Execution in Counterparts. This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Agreement.

5. Successors and Assigns. This Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.

6. Governing Law. This Agreement and any claim, controversy, dispute or cause of action (whether in contract or tort or otherwise) based upon, arising out of or relating to this Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the

laws of the United States and the State of California, without giving effect to any choice or conflict of law provision or rule (whether of the State of California or any other jurisdiction).

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

FINSIX CORPORATION

By: *Razgunbi Merida*

Title: *Chief Executive Officer*

BANK:

SILICON VALLEY BANK

By: \_\_\_\_\_

Title: \_\_\_\_\_

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

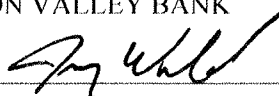
FINSIX CORPORATION

By: \_\_\_\_\_

Title: \_\_\_\_\_

BANK:

SILICON VALLEY BANK

By:  \_\_\_\_\_

Title: MD \_\_\_\_\_

EXHIBIT A

Copyrights

Description

Registration/  
Application  
Number

Registration/  
Application  
Date

None.



EXHIBIT B

Patents

(see attached)

## Patents

<u>Description</u>	<u>Registration/ Application Number</u>	<u>Registration/ Application Date</u>
<u>People's Republic of China:</u>		
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	201610052000.5	18-Aug-2011
METHOD AND APPARATUS FOR CONTROLLING HEAT IN POWER CONVERSION SYSTEMS	201480021225.3	14-Mar-2014
<u>European Patent Convention:</u>		
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	11818813.5	18-Aug-2011
METHOD AND APPARATUS FOR CONTROLLING HEAT IN POWER CONVERSION SYSTEMS	14720385.5	14-Mar-2014
<u>Hong Kong:</u>		
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	13114265.4	18-Aug-2011
<u>Japan:</u>		
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	5933548/2013-524991	13-May-2016/ 18-Aug-2011
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	6058774/2015-216939	16-Dec-2016/ 18-Aug-2011
<u>Republic of Korea:</u>		
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	10-1664971/10-2013-7006799	05-Oct-2016/ 18-Aug-2011
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	10-2016-7027510	18-Aug-2011
METHOD AND APPARATUS FOR CONTROLLING HEAT IN POWER CONVERSION SYSTEMS	10-2015-7029590	14-Mar-2014
<u>Patent Cooperation Treaty:</u>		
VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER	PCT/US2011/048326	18-Aug-2011
METHOD AND APPARATUS FOR CONTROLLING	PCT/US2014/028316	14-Mar-2014

## HEAT IN POWER CONVERSION SYSTEMS

CONTROL OF RESONANT POWER CONVERTERS PCT/US2016/022571 16-Mar-2016

MIDPOINT CONTROL AND GAIN SCHEDULING FOR POWER CONVERTERS PCT/US2016/022577 16-Mar-2016

CONTROL OF POWER DELIVERY BASED ON TEMPERATURE OF A POWER MODULE PCT/US2016/050911 09-Sep-2016

### United States:

VERY HIGH FREQUENCY SWITCHING CELL-BASED POWER CONVERTER 9184660/  
13/213031 10-Nov-2015/  
18-Aug-2011

MULTI-PATH POWER FACTOR CORRECTION 8542509/  
13/458424 24-Sep-2013/  
27-Apr-2012

ON/OFF MODULATION OF A SWITCHING CELL-BASED POWER CONVERTER 9318958/  
13/459051 19-Apr-2016/  
27-Apr-2012

VERY HIGH FREQUENCY SWITCHING RESONANT SYNCHRONOUS RECTIFICATION 8848399/  
13/459052 30-Sep-2014/  
27-Apr-2012

POWER CONVERTER HAVING A SYNCHRONOUS RECTIFIER 9401646/  
14/669057 26-Jul-2016/  
26-Mar-2015

MULTI-PATH POWER FACTOR CORRECTION 14/710749 13-May-2015

METHOD AND APPARATUS FOR CONTROLLING HEAT IN POWER CONVERSION SYSTEMS 14/210805 14-Mar-2014

METHOD AND APPARATUS FOR CONTROLLING HEAT IN POWER CONVERSION SYSTEMS 14/210859 14-Mar-2014

METHOD AND APPARATUS FOR CONTROLLING HEAT IN POWER CONVERSION SYSTEMS 15/644882 10-Jul-2017

CONTROL OF POWER CONVERTERS 15/270209 20-Sep-2016

CONTROL OF POWER CONVERTERS 62/373605 11-Aug-2016

MIDPOINT CONTROL AND GAIN SCHEDULING FOR POWER CONVERTERS 15/270241 20-Sep-2016

POWER CONVERTER STARTUP AND AUXILIARY POWER 62/376877 18-Aug-2016

EXHIBIT C

Trademarks

(see attached)

## Trademarks

### United States:



<u>Description</u>	<u>Registration/ Application Number</u>	<u>Registration/ Application Date</u>
DART	4978528/ 86229922	24-Mar-2014/ 14-Jun-2016
DART LOGO	5050496/ 86517955	29-Jan-2015/ 27-Sep-2016
		
FINSIX	4973426/ 85748750	9-Oct-2012/ 7-Jun-2016
FINSIX (AND DESIGN)	4960522/ 85774774	8-Nov-2012/ 17-May-2016
		
THE WORLD'S SMALLEST LAPTOP CHARGER	4994979/ 86539195	19-Feb-2015/ 5-Jul-2016

EXHIBIT D

Mask Works

Description

Registration/  
Application  
Number

Registration/  
Application  
Date

None.

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