

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM488451

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ENTITY CONVERSION		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Riverfront Investment Group, LLC		03/28/2008	Limited Liability Company: VIRGINIA
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Riverfront Investment Group, LLC		
<b>Street Address:</b>	1214 East Cary Street		
<b>City:</b>	Richmond		
<b>State/Country:</b>	VIRGINIA		
<b>Postal Code:</b>	23219		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3677263	RIVERFRONT INVESTMENT GROUP	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8046971339		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	8046971870		
<b>Email:</b>	julia.bishop@troutman.com, trademarks@troutmansanders.com		
<b>Correspondent Name:</b>	Julia M. Bishop, Troutman Sanders LLP		
<b>Address Line 1:</b>	600 Peachtree Street, NE, Suite 3000		
<b>Address Line 4:</b>	Atlanta, GEORGIA 30308		
<b>ATTORNEY DOCKET NUMBER:</b>	231551.03		
<b>NAME OF SUBMITTER:</b>	Julia M. Bishop		
<b>SIGNATURE:</b>	/JMB/		
<b>DATE SIGNED:</b>	09/04/2018		
<b>Total Attachments: 4</b>			
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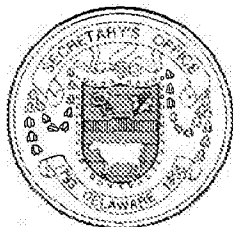
CH \$40.00 3677263

# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A VIRGINIA LIMITED LIABILITY COMPANY UNDER THE NAME OF "RIVERFRONT INVESTMENT GROUP, LLC" TO A DELAWARE LIMITED LIABILITY COMPANY, FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF MARCH, A.D. 2008, AT 12:45 O'CLOCK P.M.



4525893 8100V

080368750

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6485429

DATE: 03-28-08

TRADEMARK  
REEL: 006428 FRAME: 0803

STATE OF DELAWARE  
CERTIFICATE OF CONVERSION  
FROM A NON-DELAWARE LIMITED LIABILITY COMPANY TO  
A DELAWARE LIMITED LIABILITY COMPANY PURSUANT TO  
SECTION 18-214 OF THE LIMITED LIABILITY ACT

- 1.) The jurisdiction where the Non-Delaware Limited Liability Company first formed is Virginia.
- 2.) The jurisdiction immediately prior to filing this Certificate is Virginia.
- 3.) The date the Non-Delaware Limited Liability Company first formed is December 18, 2007.
- 4.) The name of the Non-Delaware Limited Liability Company immediately prior to filing this Certificate is Riverfront Investment Group, LLC.
- 5.) The name of the Limited Liability Company as set forth in the Certificate of Formation is Riverfront Investment Group, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the  
28th day of March, A.D. 2008.

By:   
Authorized Person

Name: Peter J. Quinn, Jr.  
Print or Type

# Delaware

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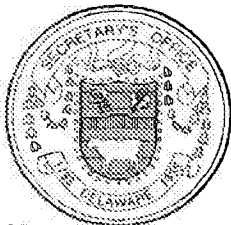
*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "RIVERFRONT INVESTMENT GROUP, LLC" FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF MARCH, A.D. 2008, AT 12:45 O'CLOCK P.M.

4525893 8100V

080368750

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6485429

DATE: 03-28-08

TRADEMARK  
REEL: 006428 FRAME: 0805

STATE of DELAWARE  
CERTIFICATE OF FORMATION

of


RIVERFRONT INVESTMENT GROUP, LLC

FIRST: The name of the limited liability company is Riverfront Investment Group, LLC.

SECOND: The address of the registered office of the limited liability company in the State of Delaware is c/o Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, Wilmington, DE 19801. The Registered Agent at such address is The Corporation Trust Company.

THIRD: The principal office and place of business is located at 9011 Arboretum Parkway, Suite 110, Richmond, Virginia 23236.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of Riverfront Investment Group, LLC, this 28th day of March, 2008.

  
Peter J. Quinn, Jr.  
Authorized Person

RIC - 1707628-1