

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

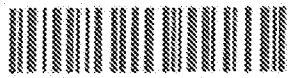
ETAS ID: TM535306

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Rothschilds Continuation Holdings AG		07/01/2019	Aktiengesellschaft (Ag): SWITZERLAND
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Rothschild & Co Continuation Holdings AG		
<b>Street Address:</b>	Baarerstrasse 95		
<b>City:</b>	6300 Zug		
<b>State/Country:</b>	SWITZERLAND		
<b>Entity Type:</b>	Aktiengesellschaft (Ag): SWITZERLAND		
<b>PROPERTY NUMBERS Total: 6</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	5094588	FIVE ARROWS	
<b>Registration Number:</b>	5307185	NEW COURT AMERICA FUND	
<b>Registration Number:</b>	3447667	ROTHSCHILD	
<b>Registration Number:</b>	5614371	ROTHSCHILD & CO	
<b>Registration Number:</b>	5090183		
<b>Registration Number:</b>	2955362		
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2027995000		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2027994000		
<b>Email:</b>	dctrademarks@dlapiper.com		
<b>Correspondent Name:</b>	Gregory Esau		
<b>Address Line 1:</b>	500 Eighth Street, NW		
<b>Address Line 4:</b>	Washington, D.C. 20004		
<b>NAME OF SUBMITTER:</b>	Gregory Esau		
<b>SIGNATURE:</b>	/Gregory Esau/		
<b>DATE SIGNED:</b>	08/05/2019		
<b>Total Attachments: 6</b>			
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Company number <b>CHE-103.241.457</b>	Legal form <b>Aktiengesellschaft [corporation]</b>	Entry 02/23/1982	Deletion	Transfer CH-170.3.005.917-8 from: to:	1
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All entries

Ent.	Del.	Company name	Ref	Domicile
1 39	39	<del>Rothschild Continuation Holdings AG</del> <b>Rothschild &amp; Co Continuation Holdings AG</b>	1	Zug

Ent.	Del.	Share capital (CHF)	Payment under subscription (CHF)	Share denomination	Ent.	Del.	Domicile address
1 3	3 5	135,501,700.00	135,501,700.00	1355017 registered shares of CHF 100.00 1355017 registered shares of CHF 100.00 with restricted transferability	1 4	4	e/o Treuhand von Flüe AG Grienbachstrasse 17 6301 Zug
5		60,975,765.00	60,975,765.00	1355017 registered shares of CHF 45.00 with restricted transferability	4 7 7 39 39	7 39	Grienbachstrasse 17 6300 Zug Baarerstrasse 95 6301 Zug Baarerstrasse 95 6300 Zug

Ent.	Del.	Purpose	Ent.	Del.	Additional addresses
1	3	Holding equity interests in other companies in Switzerland and abroad, particularly in companies that have "Rothschild" in their company name. The company can carry out all commercial and financial transactions in the realization of their purpose. In particular, it can issue sureties and guarantees and pledge assets in favor of third parties			
3		Holding equity interests in other companies, particularly in the banking and financial sector, particularly in companies that have "Rothschild" in their company name; can carry out all transactions for this purpose, issue sureties and guarantees, pledge assets in favor of third parties, and establish subsidiaries			

Ent.	Del.	Comments	Ref.	Status date
3		In addition, amendment of facts not requiring publication	1	07/29/1991
5		Reduction of the nominal value of the 1,355,017 registered shares at CHF 100.00 to CHF 45.00 by repayment. Compliance with the statutory provisions within the meaning of Art. 734 OR [Obligationenrecht (Code of Obligations)] was established by public record dated 10/21/1997.	3 5	06/25/1997 08/08/1997
33		Notifications are made in writing.	33 37 39	09/06/2016 09/06/2017 10/25/2018

Ent.	Del.	Special circumstances	Ref.	Publication medium
1	3	Contribution in kind: The increase amount of CHF 133,207,700.00 is paid up by way of the contribution in kind in accordance with the contract dated 05/14/1982 between the company and Rothschild Nominees Limited, London (GB). Then, the company acquires 2,461,086 ordinary shares of the Rothschild Continuation Limited, London (GB) with a nominal value of GBP 0.01 each at a price of GBP 98,438,100.00, 2,461,086 deferred shares of Rothschild Continuation Limited with a nominal value of GBP 1.00 each at a price of GBP 4,900.00, as well as the 12% convertible unsecured loan stock 1985/90 of GBP 2,434,687.50 of Rothschild Continuation Limited and the 9% convertible guaranteed unsecured loan stock 1985/90 of USD 4,001,561.82 of Rothschild Continuation Limited at a price of CHF 20,775,900.00 and CHF 13,988,000.00, respectively. The entire acquisition price of CHF 133,207,700.00 is repaid by issuing 1,332,077 registered shares, considered fully paid up, and each with a nominal value of CHF 100.00 at par to Rothschild Nominees Limited	1	SOGC
32		Merger: Acquisition of assets and liabilities of Rothschild Asset Management Holdings AG in Zug (CHE-101.226.122), according to merger contract dated 06/29/2016 and balance sheet dated 03/31/2016. Assets of CHF 39,472,000.00 and liabilities (borrowed capital) of CHF 24,425,000.00 are transferred to the acquiring company. Since the acquiring company holds all shares of the transferring company, neither a capital increase nor an equity allocation takes place.		

CHE-103.241.457	Rothschild & Co Continuation Holdings AG	Zug
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## All entries

Ent.	Del.	Special circumstances	Ref.	Publication medium
32		Merger: Acquisition of assets and liabilities of Rothschild India Holding AG in Zug (CHE-101.034.166) according to merger contract dated 06/29/2016 and balance sheet dated 3.31.2016. Assets of CHF 3,053,123.00 and liabilities (borrowed capital) of CHF 2,096,260.00 are transferred to the acquiring company. Since the acquiring company holds all shares of the transferring company, neither a capital increase nor an equity allocation takes place.		
35		Merger: Acquisition of assets and liabilities of Five Arrows Capital AG in Zug (CHE-101.291.680) according to merger contract dated 03/02/2017 and balance sheet dated 02.17.2017. Assets of CHF 94,903,128.00 and liabilities (borrowed capital) of CHF-311,007.00 are transferred to the acquiring company. Since the acquiring company holds all shares of the transferring company, neither a capital increase nor an equity allocation takes place.		
40		Merger: Acquisition of assets and liabilities of Rothschild & Co AG in Zurich (CHE-343.226.548) according to merger contract dated 05/15/2019 and balance sheet dated 12.31.2018. Assets of CHF 98,394.00 and liabilities (borrowed capital) of CHF 501.00 are transferred to the acquiring company. Since the acquiring company holds all shares of the transferring company, neither a capital increase nor an equity allocation takes place.		
41		Merger: Acquisition of assets and liabilities of Rothschild & Co Holding AG in Zurich (CHE-100.503.744) according to merger contract dated 06/20/2019 and balance sheet dated 12.31.2018. Assets of CHF 481,185,000.00 and liabilities (borrowed capital) of CHF 1,663,000.00 are transferred to the acquiring company. Since the acquiring company holds all shares of the transferring company, neither a capital increase nor an equity allocation takes place.		
41		Merger: Acquisition of assets and liabilities of Rothschild & Co Concordia AG in Zurich (CHE-101.712.635) according to merger contract dated 06/20/2019 and balance sheet dated 12.31.2018. Assets of CHF 93,899,000.00 - in which shares of the acquiring company are included - and liabilities (borrowed capital) of CHF 77,000.00 are transferred to the acquiring company. Since the shareholders of the transferring company receive their own shares of the acquiring company, which are acquired at the time of merger, neither a capital increase nor an equity allocation takes place.		

Code	Ref.	DR no.	DR date	SOGC	SOGC date	Page / ID	Code	Ref.	DR no.	DR date	SOGC	SOGC date	Page / ID
	1	(Initial entry)		50	03/13/1995	1396		22	5369	04/14/2010	75	04/20/2010	22 /5593632
	2	6791	10/07/1996	202	10/17/1996	6333		23	8788	06/15/2010	117	06/21/2010	24 /5684658
	3	5111	06/30/1997	135	07/17/1997	5071		24	8869	07/01/2011	129	07/06/2011	6240680
	4	7342	08/28/1997	171	09/08/1997	6604		25	12258	09/13/2011	180	09/16/2011	6337672
	5	8971	10/23/1997	208	10/29/1997	7863		26	15386	11/18/2011	228	11/23/2011	6428474
	6	1528	02/23/2000	42	02/29/2000	1382		27	4346	04/02/2012	68	04/05/2012	6626890
	7	8225	10/09/2000	200	10/13/2000	7012		28	5036	04/12/2013	73	04/17/2013	7150858
	8	9324	10/09/2001	199	10/15/2001	8030		29	12345	09/16/2014	181	09/19/2014	1724289
	9	6698	07/11/2002	136	07/17/2002	15/564192		30	12018	10/01/2015	193	10/06/2015	2410369
	10	7190	07/25/2002	146	07/31/2002	17/585112		31	2410	02/15/2016	34	02/18/2016	2675525
	11	8126	08/29/2002	171	09/05/2002	15/629966		32	9394	07/15/2016	139	07/20/2016	2963533
	12	11046	11/18/2003	226	11/24/2003	16/1273818		33	15140	12/01/2016	237	12/06/2016	3203755
	13	503	01/16/2004	14	01/22/2004	16/2082594		34	3139	03/14/2017	54	03/17/2017	3410219
	14	12026	11/25/2004	234	12/01/2004	18/2567150		35	3425	03/17/2017	57	03/22/2017	3418355
	15	8080	07/21/2005	144	07/27/2005	18/2952500		36	10186	08/08/2017	154	08/11/2017	3692461
	16	5307	05/01/2006	87	05/05/2006	10/3363294		37	12723	10/04/2017	195	10/09/2017	3797395
	17	3361	03/13/2007	54	03/19/2007	22/3844614		38	4999	04/09/2018	70	04/12/2018	4166923
	18	9693	07/26/2007	147	08/02/2007	17/4050476		39	15540	11/02/2018	216	11/07/2018	1004492350
	19	2171	02/05/2009	28	02/11/2009	26 / 4873408		40	7406	05/27/2019	104	05/31/2019	1004641687
	20	7179	05/05/2009	89	05/11/2009	28/5011188		41	8877	06/25/2019	123	06/28/2019	1004662399
	21	4204	03/17/2010	57	03/23/2010	23/5553188							

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	Amd.	Del.	Staff information	Function	Signature type
		6	<del>Hafer, Dr. Peter, from Zurich and Weinfelden, in Künsnacht ZH (Canton of Zurich)</del>	<del>Vice-Chairperson of the Board of Directors</del>	<del>Joint-signatory authority</del>
1		24m	Tschäni, Dr. Rudolf, from Rüschlikon and Dittingen, in Baar	Member of the Board of Directors	Joint-signatory authority
1		6	de-Rothschild, Leopold, British citizen, in London (UK)	Member of the Board of Directors	Joint-signatory authority
1		6	Myers, Bernard I., British citizen, in London (UK)	Member of the Board of Directors	Joint-signatory authority
1		2	von-Flüe, Hans from Sachseln, in Unterägeri	Member of the Board of Directors	Joint-signatory authority
1		12	de-Rothschild, Evelyn, British citizen, in London (UK)	Chairperson of the Board of Directors	Joint-signatory authority
1		8	Kurer, Dr. Peter, from Berneck, in Herrliberg	Member of the Board of Directors	Joint-signatory authority
1		11	Schweizerische Treuhandgesellschaft Coopers & Lybrand AG, in Zurich	Auditor	
2		12m	de-Rothschild, David, French citizen, in Paris (FR)	Member of the Board of Directors	Joint-signatory authority
2		33	de-Rothschild, Eric, French citizen, in Paris (FR)	Member of the Board of Directors	Joint-signatory authority
2		16m	de-Rothschild, Benjamin, French citizen, in Pregny Chambésy (FR)	Member of the Board of Directors	Joint-signatory authority
2		12m	Chapman, Anthony, British citizen, in Oxford (UK)	Member of the Board of Directors	Joint-signatory authority
2		6	Wais, Guy, from Künsnacht ZH and Zurich, in Künsnacht ZH	Member of the Board of Directors	Joint-signatory authority
4		12m	Didham, Andrew, British citizen, in Essex (UK)	Member of the Board of Directors	Joint-signatory authority
6		28	de-Rothschild, Edouard Etienne Alphonse, French citizen, in Paris (FR)	Member of the Board of Directors	Without signing authority
6		13	Brass, Philip, Australian citizen, in Melbourne (AU)	Member of the Board of Directors	Without signing authority
6		28	Henrot, François, French citizen in Paris (FR)	Member of the Board of Directors	Without signing authority
6		15	Smith, Raymond, American citizen, in New York (US)	Member of the Board of Directors	Without signing authority
6		22	Collins, John Alexander, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
6		16	Hearne, Graham James, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
6		9	Wakeham, John, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
6		12m	Whitmore, Clive, British citizen, in Newick (UK)	Member of the Board of Directors	Without signing authority
8		29	Däniker, Daniel, from Zurich, in Zurich	Member of the Board of Directors	Joint-signatory authority
10		29	Smith, Peter, British citizen, in Hughenden (UK)	Member of the Board of Directors	Joint-signatory authority
11		16	Pricewaterhouse Coopers AG, in Zurich	Auditor	
12		16m	George, Edward Alan John, British citizen, in Cornwall (UK)	Member of the Board of Directors	Joint-signatory authority
12		16	Guthrie of Craigiebank, Charles Ronald Llewelyn, British citizen in London (UK)	Member of the Board of Directors	Joint-signatory authority
	12	29m	de-Rothschild, David, French citizen, in Paris (FR)	Chairperson of the Board of Directors	Joint-signatory authority
	12	31	Chapman, Anthony, British citizen, in Oxford (UK)	Authorized signatory	Joint-signatory authority
	12	31	Didham, Andrew, British citizen, in Essex (UK)	Authorized signatory	Joint-signatory authority

CHF 103.241.457	Rothschild & Co Continuation Holdings AG	Zug	4
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Ent.	Amd.	Del.	Staff information	Function	Signature type
	12	16m	Whitmore, Clive, British citizen, in Newick (UK)	Member of the Board of Directors	Joint signatory authority
12		16m	Alt, Anthony Joseph, British citizen, in London (UK)	Member of the Board of Directors	Joint signatory authority
14		16m	Rohatyn, Felix, American citizen, in New York (US)	Member of the Board of Directors	Joint signatory authority
	16	29	de Rothschild, Benjamin, French citizen, in Pregny-Chambésy	Member of the Board of Directors	Without signing authority
	16	24	Whitmore, Clive, British citizen, in Newick (UK)	Authorized signatory	Joint signatory authority
16		28	Leach, Charles Guy Rodney, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
16		28	de Rothschild, Anthony James, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
16		30	Evans, William Mark, Canadian citizen, in London (UK)	Member of the Board of Directors	Without signing authority
16		24	Keswick, Henry Neville Lindley, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
16		28	Hefes, Sylain Mare, French citizen, in London (UK)	Member of the Board of Directors	Without signing authority
16		17m	KPMG Fides Peal, in Zurich	Auditor	
	16	18	Rohatyn, Felix, American citizen, in New York (US)	Member of the Board of Directors	Without signing authority
	16	25	Alt, Anthony Joseph, British citizen, in London (UK)	Member of the Board of Directors	Without signing authority
	16	20	George, Edward Alan John, British citizen, in Cornwall (UK)	Member of the Board of Directors	Without signing authority
	17	22m	KPMG AG, in Zurich	Auditor	
19		28	Sehat, Sipko, Dutch citizen, in Bilthoven (NL)	Member of the Board of Directors	Without signing authority
21		34m	Higgins, Nigel, British citizen, in Hampstead (UK)	Member of the Board of Directors	Joint signatory authority
	22		KPMG AG (CH-020.3.001.933-8), in Zurich	Auditor	
23		33	Bouton, Daniel, French citizen, in Paris (FR)	Member of the Board of Directors	Without signing authority
24		28	de Rothschild, Alexandre, French citizen, in Paris (FR)	Member of the Board of Directors	Joint signatory authority
24		28	Keswick, Adam, British citizen, in Hong Kong (CN)	Member of the Board of Directors	Without signing authority
24		29	Sprieser, Judith, American citizen, in Marshall (US)	Member of the Board of Directors	Without signing authority
	24	29m	Tschäni, Dr. Rudolf, from Rüschlikon and Dittingen, in Baar	Authorized signatory	Joint signatory authority
26		29	Rose, John, British citizen, in London (UK)	Vice Chairperson of the Board of Directors	Joint signatory authority
27		29m	Crump, Mark Leslie, British citizen, in Kingswood	Member of the Board of Directors	Joint signatory authority
27			Westcott, Jonathan, British citizen, in London (UK)	Authorized signatory	Joint signatory authority
	29	34	Crump, Mark Leslie, British citizen, in Kingswood	Chairperson of the Board of Directors	Joint signatory authority
29		37m	Bouet, Christian, French citizen, in Maur	Member of the Board of Directors	Joint signatory authority
	29	33	de Rothschild, David, French citizen, in Paris (FR)	Member of the Board of Directors	Joint signatory authority
	29	33	Tschäni, Dr. Rudolf, from Rüschlikon and Dittingen, in Baar	Member of the Board of Directors	Joint signatory authority
31		36	de Maddalena, Veit Dieter, from Aarau, in Freienbach	Authorized signatory	Joint signatory authority
31		38	Wilson, Robert, British citizen, in Zolikon	Authorized signatory	Joint signatory authority

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	Del.	Staff information	Function	Signature type
		Pfister, Bruno, from Wittenbach, in Wollerau	Member of the Board of Directors	Joint signatory authority
33	39m	<del>de Rothschild, Alexandre, French citizen in Paris (FR)</del>	<del>Member of the Board of Directors</del>	<del>Joint signatory authority</del>
33		Gagnebin, Laurent, from Tramelan, in Trelex	Authorized signatory	Joint signatory authority
33		Linder, Ivona, German citizen, in Mettauertal	Authorized signatory	Joint signatory authority
33	39	Rubli, Armand, from Schaffhausen, in Küsnacht (ZH)	Authorized signatory	Joint signatory authority
33		<del>Theus Sigismondi Cristina, from Thusis, in Maur</del>	<del>Authorized signatory</del>	<del>Joint signatory authority</del>
	34	39 Higgins, Nigel, British citizen, in Hampstead (GB)	Chairperson of the Board of Directors	Joint signatory authority
37		Bouet, Christian, French citizen, in Maur	Authorized signatory	Joint signatory authority
38		Moal, Stephane, French citizen, in Paris (FR)	Member of the Board of Directors	Joint signatory authority
38		Eisenring, Patrick, from Bichelsee-Balterswil, in Uster	Authorized signatory	Joint signatory authority
	39	de Rothschild, Alexandre, French citizen, in Paris (FR)	Chairperson of the Board of Directors	Joint signatory authority
39		Humm, Stefan, von Solothurn, in Zurich	Authorized signatory	Joint signatory authority

Zug, 07/01/2019 11:04 a.m. LUE

This extract from the cantonal commercial register is not valid without the adjacent original certification. It contains all current entries for this company as well as any deleted entries. An extract that shows only all current entries can also be created upon special request.

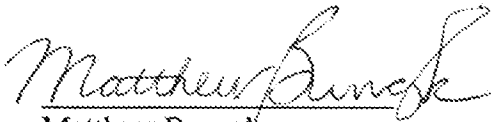
[stamp:] CERTIFIED EXTRACT

Zug - July 1, 2019

[signature]

COMMERCIAL REGISTRY OFFICE OF ZUG

I, Matthew Bunczk, hereby certify that I am competent to translate from German to English and that the attached translation is, to the best of my knowledge and belief, a true and accurate translation of the document entitled "20190701 Extract R&CoCH" from German to English.

A handwritten signature in cursive script that reads "Matthew Bunczk". The signature is written in black ink and is positioned above a horizontal line.

Matthew Bunczk

July 31, 2019