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TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM532595

SUBMISSION TYPE: NATURE OF CONVEYANCE:		NEW ASSIGNMENT ENTITY CONVERSION		
Name Global Financial Private Capital LLC		Formerly	07/08/2019	Entity Type Limited Liability Company: FLORIDA
RECEIVING PARTY D	ATA			
Name:	Global Financial Private Capital, Inc.			
Street Address:	501 N. Cattlemen Road, Suite 106			
City:	Sarasota			
State/Country:	FLORIDA			
Postal Code:	34232			
Entity Type:	Corporation: FLORIDA			
			a salas and sala	
PROPERTY NUMBER	S Total: 1			
Property Type	Number		Word	Mark
		ALPHAPE		

CORRESPONDENCE DATA

Fax Number:

4156533775

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone:

4156533750

Email:

ipdocketingsf@venable:com

Correspondent Name:

Susan Hollander & Sharoni Finkelstein

Address Line 1:

Venable LLP

Address Line 2:

101 California Street, Suite 3800

Address Line 4:

San Francisco, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	: 132926398124 ALPHAPEAK	
NAME OF SUBMITTER: Sharoni S. Finkelstein		
SIGNATURE:	/Sharoni S. Finkelstein/	
DATE SIGNED:	07/18/2019	

Total Attachments: 7

source=Global Financial Private Capital Inc.-FL-Certificate of StatusAuthoriz#page1.tif

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State of Florida Department of State

I certify from the records of this office that GLOBAL FINANCIAL PRIVATE CAPITAL, INC. is a corporation organized under the laws of the State of Florida, filed on July 9, 2019, effective August 14, 2018.

The document number of this corporation is P19000055082.

I further certify that said corporation has paid all fees due this office through December 31, 2019 and that its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Fifteenth day of July, 2019

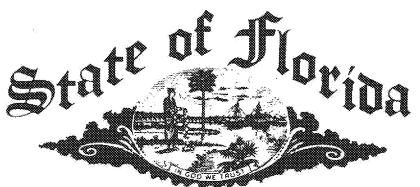


Tracking Number: 1616428653CU

To authenticate this certificate, visit the following site, enter this number, and then follow the instructions displayed.

https://services.sunbiz.org/Filings/CertificateOfStatus/CertificateAuthentication

REEL: 006699 FRAME: 0680



Bepartment of State

I certify the attached is a true and correct copy of the Certificate of Conversion and Articles of Incorporation, filed on July 9, 2019, with an organizational date deemed effective June 7, 2004, for GLOBAL FINANCIAL PRIVATE CAPITAL, INC., the resulting Florida corporation, as shown by the records of this office.

The document number of this entity is P19000055082.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Sixteenth day of July, 2019



CR2E022 (01-11)

Runul ARC Laurel M. Lee Secretary of State

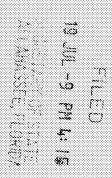
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Certificate of Conversion For "Other Business Entity" Into Florida Profit Corporation

This Certificate of Conversion and attached Articles of Incorporation are submitted to convert the following "Other Business Entity" into a Florida Profit Corporation in accordance with s. 607.1115, Florida Statutes.

The name of the "Other Business Entity" immediately prior to the filing of this Certificate of Conversion is:
Global Financial Private Capital, LLC
Enter Name of Other Business Entity
2. The "Other Business Entity" is a
First organized, formed or incorporated under the laws of
(Enter state, or if a non-U.S. entity, the name of the country)
67/12/2019 30
Enter date "Other Business Entity" was first organized, formed or incorporated
3. If the jurisdiction of the "Other Business Entity" was changed, the state or country under the laws of which it is now organized, formed or incorporated:
The name of the Florida Profit Corporation as set forth in the attached Articles of Incorporation:
Global Financial Private Capital, Inc.
Enter Name of Florida Profit Corporation
If not effective on the date of filing, enter the effective date: The effective date: Cannot be prior to nor more than 90 days after the date this document is filed by the Florida Department of State.) Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be isted as the document's effective date on the Department of State's records.

Page 1 of 2



Signed this 8th day of July	, 20 19			
Required Signature for Florida Profit Corporation:				
Signature of Chairman Vice Chairman, Director, Office Incorparator: Printed Name: Naomi J. McKean Title: Secretary	er, or, if Directors or Officers have not been se	lected, i	ăn	
Required Signature(s) on behalf of Other Business I				
Signature:				
Printed Name: Naomi J. McKean	Title:			
Signature:				
Printed Name:	Title:			
Signature:				
Printed Name:	Title:			
Signature:				
Printed Name:	Title:			
Signature:				
Printed Name:	Title:			
Signature:				
Printed Name:	Title:			
If Florida General Partnership or Limited Liability Signature of one General Partner.	Partnership:			
If Florida Limited Partnership or Limited Liability Signatures of ALL General Partners.	Limited Partnership:	1: () 1: ()	iii)	
If Florida Limited Liability Company; Signature of a Member or Authorized Representative.			JE -9	7
All others: Signature of an authorized person.			P	Ö
Fees: Certificate of Conversion: Fees for Florida Articles of Incorporation: Certified Copy: Certificate of Status:	\$35.00 \$70.00 \$8.75 (Optional) \$8.75 (Optional)		 987	

Page 2 of 2

ARTICLES OF INCORPORATION
In compliance with Chapter 607 and/or Chapter 621, F.S. (Profit)

	INCIPAL OFFICE Principal <u>street</u> address oad, Suite 106	pital, Inc. <u>1655 Gr</u>	Mailing address, if different is ant Street, 10th Floor
rasota, FL 34232		Concorc	I, CA 94520
	ch the corporation is organized is:	-	
	isiness or purposes to be conducted or promo irporations may be organized under the Flori		
avity to which to	aporations may be diganized under the rich	un Duamess Corpor	u.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
TICLE IV SH	ARPS		
e number of shares	of stock is:		
e number of shares	of stock is: TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director	Name and Title	Gary G. Zyla, Director
e number of shares TTICLE V INI Name and T	of stock is: TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director	Name and Title	Gary G. Zyla, Director
e number of shares	of stock is: TIAL OFFICERS AND/OR DIRECTORS "itle: Charles G. Goldman, Director	Name and Title Address:	
e number of shares TTICLE V INI Name and T	of stock is: TIAL OFFICERS AND/OR DIRECTORS Title: 1655 Grant Street, 10th Floor		1655 Grant Street, 10th Floor
e number of shares (TICLE V INI Name and T Address	of stock is: TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520	Address:	1655 Grant Street, 10th Floor Concord, CA 94520
e number of shares (TICLE V INI Name and T Address	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 Charles G. Goldman, CEO	Address:	1655 Grant Street, 10th Floor Concord, CA 94520 Gary G. Zyla, EVP & Treasurer
e number of shares (TICLE V INI Name and T Address	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 tle: Charles G. Goldman, CEO tle: 1655 Grant Street, 10th Floor	Address:	1655 Grant Street, 10th Floor Concord, CA 94520 Gary G. Zyla, EVP & Treasure;
e number of shares **TTCLE V INI** Name and T Address Name and Ti	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 Charles G. Goldman, CEO	Address:	1655 Grant Street, 10th Floor Concord, CA 94520 Gary G. Zyla, EVP & Treasurer
e number of shares **TTCLE V INI** Name and T Address Name and Ti	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 tle: Charles G. Goldman, CEO tle: 1655 Grant Street, 10th Floor	Address:	1655 Grant Street, 10th Floor Concord, CA 94520 Gary G. Zyla, EVP & Treasurer 1655 Grant Street, 10th Floor
e number of shares TTCLE V INI Name and T Address Name and Ti Address	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 tele: Charles G. Goldman, CEO tele: Charles G. Goldman, CEO Concord, CA 94520 Michael Abalson, EVP	Address: Name and Title Address:	Gary G. Zyla, EVP & Treasurer Concord, CA 94520 Gary G. Zyla, EVP & Treasurer Concord, CA 94520 Concord, CA 94520 Test F Anone EVP3
e number of shares **TTCLE V INI** Name and T Address Name and Ti	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 tle: Charles G. Goldman, CEO 1655 Grant Street, 10th Floor Concord, CA 94520 the Michael Abelson, EVP	Address: Name and Title Address: Name and Title	Gary G. Zyla, EVP & Treasurer Concord, CA 94520 Gary G. Zyla, EVP & Treasurer Concord, CA 94520 Ted F. Angus, EVP
e number of shares TTCLE V INI Name and T Address Name and Ti Address	TIAL OFFICERS AND/OR DIRECTORS Charles G. Goldman, Director 1655 Grant Street, 10th Floor Concord, CA 94520 tele: Charles G. Goldman, CEO tele: Charles G. Goldman, CEO Concord, CA 94520 Michael Abalson, EVP	Address: Name and Title Address:	Gary G. Zyla, EVP & Treasurer Concord, CA 94520 Gary G. Zyla, EVP & Treasurer Concord, CA 94520 Concord, CA 94520 Test F Anone EVP3

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Name and	Title: Tom McCarthy IV, SVP	Name and Ti	Raygar Khailany, SVP
Address	1655 Grant Street, 10th Floor	Address:	1655 Grant Street, 10th Floor
	Concord, CA 94520		Concord, CA 94520
	EGISTERED AGENT rida street address (P.O. Box NOT acceptal	ole) of the registered	agent is:
Name:	C T Corporation System		
Address:	1200 South Pine Island Road		
	Plantation, FL 33324		
ARTICLE VII I	<u>NCORPORATOR</u>		-9 PM
The name and add	t <u>ress</u> of the Incorporator is:		
Name:	Naomi J. McKean		₹ 7 · · · · · · · · · · · · · · · · · ·
Address:	1655 Grant Street, 10th Floor,		***
	Concord, CA 94520		
Effective date, if of	EFFECTIVE DATE: ther than the date of filing: te is listed, the date must be specific and c	(unnot be more than	OPTIONAL) a five days prior or 90 days after the
Note: If the date is the document's effe	nserted in this block does not meet the applicative date on the Department of State's reco	cable statutory filing ords.	requirements, this date will not be listed as
this certificate, I an	d as registered agent to accept service of pr n familiar with and accept the appointment (ocess for the above as registered agent a	stated corporation at the place designated nd agree to act in this capacity
C T Corporation 8	ystem 777		07/08/2019
	Required Signature/Registered Agent		Date
	nent and affirm that the facts stated hereit spartment of State constitutes a third degree		
			07/08/2019
	d Signature/Incorporator McKean, Secretary		Date

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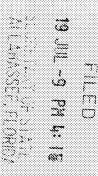
Global Financial Private Capital, Inc. Directors & Officers

Director's Name	Litte		
Charles G. Goldman	Director		
Gary G. Zyla	Director		
Officer's Name	Title		
Charles G. Goldman	Chief Executive Officer		
Gary G. Zyla	Executive Vice President & Treasurer		
Michael Abelson	Executive Vice President		
Ted F. Angus	Executive Vice President		

Secretary Senior Vice President, Chief Compliance Officer

Senior Vice President

Senior Vice President



GFPC D&O 06 14 19 (1)

Tom McCarthy IV

Raygar Khailany

Naomi J. McKean

Lewis (Buck) Dellarco

TRADEMARK REEL: 006699 FRAME: 0686

RECORDED: 07/18/2019