

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM474138

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
NYSE MKT LLC		07/21/2017	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	NYSE AMERICAN LLC		
<b>Street Address:</b>	11 WALL STREET		
<b>City:</b>	NEW YORK		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10005		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4877502	AMEX	
<b>Registration Number:</b>	0896924	AMEX	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2156563301		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	215-656-3385		
<b>Email:</b>	pto.phil@dlapiper.com		
<b>Correspondent Name:</b>	Paul A. Taufer, DLA PIPER LLP (US)		
<b>Address Line 1:</b>	1650 Market Street, Suite 4900		
<b>Address Line 4:</b>	Philadelphia, PENNSYLVANIA 19103		
<b>ATTORNEY DOCKET NUMBER:</b>	MKT1D&MKT-14-1419&15-1102		
<b>NAME OF SUBMITTER:</b>	NANCY NUNEZ		
<b>SIGNATURE:</b>	/NN/		
<b>DATE SIGNED:</b>	05/15/2018		
<b>Total Attachments: 2</b>			
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# Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NYSE MKT LLC", CHANGING ITS NAME FROM "NYSE MKT LLC" TO "NYSE AMERICAN LLC", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF JULY, A.D. 2017, AT 11:19 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE TWENTY-FIRST DAY OF JULY, A.D. 2017 AT 4:45 O`CLOCK P.M.



  
Jeffrey W. Bullock, Secretary of State

4486791 8100  
SR# 20175330210

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202918708  
Date: 07-20-17

**TRADEMARK**  
**REEL: 006331 FRAME: 0261**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 11:19 AM 07/20/2017  
FILED 11:19 AM 07/20/2017  
SR 20175330210 - File Number 4486791

**CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF FORMATION  
OF  
NYSE MKT LLC**

This Certificate of Amendment of Certificate of Formation of NYSE MKT LLC, a Delaware limited liability company (the "Company"), is being filed in accordance with the provisions of 6 *Del. C.* § 18-202 to amend the original Certificate of Formation of the Company, which was filed on January 9, 2008, with the Secretary of State of the State of Delaware, as heretofore amended (the "Certificate"), to form a limited liability company under the Delaware Limited Liability Company Act (6 *Del. C.* § 18-101, *et seq.*). The original name of the Company was American Stock Exchange 2, LLC. The undersigned does hereby certify as follows:

1. The name of the Company is NYSE MKT LLC.
2. The Certificate is hereby amended by deleting in its entirety Paragraph 1 thereof and by substituting in lieu thereof the following:
  - "1. Name: The name of the limited liability company is NYSE American LLC."
3. This Certificate of Amendment of Certificate of Formation shall become effective at 4:45 p.m., Eastern Time, on July 21, 2017.

IN WITNESS WHEREOF, this Certificate of Amendment of Certificate of Formation has been executed by the undersigned authorized person in accordance with 6 *Del. C.* § 18-204.

  
Name: Martha Redding  
Title: Authorized Person