# OP \$165.00 4261724

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM505562

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Micell Technologies, Inc.		01/09/2019	Corporation: DELAWARE

## **RECEIVING PARTY DATA**

Name:	Micell SPV I LLC
Street Address:	363 Seventh Avenue, 13th Floor
Internal Address:	Attn: Archibald Cox, Jr., c/o Dorian A. Vergos & Co.
City:	New York
State/Country:	NEW YORK
Postal Code:	10001
Entity Type:	Corporation: DELAWARE

## **PROPERTY NUMBERS Total: 6**

Property Type	Number	Word Mark
Registration Number:	4261724	M
Registration Number:	3982416	M
Registration Number:	4368767	MICELL
Registration Number:	4026804	MICELL
Registration Number:	3963243	MISTENT
Registration Number:	4499145	MISTENT SES

## **CORRESPONDENCE DATA**

**Fax Number:** 6142243246

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 614-462-1093

**Email:** ipdocketcolumbus@icemiller.com

Correspondent Name: Ice Miller LLP

Address Line 1: One American Square, Suite 2900 Address Line 4: Indianapolis, INDIANA 46282

NAME OF SUBMITTER:	Barbara Bacon
SIGNATURE:	/Barbara Bacon/
DATE SIGNED:	01/11/2019

## **Total Attachments: 27**

source=Notice of Grant of Security Interest in Patents and Trademarks#page1.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page2.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page3.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page4.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page5.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page6.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page7.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page8.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page9.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page10.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page11.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page12.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page13.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page14.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page15.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page16.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page17.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page18.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page19.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page20.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page21.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page22.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page23.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page24.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page25.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page26.tif source=Notice of Grant of Security Interest in Patents and Trademarks#page27.tif

## NOTICE OF GRANT OF SECURITY INTEREST IN PATENTS AND TRADEMARKS

January 9, 2019

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that the undersigned, a Delaware corporation ("Micell"), has granted a continuing security interest and lien on the trademarks and trademark applications and patent and patent applications (the "Specified Intellectual Property") listed on Schedule 1 hereto to Micell SPV I LLC, a Delaware corporation (the "Lender"), pursuant to the Credit Agreement, dated as of January 9, 2019 (as amended, modified, extended, restated, renewed, replaced, or supplemented from time to time, the "Credit Agreement"), among Micell, certain other persons party thereto as borrowers and the Lender.

The undersigned and the Lender hereby acknowledge and agree that the security interest in the foregoing Specified Intellectual Property (a) may only be terminated in accordance with the terms of the Credit Agreement and (b) is not to be construed as an assignment of any trademark or trademark application.

Very truly yours,

MICELL TECHNOLOGIES, INC.

By: Orlar & Bennenato
Name: Arthur J Bennenato

Title: Chairman & CEO

# SCHEDULE 1 to Form of Notice of Grant of Security Interest in Patents and Trademarks

(attached)

# Micell Technologies, Inc. Master Active IP Portfolio Listing as of November 15, 2017

U.S. Patent & Patent Application Info	Claim Type	Filing Date	Expire Date	Europe	China	Other Countries
COATED STENTS						
U.S. Pat. App. No. 14/718,342 (3.3-002 CON)	MOM	5/21/15	2028*	No	No	No
U.S. Pat. No. 9,827,117 (3.3-004 DIV CON)	COM	4/25/14	2026*	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
U.S. Pat. No. 8,758,429 (3.3-004 DIV)	мом, сом	9/6/12	2026	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
U.S. Pat. No. 8,298,565 (3.3-004)	мом, сом	1/14/08	2026	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
U.S. Pat. App. No. 15/591,287 (3.3-005 CON CON CON)	СОМ	5/10/17	2027*	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
U.S. Pat. No. 9,737,645 (3.3-005 CON CON)	СОМ	12/15/15	2027	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
U.S. Pat. No. 9,415,142 (3.3-005 CON)	мом, сом	8/29/14	2027	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
U.S. Pat. No. 8,852,625 (3.3-005)	мом, сом	3/16/09	2029	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
U.S. Pat. App. No. 15/634,269 (3.0-006 CON)	СОМ	6/27/17	2029*	Pending – 09755571.8	CN ZL200980122691.X	AU, CA, EA (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), IL, IN, JP, KR, MX, NZ, SG, ZA, ID
U.S. Pat. No. 9,789,233 (3.0-006)	СОМ	4/17/09	2029	Pending – 09755571.8	CN ZL200980122691.X	AU, CA, EA (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), IL, IN, JP, KR, MX, NZ, SG, ZA, ID
U.S. Pat. App. No. 12/751,902 (3.0-007)	COM, MOM	3/31/10	2030*	No	CN ZL201080024973.9	CA, IN
U.S. Pat. No. 9,775,729 (3.0-008 CON CON)	COM	8/19/16	2030	Pending – 10765295.0	No	CA, IN
U.S. Pat. No. 9,486,338 (3.0-008 CON)	COM	12/9/15	2030	Pending – 10765295.0	No	CA, IN
U.S. Pat. No. 9,433,516 (3.0-008)	COM	4/16/10	2030	Pending – 10765295.0	No	CA, IN
U.S. Pat. App. No. 13/445,723 (3.0-009)	COM, MOU,	4/13/11	2032*	No Pending – 12771847.6	No No	CA HK
U.S. Pat. App. No. 11/158,724 (3.0-011)	MOM, MOU, COM	6/22/05	2025*	Pending – 06773931.2	No	CA
U.S. Pat. No. 9,539,593 (3.0-012)	MOM	10/23/07	2027	Pending – 07854351.9	CN ZL200780047425.6	CA, IN, JP
U.S. Pat. App. No. 15/634,246 (3.3-014 CON)	MOM, COM	6/27/17	2029*	Pending – 08705772.5	CN ZL200880007308.1	CA, IN, JP
U.S. Pat. No. 9,737,642 (3.3-014)	MOM, COM	12/6/09	2029	Pending - 08705772.5	CN ZL200880007308.1	CA, IN, JP
U.S. Pat. App. No. 14/716,975 (3.3-015 CON)	сом, мом	5/20/15	2029*	Pending – 08733210.0	CN ZL200880020515.0 DIV = Pending	AU, BR, CA, EA (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), HK, ID, IL, IN, JP, KR, MX, NZ, SG, ZA
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] { <u>Licensing Info</u> (indicated beside Patent No., if applicable):

<sup>3</sup> = Purchased & Transferred from Eurocor GmbH

 $^2$  = Exclusively In-Licensed from Battelle Memorial Institute  $^{1}$  = Co-application w/ Battelle Memorial Institute

Claim Types: MOM = method of manufacture COM = composition of matter MOU = method of use

Expiration Dates with an \* = Assuming patent does issue  $\overline{\text{General}}$ : These tables do double list patents if they apply to more than one category

U.S. Patent & Patent Application Info	Claim Type	Filing Date	Expire Date	Europe	China	Other Countries
U.S. Pat. No. 8,900,651 (3.3-016)	MOM	3/19/10	2028	EP 2170418	CN ZL200880100102.3	AU, CA, IL, IN, EP validated in DE, FR, GB
U.S. Pat. App. No. 14/718,467 (3.3-017 CON)	COM, MOM	5/21/15	2030*	No	No	No
U.S. Pat. App. No. 15/284,677 (3.0-018 CON)	COM, MOM, MOU	10/4/16	2029*	Pending – 09798764.8 CN ZL200980136432.2	CN ZL200980136432.2	AU, CA, IN
U.S. Pat. No. 9,486,431 (3.0-018)	COM, MOU	7/16/09	2030	Pending - 09798764.8   CN ZL200980136432.2	CN ZL200980136432.2	AU, CA, IN
U.S. Pat. App. No. 14/457,609 (3.0-023 CON)	MOM	8/12/14	2029*	Pending – 10756676.2	oN	CA, IN
U.S. Pat. No. 8,834,913 <sup>1,2</sup> (3.0-030)	COM	12/28/09	2029	2029   Pending - 09805981.9   No	oN	CA
U.S. Pat. App. No. 15/169,214 (3.3-031 CON)	MOU	5/31/16	2031*	$N_0$	oN	No
U.S. Pat. App. No. 14/402,839 (3.3-033)	MOU	11/21/14	2032*	No	oN	No
U.S. Pat. App. No. 14/207,336 (3.0-035)	COM	3/12/14	2033*	2033*   Pending – 14779629.6   Pending	Pending	AU, CA, HK, IL, IN, JP, KR
U.S. Pat. App. No. 14/278,367 (3.0-036)	COM	5/15/14	2033*	Pending – 14797966.0	Pending	AU, CA, HK, IL, IN, JP, KR
U.S. Pat. No. 8,257,424 <sup>3</sup> (3.3-038)	COM	4/30/03	2033	2033 EP 1292246	No	IL, JP, EP validated in DE, FR, GB

U.S. Pat. No. 8,257,424 $^3$ (3.3-038)	COM	4/30/03	2033	EP 1292246	No	IL, JP, EP validated in ]
COATED PRODUCTS AND COATING PROC	ROCESSES (not 1	imited to ste	nts)			

	COATED PRODUCTS AND COATING PROCESSES (not limited to stents)	COCESSES (not	imited to ste	nts)			
	U.S. Pat. App. No. 15/705,489 (3.3-004 DIV CON CON)	СОМ	9/15/17	2026*	Pending – 06787258.0	CN ZL.200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
	U.S. Pat. No. 9,827,117 (3.3-004 DIV CON)	COM	4/25/14	2026*	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
	U.S. Pat. No. 8,758,429 (3.3-004 DIV)	MOM	9/6/12	2026	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
	U.S. Pat. No. 8,298,565 (3.3-004)	MOM	1/14/08	2026	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
	U.S. Pat. No. 9,415,142 (3.3-005 CON)	мом, сом	8/29/14	2027	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
	U.S. Pat. No. 9,737,645 (3.3-005 CON CON)	COM	12/15/15	2027	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
	U.S. Pat. App. No. 15/591,287 (3.3-005 CON CON CON)	COM	5/10/17	2027*	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
	U.S. Pat. App. No. 15/634,269 (3.0-006 CON)	COM	6/27/17	2029*	Pending – 09755571.8	CN ZL 200980122691.X	AU, CA, EA (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), IL, IN, JP, KR, MX, NZ, SG, ZA, ID
TI	U.S. Pat. No. 9,775,729 (3.0-008 CON CON)	COM	8/19/16	2030	Pending - 10765295.0	No	CA, IN
	U.S. Pat. No. 8,900,651 (3.3-016)	MOM	3/19/10	2028	EP 2170418	CN ZL200880100102.3	AU, CA, IL, IN, EP validated in DE, FR, GB
E	U.S. Pat. No. 9,486,431 (3.0-018)	COM, MOU	7/16/09	2030	Pending – 09798764.8	CN ZL200980136432.2	AU, CA, IN
М	U.S. Pat. App. No. 12/729,580 (3.0-019)	COM, MOM	3/23/10	2030*	Pending - 10756696.0	No	CA, IN
ΛΕ	U.S. Pat. App. No. 13/090,525 (3.0-026)	COM, MOM	4/20/11	2031*	Pending – 11772624.0	No	CA
) K	U.S. Pat. App. No. 14/122,862 <sup>1,2</sup> (3.3-029)	MOM	11/27/13	2033*	No	No	No
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<sup>1</sup> = Co-application w/ Battelle Memorial Institute <sup>2</sup> = Exclusively In-Licensed from Battelle Memorial Institute <u>Licensing Info</u> (indicated beside Patent No., if applicable):  $\frac{1}{3}$  = Purchased & Transferred from Eurocor GmbH

U.S. Patent & Patent Application Info	Claim Type	Filing Date	Expire Date	Europe	China	Other Countries
U.S. Pat. No. 8,795,762 <sup>1,2</sup> (3.0-100)	MOM	3/26/10	2030	No	No	No
U.S. Pat. No. 9,687,864 <sup>1,2</sup> (3.0-100 DIV)	MOM	6/20/14	2030	No	oN	No
U.S. Pat. No. 8,834,913 <sup>1,2</sup> (3.0-030)	COM, MOM	12/28/09	2029	Pending – 09805981.9	No	CA
						No, EP validated in AT, BE, CH,
$\cup$ U.S. Pat. No. 6,780,475 <sup>2</sup> (1941-11859 EA)	MOM	5/28/2002	2020	EP 1507600	No	DE, DK, ES, FI, FR, GB, IE, IT,
						NL, PL, SE
U.S. Pat. No. 6,756,084 $^2$ (1941-11859 EB)	MOM	5/28/2002	2020	No	No	No
			0			No, EP validated in AT, BE, CH,
U.S. Pat. No. 6,749,902 <sup>2</sup> (1941-13373 E)	MOM	5/28/2002	2020	EP 1507601	No No	DE, DK, ES, FI, FR, GB, IE, IT, NL, PL, SE
OTHER THERAPEUTIC DRUG FORMULATIONS AND	_	NANOPARTICLES	ICLES			
U.S. Pat. App. No. 15/705,489 (3.3-004 DIV CON CON)	СОМ	9/15/17	2026*	Pending – 06787258.0	CN ZL200680025809.3 DIV = Pending	AU, CA, IN, JP, KR, HK
U.S. Pat. No. 9,737,645 (3.3-005 CON CON)	COM	12/15/15	2027	EP 2019657, EP DIV Pending – 15169152.4	No	AU, CA, EP validated in CH, EE, ES, FR, GB, IT, NL, PL, SE, TR
U.S. Pat. App. No. 11/158,724 (3.0-011)	MOM, MOU	6/22/05	2025*	Pending – 06773931.2	No	CA
U.S. Pat. No. 9,636,309 (3.0-027)	COM	9/9/11	2031	No	No	CA
U.S. Pat. App. No. 15/471,139 (3.0-027 CON)	COM, MOM	3/28/17	2031*	No	No	CA
U.S. Pat. App. No. 13/340,472 (3.0-028)	COM, MOM	12/29/11	2031*	Pending – 11852627.6	No	CA, HK
U.S. Pat. App. No. 14/401,496 (3.3-032)	COM	11/14/14	2034*	No	No	No
U.S. Pat. App. No. 15/260,579 (3.0-109)	сом, мом	9/9/16	2036*	PCT - Pending PCT/US16/51010	Not yet	Not yet
U.S. Pat. App. No. 15/274,105 (3.0-111)	COM, MOM	9/23/16	2036*	Pending – 17190439.4	Pending	AU, CA, IN, JP

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	<u>BALLOUNS</u>						
	U.S. Pat. No. 9,486,431 (3.0-018)	COM, MOU	60/91/6	2029	Pending – 09798764.8	2029   Pending – 09798764.8   CN ZL200980136432.2	AU, CA, IN
	U.S. Pat. App. No. 15/284,677 (3.0-018 CON)	COM	10/4/16	*6707	Pending - 09798764.8   CN ZL200980136432.2	CN ZL200980136432.2	AU, CA, IN
	U.S. Pat. No. 9,510,856 (3.3-020)	COM	1/13/12	2032	Pending – 10800642.0 No	No	No
	U.S. Pat. App. No. 15/366,108 (3.3-020 CON)	COM	12/1/16	2032*	Pending – 10800642.0 No	No	No
	U.S. Pat. App. No. 13/809,324 (3.3-021)	COM, MOU	1/9/13	2033*	Pending – 11807601.7 No	No	CA, HK
	U.S. Pat. App. No. 14/131,878 (3.3-022)	COM, MOU	1/9/14	2034*	oN	No	CA
TF	U.S. Pat. App. No. 13/014,632 (3.0-025)	COM, MOU	1/26/11	2031*	EP 2531140	No	EP validated in DE, FR, GB
2Δ	U.S. Pat. App. No. 13/340,472 (3.0-028)	COM, MOM	12/29/11	2031*	Pending – 11852627.6	No	CA, HK
ח	U.S. Pat. App. No. 14/122,862 <sup>1,2</sup> (3.3-029)	MOM	11/27/13	2033*	No	No	No
ΕM	U.S. Pat. App. No. 14/352,664 (3.3-034 I)	COM, MOU	4/17/14	2034*	Pending – 12842360.5	CN ZL201280062567.0 DIV = Pending	AU, CA, HK, IN, JP
ΔΕ	U.S. Pat. App. No. 14/437,097 (3.3-034 II)	COM, MOU	4/20/15	2033*	4/20/15   2033*   Pending – 13847009.1   Pending	Pending	AU, CA, HK, IN, JP
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 $^2$  = Exclusively In-Licensed from Battelle Memorial Institute <sup>1</sup> = Co-application w/ Battelle Memorial Institute <u>Licensing Info</u> (indicated beside Patent No., if applicable):  $\frac{1}{3}$  = Purchased & Transferred from Eurocor GmbH

<u>Claim Types:</u> MOM = method of manufacture <math>COM = composition of matter MOU = method of use Expiration Dates with an \* = Assuming patent does issue <u>General</u>: These tables do double list patents if they apply to more than one category

ADDITIONAL PATENTS         U.S. Pat. No. 8,636,767 (3.3-013) – absorbable sutures       COM       4/1/09       2029       No       No         U.S. Pat. App. No. 15/341,160 (3.0-024 CON) – purified PLGA       MOM       3/23/10       2030*       Pending – 10756701.8       No	2029 No		o N	No
COM         4/1/09         2029           024 CON) -         MOM         3/23/10         2030*	2029 No		No	oZ
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MOM 3/23/10 2030*				
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		ning = 10700101.0		CA, III
U.S. Pat. App. No. 15/812,030 (3.0-024 CON NO. 15/812,030 (3.0-024 CON NO. 15/812,030 (3.0-024 CON NO. 107 NO. 15/812,030 (3.0-024 CON NO. 15/	2020*   Dang	B. 10756701 8 1075	No	2
11/14/1/ 2030	למסטי   הכוונ	IIIIg — 10750701.0	INO	CA, III

Licensing Info (indicated beside Patent No., if applicable):  $^{1}$  = Co-application w/ Battelle Memorial Institute  $^{2}$  = Exclusively In-Licensed from Battelle Memorial Institute  $^{3}$  = Purchased & Transferred from Eurocor GmbH

<u>Claim Types:</u> MOM = method of manufacture <math>COM = composition of matter MOU = method of use Expiration Dates with an \* = Assuming patent does issue <u>General</u>: These tables do double list patents if they apply to more than one category

U.S. Patent Info - Non-medically focused	Claim Type	Filing Date	Expire Date	Foreign Pat.s	Owner	Licensee	1st Sub	2nd Sub	CAN
COMPOSITE MEMBRANES									
U.S. Pat. No. 7,407,703 (23437-172352)	COM	9/19/02	2022	CN (Pending), EP 1754528	Clarcor/Micell	N/A	N/A	N/A	No
U.S. Pat. No. 7,635,062 (23437-172353-1)	COM	3/11/05	2025	No	Clarcor/Micell	N/A	N/A	N/A	No
U.S. Pat. No. 7,588,796 (23437-172353-2)	MOM	3/11/05	2025	No	Clarcor/Micell	N/A	N/A	N/A	No
DRY CLEANING & SEMICONDUCTOR									
U.S. Pat. No. 6,030,663 (3.0-093 CIP)	MOM	5/29/98	2018	Expired (CA, EP 989249636)	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,187,383 (3.0-093 CIP CON)	MOM	1/7/00	2020	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,165,560 (3.0-093 CIP CON CON)	MOM	4/12/00	2020	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,287,640 (3.0-093 CIP CON CON CIP)	MOM	4/28/00	2020	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,165,559 (3.0-093 CIP CON CON I)	MOM	5/8/00	2020	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,200,637 (3.0-093 CIP CON CON CON II)	MOM	5/8/00	2020	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,270,844 (3.0-093 CIP CON CON II CON)	MOM	12/19/00	2020	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 6,344,243 (3.0-093 CIP CON CON II CON CON)	MOM	8/2/01	2021	No	Micell	Clarcor	N/A	N/A	No
U.S. Pat. No. 5,858,022 (3.0-094)	MOU	8/27/97	2017	No	Micell	Hangers	Cool Clean	Linde	No
U.S. Pat. No. 6,200,352 (3.0-094 CIP CIP)	MOU	1/19/99	2019	No	Micell	Hangers	Cool Clean	Linde	No
U.S. Pat. No. 6,258,766 (3.0-094 CIP CIP CON)	COM	1/22/01	2021	No	Micell	Hangers	Cool Clean	Linde	No
U.S. Pat. No. 6,491,730 (3.0-095)	COM, MOU	7/20/00	2020	No	Micell	Hangers	Cool Clean	Linde	No
U.S. Pat. No. 6,248,136 (3.0-096)	MOU	2/3/00	2020	No	Micell	Hangers	Cool Clean	Linde	No
U.S. Pat. No. 6,332,342 (3.0-096 DIV)	MOU	4/26/01	2021	No	Micell	Hangers	Cool Clean	Linde	No
U.S. Pat. No. 6,562,146 (3.0-097)	COM, MOU	8/17/01	2021	No	MIS	Micell	N/A	N/A	Yes
U.S. Pat. No. 6,666,928 (3.0-001)	MOU	9/13/01	2021	No	MIS	Micell	N/A	N/A	No
U.S. Pat. No. 7,235,347 (3.0-099 CIP)	MOM	5/19/05	2022*	No	Micell	N/A	N/A	N/A	Yes
U.S. Pat. No. 6,989,172 (3.0-110)	MOM	10/8/03	2023	No	Micell	N/A	N/A	N/A	Yes

lotes:

 $^2$  = Exclusively In-Licensed from Battelle Memorial Institute <sup>1</sup> = Co-application w/ Battelle Memorial Institute <u>Licensing Info</u> (indicated beside Patent No., if applicable):

<sup>3</sup> = Purchased & Transferred from Eurocor GmbH

Claim Types: MOM = method of manufacture COM = composition of matter MOU = method of use

Expiration Dates with an  $^*$  = Assuming patent does issue General: These tables do double list patents if they apply to more than one category

## Appendix 5.9(b)

## **Trademark Applications and Registrations**

Trademark applications and registrations owned or used by Borrower:

i. United States registrations

Country	Mark	App. No.	Goods/Services	Current Status
		or Reg. No.		And Relevant Dates
United States	IN/I	Reg. 4261724	INT. CL. 10: internal and external medical devices for cardiac and vascular treatments	REGISTERED
				Filed: 3-Mar-2010 Reg. 18-Dec-2012
United States		Reg. 3982416	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of	REGISTERED
			medical stents	Filed: 3-Mar-2010 Reg. 21-Jun-2011
United States	MICELL	Reg. 4368767	INT. CL. 10: internal and external medical devices for cardiac and vascular treatments	REGISTERED
			vascular realments	Filed: 26-Jan-2009 Reg. 16-Jul-2013
United States	MICELL	Reg. 4026804	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of	
			medical stents	Filed: 26-Jan-2009 Reg. 13-Sep-2011
United States	MISTENT	Reg. 3963243	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents	REGISTERED
			namery, drug-enting stems	Filed 11-July-2008 Reg. 17-May-2011
United States	MISTENT	Reg. 4499145	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; stent delivery system for delivering drugs	
	SES		to fight coronary artery disease	Filed: 16-Nov-2012 Reg. 18-Mar-2014

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## ii. Applications and registrations outside of the United States

Country	Mark		Goods/Services	Current Status And Relevant Dates
Australia		Reg. 1377624	INT. CL. 01: Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants  INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals  INT. CL.10: Medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments  INT. CL.42: Medical and scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 13-Aug-2010 Reg. 10-Jan-2011
Brazil	M	Reg. 830727540	INT. CL. 10: Medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014
Brazil	M	Reg.830727566	INT. CL. 42: Medical and scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014
Brazil	M	Reg.830727523	INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014

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Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
Brazil	M	Reg. 830727531	Goods and Services Computerised Translation:  INT. CL. 01: Catalysts for use in manufacture of polymers; polymers and additives polymeric for use in manufacture of pharmaceutical preparations, plastic, cosmetics, medical devices, personal care products, industrial products, products of use domestic and of consumption, coatings, adhesives and lubricants.	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014
Canada	M	(App 1492319)	(1) Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires used for cardiac, vascular and orthopedic treatments, adhesives, and lubricants; drug delivery agents in the form of lubricous medical coatings for applying to medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires; medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; lubricious medical and anti-microbial coatings sold as an integral component for medical stents, dressings, bandages, catheters, valves, patches, braces and wires for cardiac, vascular and orthopedic treatments.  (1) Medical and scientific research in the field of drug eluting technologies and combination product therapies.	*Declaration of Use not filed - application will become abandoned in due course
Canada	M	(Application No. 1822313)	(1) Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires used for cardiac, vascular and orthopedic treatments, adhesives, and lubricants; drug delivery agents in the form of lubricous medical coatings for applying to medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires; medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; lubricious medical and anti-microbial coatings sold as an integral component for medical stents, dressings, bandages, catheters, valves, patches, braces and wires for cardiac, vascular and orthopedic treatments	Filed 10-Feb-2017
China	M	Reg. 8624842	INT. CL. 01: Catalysts for use in the manufacture of polymers; polyurethanes; polyesters; vinyls; polysaccharide additives (chemical preparations for industrial purposes); polypropylene additives (chemical preparations for industrial purposes); silicone polypropylene additives (chemical preparations for industrial purposes); polyethylene polypropylene additives (chemical preparations for industrial purposes); nylon polypropylene additives (chemical preparations for industrial purposes); polyurethane polypropylene additives (chemical preparations for industrial purposes); polyester polypropylene additives (chemical preparations for industrial purposes); vinyl polypropylene additives (chemical preparations for industrial purposes); synthetic-additives (chemical preparations for industrial purposes)	REGISTERED Filed 31-Aug-2010 Reg. 21-Dec-2011

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
China	M	Reg. 8624840	INT. CL. 10: Medical devices, apparatus and instruments, surgical devices, apparatus and instruments; drug-eluting stents	REGISTERED Filed 31-Aug-2010 Reg. 7-Oct-2011
China	M	Reg. 8624822	INT. CL. 42: Medical research in the field of drug eluting technologies and combination product therapies; scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 31-Aug-2010 Reg. 21-Oct-2011
China	MICELL	(App. No. 13138004)	INT. CL. 10: Stent delivery system composed of catheters and drug-coated balloons for delivering drugs to fight coronary artery disease	Pending Filed: 27-Aug-2013 Notice of Acceptance 7-Nov-2016 (Chinese owner name: mi sai er ke ji gong si)
China	MISTENT	Reg. 13138003	INT. CL. 10: Surgical and veterinary apparatus and instruments (not include electrical ones and medical X-ray equipment)	REGISTERED Filed 27-Aug-2013 Reg. 28-Jan-2015
China	MISTENT SES	Reg. 13138002	INT. CL.10: Medical and surgical apparatus and instruments, namely, drug- eluting stents; catheters; medical guidewires.	REGISTERED Filed 27-Aug-2013 Reg. 14-Mar-2015
European Union	M	Reg. 9340481	INT. CL. 01: Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants; chemicals and chemical solutions for use in the manufacture of coatings for medical biomedical applications and devices, and of drug delivery coatings; chemicals and chemical solutions for stabilizing biological molecules for scientific or medical research use; chemicals used in industry, science and research.  INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires	REGISTERED Filed 30-Aug-2010 Reg. 25-Apr-2012

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
			and tubing that facilitate the delivery of a wide range of pharmaceuticals; lubricant, gas and liquid coating formulations, polymer surface coatings and lubricious coating for application to medical devices for use with invasive and other medical procedures; hydrophilic polymer coating layers applied to medical devices, into which drugs and bioactive agents can be incorporated for use with invasive and other medical and biomedical procedures; polymer coatings for a wide variety of medical devices; drug delivery matrices consisting of compounds that facilitate delivery of a wide range of pharmaceuticals; cell and tissue delivery matrices consisting of compounds that facilitate delivery of cells and tissue to the body; naturally biodegradable matrix used as a carrier for pharmaceuticals, cells and tissue; coatings for medical devices for delivery of a wide range of pharmaceuticals; coatings for implants.  INT. CL. 10: Medical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac and vascular treatments; medical materials, devices, apparatus and instruments and parts and fittings therefor, other than for orthopedics.  INT. CL.42: Medical and scientific research in the field of drug eluting technology and biochemical research and development services; technical consultation services in the field of drug delivery agents, coatings for medical devices and drug elution; providing scientific information through databases; design, updating, maintenance and hosting of websites that provide knowledge bases in the field of biotechnology, medicine; management of scientific, biotechnical and medical research projects; pharmaceutical research services; preparation of reports relating to scientific, medical and technical research.	
European Union	MISTENT SES	App. 014455761	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; stent delivery system for delivering drugs to fight coronary artery disease	REGISTERED Filed 07-Aug- 2015 Reg. 8-Dec-2015

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
India		Reg. 1140587	INT. CL. 01: catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants  INT. CL. 05 drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals  INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments  INT. CL. 42: medical and scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 13-Aug-2010 Reg. 18-Dec-2013
Israel	M	Reg. 232133	INT. CL. 01: catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants; all included in class 1.	REGISTERED Filed 13-Aug-2010 Reg. 10-Jul-2011
Israel	M	Reg. 232134	INT. CL. 05: drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals; all included in class 5.	REGISTERED Filed 13-Aug-2010 Reg. 10-Jul-2011
Israel	M	Reg. 232135	INT. Cl. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments; all included in class 10.	REGISTERED Filed 13-Aug-2010 Reg. 10-Jul-2011
Israel	M	Reg. 232136	INT. CL. 42: medical and scientific research in the field of drug eluting technologies and combination product therapies; all included in class 42.	REGISTERED Filed 13-Aug-2010 Reg. 10-Jul-2011
New Zealand	M	Reg. 828830	INT. CL. 01: Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants.  INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in	REGISTERED Reg. 9-Jan-2012

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
			the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals.  INT. CL. 10: Medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments.  INT. CL. 42: Medical and scientific research in the field of drug eluting	
Switzerlan	M	Reg. 609381	technologies and combination product therapies.  Goods and Services Computerised Translation:  INT. CL. 01: Catalysts for use in the fabrication of polymers; polymers and additives polymeric for use in the making preparations pharmaceutical, of plastics, cosmetics, of medical devices, of product of staff aid, of industrial products, of product of consumption and for the household, of coverings, of adhesives and lubricants.	REGISTERED Filed 13-Aug-2010 Reg. 20-Dec-2010
			<ul> <li>INT. CL. 05: Agents in the administration of drugs in form of coverings for medical devices such as stents, dressings, bandages, catheters, valves, patch, wires and tubing facilitating administration of a broad variety of products pharmaceutical.</li> <li>INT. CL. 10: Devices, instruments and medical apparatus and pharmaceutical, namely stents at elution of drugs; provided coverings as integrated components at of stents and other medical devices internal and external for cardiac treatments, vascular and orthopedic.</li> <li>INT. CL. 42: Scientific research and medical in field of technologies of elution of drugs and of therapies by combinations of products.</li> </ul>	

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
European Union	CRITIFLO	Reg. 5534094	INT. CL. 01: Chemicals and chemical solutions for use in the manufacture of coatings for medical biomedical applications and devices; chemicals and chemical solutions for use in the manufacture of drug delivery coatings; chemicals and chemical solutions for stabilizing biological molecules for scientific or medical research use.  INT. CL 05: Lubricant, gas and liquid coating formulations for applying to medical devices for use with invasive and other medical and biomedical procedures; polymer surface coatings for application to devices for use with invasive and other medical and biomedical procedures; hydrophilic polymer coating layer applied to medical devices, into which drugs and bioactive agents can be incorporated for use with invasive and other medical and biomedical procedures; polymer coatings for a wide variety of medical devices; drug delivery matrices consisting of compounds that facilitate delivery of a wide range of pharmaceuticals; cell and tissue delivery matrices consisting of compounds that facilitate delivery of cells and tissue to the body; naturally biodegradable matrix used as a carrier for pharmaceuticals, cells and tissue; coatings for medical devices for delivery of a wide range of pharmaceuticals; coatings for implants.  INT. CL. 40: Coating services; coating services for others, namely, application of chemical, lubricant, lubricious, gas, liquid, polymer and hydrophilic polymer coatings to medical devices; application of coatings for others to a wide variety of	*Registration not renewed, will lapse after 15-May-2017 grace period ends.
			medical devices; coating services in the nature of incorporating drugs and bioactive agents into coating formulations and applying them to medical devices.  INT. CL. 42: Development for others of chemical, lubricant, lubricious, gas, liquid, polymer and hydrophilic polymer coating formulations.	

Trademark applications and registrations owned or used by Micell Integrated Systems, Inc.:

1. Trademark applications and registrations licensed to Micell Integrated Systems, Inc. by Borrower:

## a. United States registrations:

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
United States	M	Reg. 4261724	INT. CL. 10: internal and external medical devices for cardiac and vascular treatments	REGISTERED Filed: 3-Mar-2010 Reg. 18-Dec-2012

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
United States	M	Reg. 3982416	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents	REGISTERED Filed: 3-Mar-2010 Reg. 21-Jun-2011
United States	MICELL	Reg. 4368767	INT. CL. 10: internal and external medical devices for cardiac and vascular treatments	REGISTERED Filed: 26-Jan-2009 Reg. 16-Jul-2013
United States	MICELL	Reg. 4026804	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents	REGISTERED Filed: 26-Jan-2009 Reg. 13-Sep-2011
United States	MISTENT	Reg. 3963243	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents	REGISTERED Filed 11-July-2008 Reg. 17-May-2011
United States	MISTENT SES	Reg. 4499145	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; stent delivery system for delivering drugs to fight coronary artery disease	REGISTERED Filed: 16-Nov-2012 Reg. 18-Mar-2014

b. Applications and registrations outside of the United States:

Country Mark App. No. (	
Country Mark   App. No. (	Goods/Services Current Status
OF	And Relevant Dates
Reg. No.	

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Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
Australia	M	Reg. 1377624	INT. CL. 01: Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants  INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals  INT. CL. 10: Medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments  INT. CL. 42: Medical and scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 13-Aug-2010 Reg. 10-Jan-2011
Brazil	M	Reg. 830727540	INT. CL. 10: Medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014
Brazil	M	Reg.830727566	INT. CL. 42: Medical and scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014
Brazil	M	Reg.830727523	INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014
Brazil	M	Reg. 830727531	Goods and Services Computerised Translation:  INT. CL. 01: Catalysts for use in manufacture of polymers; polymers and additives polymeric for use in manufacture of pharmaceutical preparations, plastic, cosmetics, medical devices, personal care products, industrial products, products of use domestic and of consumption, coatings, adhesives and lubricants.	REGISTERED Filed 20-Aug-2010 Reg. 11-Feb-2014

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
Canada	M	(App 1492319)	(1) Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires used for cardiac, vascular and orthopedic treatments, adhesives, and lubricants; drug delivery agents in the form of lubricous medical coatings for applying to medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires; medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; lubricious medical and anti-microbial coatings sold as an integral component for medical stents, dressings, bandages, catheters, valves, patches, braces and wires for cardiac, vascular and orthopedic treatments.  (1) Medical and scientific research in the field of drug eluting technologies	*Declaration of Use not filed - application will become abandoned in due course
Canada	M	(Application No. 1822313)	and combination product therapies.  (1) Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires used for cardiac, vascular and orthopedic treatments, adhesives, and lubricants; drug delivery agents in the form of lubricous medical coatings for applying to medical devices, namely, stents, dressings, bandages, catheters, valves, patches, braces and wires; medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; lubricious medical and anti-microbial coatings sold as an integral component for medical stents, dressings, bandages, catheters, valves, patches, braces and wires for cardiac, vascular and orthopedic treatments	Filed 10-Feb-2017
China	M	Reg. 8624842	INT. CL. 01: Catalysts for use in the manufacture of polymers; polyurethanes; polyesters; vinyls; polysaccharide additives (chemical preparations for industrial purposes); polypropylene additives (chemical preparations for industrial purposes); silicone polypropylene additives (chemical preparations for industrial purposes); polyethylene polypropylene additives (chemical preparations for industrial purposes); nylon polypropylene additives (chemical preparations for industrial purposes); polyurethane polypropylene additives (chemical preparations for industrial purposes); vinyl polypropylene additives (chemical preparations for industrial purposes); vinyl polypropylene additives (chemical preparations for industrial purposes); synthetic-additives (chemical preparations for industrial purposes)	REGISTERED Filed 31-Aug-2010 Reg. 21-Dec-2011

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
China	M	Reg. 8624840	INT. CL. 10: Medical devices, apparatus and instruments, surgical devices, apparatus and instruments; drug-eluting stents	REGISTERED Filed 31-Aug-2010 Reg. 7-Oct-2011
China	M	Reg. 8624822	INT. CL. 42: Medical research in the field of drug eluting technologies and combination product therapies; scientific research in the field of drug eluting technologies and combination product therapies	REGISTERED Filed 31-Aug-2010 Reg. 21-Oct-2011
China	MICELL	(App. No. 13138004)	INT. CL. 10: Stent delivery system composed of catheters and drug-coated balloons for delivering drugs to fight coronary artery disease	Pending Filed: 27-Aug-2013 Notice of Acceptance 7-Nov-2016 (Chinese owner name: mi sai er ke ji gong si)
China	MISTENT	Reg. 13138003	INT. CL. 10: Surgical and veterinary apparatus and instruments (not include electrical ones and medical X-ray equipment)	REGISTERED Filed 27-Aug-2013 Reg. 28-Jan-2015
China	MISTENT SES	Reg. 13138002	INT. CL.10: Medical and surgical apparatus and instruments, namely, drug- eluting stents; catheters; medical guidewires.	REGISTERED Filed 27-Aug-2013 Reg. 14-Mar-2015
European Union	M	Reg. 9340481	INT. CL. 01: Catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives, and lubricants; chemicals and chemical solutions for use in the manufacture of coatings for medical biomedical applications and devices, and of drug delivery coatings; chemicals and chemical solutions for stabilizing biological molecules for scientific or medical research use; chemicals used in industry, science and research.  INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals; lubricant, gas and liquid coating formulations, polymer	REGISTERED Filed 30-Aug-2010 Reg. 25-Apr-2012

Country	Mark	App. No.	Goods/Services	Current Status And Relevant Dates
		Reg. No.		
		300 30000000000000000000000000000000000	surface coatings and lubricious coating for application to medical devices	
			for use with invasive and other medical procedures; hydrophilic polymer	
			coating layers applied to medical devices, into which drugs and bioactive	
			agents can be incorporated for use with invasive and other medical and	
			biomedical procedures; polymer coatings for a wide variety of medical	
			devices; drug delivery matrices consisting of compounds that facilitate	
			delivery of a wide range of pharmaceuticals; cell and tissue delivery	
			matrices consisting of compounds that facilitate delivery of cells and tissue	
			to the body; naturally biodegradable matrix used as a carrier for	
			pharmaceuticals, cells and tissue; coatings for medical devices for delivery	
			of a wide range of pharmaceuticals; coatings for implants.	
			INT. CL. 10: Medical devices, apparatus and instruments, namely, drug-	
			eluting stents; coatings sold as an integral component of medical stents and	
			other internal and external medical devices for cardiac and	
			vascular treatments; medical materials, devices, apparatus and instruments	
			and parts and fittings therefor, other than for orthopedics.	
			INT. CL.42: Medical and scientific research in the field of drug eluting	
			technologies and combination product therapies; scientific, pharmaceutical,	
			biotechnology and biochemical research and development services;	
			technical consultation services in the field of drug delivery agents, coatings	
			for medical devices and drug elution; providing scientific information	
			through databases; design, updating, maintenance and hosting of websites	
			that provide knowledge bases in the field of biotechnology, medicine;	
			management of scientific, biotechnical and medical research projects;	
			pharmaceutical research services; preparation of reports relating to	
			scientific, medical and technical research.	
European	MISTENT	Ann	INTE CL. 10; medical and surgical devices, apparatus and instruments	REGISTERED
-		App. 014455761	INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; stent delivery system for delivering drugs to	KEOISTEKED
Union	SES	017733701	fight coronary artery disease	Filed 07-Aug-2015

Country	Mark	or	Goods/Services	Current Status And Relevant Dates
		Reg. No.		
India	TR/	Reg. 1140587	INT. CL. 01: catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical	REGISTERED
	IIV		preparations, plastics, cosmetics, medical devices, personal care products,	Filed 13-Aug-2010
	•		industrial products, consumer and household products, coatings, adhesives, and lubricants	Reg. 18-Dec-2013
			INT. CL. 05 drug delivery agents in the form of coatings for medical	
			devices in the nature of stents, dressings, bandages, catheters, valves,	
			patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals	
			INT. CL. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments	
			INT. CL. 42: medical and scientific research in the field of drug eluting technologies and combination product therapies	
Israel	M	Reg. 232133	INT. CL. 01: catalysts for use in the manufacture of polymers; polymers and polymeric additives for use in the manufacture of pharmaceutical	REGISTERED
			preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives,	Filed 13-Aug-2010
			and lubricants; all included in class 1.	Reg. 10-Jul-2011
Israel	M	Reg. 232134	INT. CL. 05: drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves,	REGISTERED
			patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals; all included in class 5.	Filed 13-Aug-2010
				Reg. 10-Jul-2011
Israel	ГКА	Reg. 232135	INT. Cl. 10: medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of	REGISTERED
	IV	<u>V</u>	medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments; all included in class 10.	Filed 13-Aug-2010
				Reg. 10-Jul-2011
Israel		Reg. 232136	INT. CL. 42: medical and scientific research in the field of drug eluting technologies and combination product therapies; all included in class 42.	REGISTERED
	LIV		, , , , , , , , , , , , , , , , , , , ,	Filed 13-Aug-2010
				Reg. 10-Jul-2011
New		Reg. 828830	INT. CL. 01: Catalysts for use in the manufacture of polymers; polymers	REGISTERED
Zealand			and polymeric additives for use in the manufacture of pharmaceutical preparations, plastics, cosmetics, medical devices, personal care products, industrial products, consumer and household products, coatings, adhesives,	Reg. 9-Jan-2012

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
	M		and lubricants.  INT. CL. 05: Drug delivery agents in the form of coatings for medical devices in the nature of stents, dressings, bandages, catheters, valves, patches, braces, wires and tubing that facilitate the delivery of a wide range of pharmaceuticals.  INT. CL. 10: Medical and surgical devices, apparatus and instruments, namely, drug-eluting stents; coatings sold as an integral component of medical stents and other internal and external medical devices for cardiac, vascular and orthopedic treatments.  INT. CL. 42: Medical and scientific research in the field of drug eluting technologies and combination product therapies.	
Switzerland		Reg. 609381	Goods and Services Computerised Translation:  INT. CL. 01: Catalysts for use in the fabrication of polymers; polymers and additives polymeric for use in the making preparations pharmaceutical, of plastics, cosmetics, of medical devices, of product of staff aid, of industrial products, of product of consumption and for the household, of coverings, of adhesives and lubricants.  INT. CL. 05: Agents in the administration of drugs in form of coverings for medical devices such as stents, dressings, bandages, catheters, valves, patch, wires and tubing facilitating administration of a broad variety of products pharmaceutical.  INT. CL. 10: Devices, instruments and medical apparatus and pharmaceutical, namely stents at elution of drugs; provided coverings as integrated components at of stents and other medical devices internal and external for cardiac treatments, vascular and orthopedic.  INT. CL. 42: Scientific research and medical in field of technologies of elution of drugs and of therapies by combinations of products.	REGISTERED Filed 13-Aug-2010 Reg. 20-Dec-2010

Country	Mark	App. No. or Reg. No.	Goods/Services	Current Status And Relevant Dates
European Union	CRITIFLO	Reg. 5534094	INT. CL. 01: Chemicals and chemical solutions for use in the manufacture of coatings for medical biomedical applications and devices; chemicals and chemical solutions for use in the manufacture of drug delivery coatings; chemicals and chemical solutions for stabilizing biological molecules for scientific or medical research use.	*Registration not renewed, will lapse after 15-May-2017 grace period ends.
			INT. CL 05: Lubricant, gas and liquid coating formulations for applying to medical devices for use with invasive and other medical and biomedical procedures; polymer surface coatings for application to devices for use with invasive and other medical and biomedical procedures; lubricious coating for application to medical devices for use with invasive and other medical procedures; hydrophilic polymer coating layer applied to medical devices, into which drugs and bioactive agents can be incorporated for use with invasive and other medical and biomedical procedures; polymer coatings for a wide variety of medical devices; drug delivery matrices consisting of compounds that facilitate delivery of a wide range of pharmaceuticals; cell and tissue delivery matrices consisting of compounds that facilitate delivery of cells and tissue to the body; naturally biodegradable matrix used as a	
			carrier for pharmaceuticals, cells and tissue; coatings for medical devices for delivery of a wide range of pharmaceuticals; coatings for implants.  INT. CL. 40: Coating services; coating services for others, namely, application of chemical, lubricant, lubricious, gas, liquid, polymer and hydrophilic polymer coatings to medical devices; application of coatings for others to a wide variety of medical devices; coating services in the nature of incorporating drugs and bioactive agents into coating formulations and applying them to medical devices.  INT. CL. 42: Development for others of chemical, lubricant, lubricious, gas, liquid, polymer and hydrophilic polymer coating formulations.	

## Appendix 5.9(c)

## **Third Party IP Licensed to Company**

File No	Title	Annliastics No.	File Date	Patent No	Issue Date	Licensor	Liggerar
FHC INO		Application No	Fue Date	ratent (NO	issue Date	LACCHSOF	Licensee
1941-11859 - E A	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	US 10/156,970	5/28/2002	US 6,780,475	8/24/2004	Battelle	Micell
1941-11859 - E AP AT	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	AT 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP BE	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS	EP 03728 972	5/14/2003	BE 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP CH	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	CH 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP DE	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	DE 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP DK	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	DK 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP ES	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	ES 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP FI	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	FI 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP FR	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	FR 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP GB	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid	EP 03728 972	5/14/2003	GB 1507600	3/17/2010	Battelle	Micell

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File No	Title	Application No	File Date	Patent No	Issue Date	Licensor	Licensee
	Solutions (RESS)						
1941-11859 - E AP IE	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	IE 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP IT	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	IT 1507600	3/17/2004	Battelle	Micell
1941-11859 - E AP NL	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	NL 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP PT	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	PT 1507600	3/17/2010	Battelle	Micell
1941-11859 - E AP SE	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	EP 03728 972	5/14/2003	SE 1507600	3/17/2010	Battelle	Micell
1941-11859 - E B	Electrostatic Deposition of Particles Generated from Rapid Expansion of Supercritical Fluid Solutions (RESS)	10/157,626	5/28/2002	US 6,756,084	6/29/2004	Battelle	Micell
1941-13373 - E EPC	Methods for Producing Films Using Supercritical Fluid	03799811.9	5/27/2003	EP 1507601	10/3/2006	Battelle	Micell
1941-13373 - E US	Methods for Producing Films Using Supercritical Fluid	10/157,591	5/28/2002	US 6,749,902	6/15/2004	Battelle	Micell
1941-13373- E AT	Methods for Producing Films Using Supercritical Fluid	03799811.9	5/27/2003	AT E349284	12/26/2006	Battelle	Micell
1941-13373- E BE	Methods for Producing Films Using Supercritical Fluid	03799811.9	5/27/2003	BE 1507601	12/26/2006	Battelle	Micell
1941-13373- E CH	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	СН 1507601	12/26/2006	Battelle	Micell
1941-13373- E DE	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	DE 60310707.9	12/26/2006	Battelle	Micell
1941-13373- E DK	Methods for Producing Films Using Supercritical	EP 03728972.5	5/27/2003	DK 1507601	12/26/2006	Battelle	Micell

File No	Title	Application No	File Date	Patent No	Issue Date	Licensor	Licensee
	Fluid						
1941-13373- E EP	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	EP 1507601	12/26/2006	Battelle	Micell
1941-13373- E ES	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	ES 1507601	12/26/2006	Battelle	Micell
1941-13373- E FI	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	FI 1507601	12/26/2006	Battelle	Micell
1941-13373- E FR	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	FR 1507601	12/26/2006	Battelle	Micell
1941-13373- E GB	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	GB 1507601	12/26/2006	Battelle	Micell
1941-13373- E IE	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	IE 1507601	12/26/2006	Battelle	Micell
1941-13373- E PT	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	PT 1507601	12/26/2006	Battelle	Micell
1941-13373- E SE	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	SE 1507601	12/26/2006	Battelle	Micell
1941-13373- E-IT	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	IT 1507601	12/26/2006	Battelle	Micell
1941-13373- E-NE	Methods for Producing Films Using Supercritical Fluid	EP 03728972.5	5/27/2003	NE 1507601	12/26/2006	Battelle	Micell
3.0-100 US	System and Method for Enhanced Electrostatic Deposition and Surface Coatings	US 12/748,134	3/26/2010	US 8,795,762	8/5/2014	Battelle	Micell
3.0-100 US DIV	System and Method for Enhanced Electrostatic Deposition and Surface Coatings	US 14/310,960	6/20/2014	US 9,687,864	6/27/2017	Battelle	Micell
3.3-029 US	System and Process for Formation of a Time- Released, Drug-Eluting Transferable Coating	US 14/122,862	11/27/2013			Battelle	Micell
3.0-030 US	Medical Device and Method of Making	US 12/648,106	12/28/2009	US 8,834,913	9/16/2014	Battelle	Micell
3.4-030 CA	Medical Implants and Methods of Making Medical Implants	CA 2,748,473	12/28/2009			Battelle	Micell
3.4-030 EP	Medical Implants and Methods for Making Medical Implants	EP 09805981.9	12/28/2009			Battelle	Micell



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