### 900518224 10/06/2019

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### TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ETAS ID: TM544054

| SUBMISSION TYPE:      | NEW ASSIGNMENT                                     |  |
|-----------------------|--|--|
| NATURE OF CONVEYANCE: | ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL |  |

### **CONVEYING PARTY DATA**

| Name                       | Formerly | Execution Date | Entity Type                              | 1           |
|----------------------------|----------|----------------|--|-------------|
| Owen Roe, LLC              |          | 07/01/2019     | Corporation: WASHINGTON                  | limi        |
| Outlook Vineyard, LLC      |          | 07/01/2019     | Limited Liability Company:<br>WASHINGTON | tiab<br>com |
| Rosa Mystica Vineyard, LLC |          | 07/01/2019     | Limited Liability Company:<br>WASHINGTON |             |
| Jasper Bay LLC             |          | 07/01/2019     | Limited Liability Company:<br>WASHINGTON |             |

### **RECEIVING PARTY DATA**

| Name:           | Vintage Wine Estates, Inc. |
|-----------------|----------------------------|
| Street Address: | 205 Concourse Blvd.        |
| City:           | Santa Rosa                 |
| State/Country:  | CALIFORNIA                 |
| Postal Code:    | 95403                      |
| Entity Type:    | Corporation: CALIFORNIA    |

### **PROPERTY NUMBERS Total: 22**

| Property Type        | Number  | Word Mark                  |
|----------------------|---------|----------------------------|
| Registration Number: | 3751248 | ABBOT'S TABLE              |
| Registration Number: | 4637552 | CLANDEBOYE                 |
| Registration Number: | 3636005 | CORVIDAE                   |
| Registration Number: | 3912717 | CORVIDAE WINE COMPANY      |
| Registration Number: | 3054683 | EX UMBRIS                  |
| Registration Number: | 3697053 | THE KEEPER                 |
| Registration Number: | 3672211 | THE KILMORE                |
| Registration Number: | 3726547 | LENORE                     |
| Registration Number: | 3650985 | MIRTH                      |
| Registration Number: | 3088940 | O'REILLY'S                 |
| Registration Number: | 3119559 | OWEN ROE                   |
| Registration Number: | 4285557 | OWEN ROE                   |
| Registration Number: | 4091326 | OWEN ROE LADY ROSA         |
| Registration Number: | 4202657 | OWEN ROE THE PARTING GLASS |

TRADEMARK REEL: 006774 FRAME: 0019

900518224

| Property Type        | Number  | Word Mark       |
|----------------------|---------|-----------------|
| Registration Number: | 4871433 | THE PEARL BLOCK |
| Registration Number: | 4404293 | ROCKS OF BAWN   |
| Registration Number: | 3703395 | ROOK            |
| Registration Number: | 3679029 | ROSA MYSTICA    |
| Registration Number: | 3050804 | SHARECROPPER'S  |
| Registration Number: | 3703396 |                 |
| Registration Number: | 3054685 | SINISTER HAND   |
| Registration Number: | 3144380 | VOCE POPULI     |

### CORRESPONDENCE DATA

### Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 316-847-4886

Email: Angie.Gregory@LRICO.com

Correspondent Name: Angie Gregory
Address Line 1: 2416 E. 37th St. N.
Address Line 4: Wichita, KANSAS 67219

| NAME OF SUBMITTER: | Angie Gregory   |
|--------------------|-----------------|
| SIGNATURE:         | /Angie Gregory/ |
| DATE SIGNED:       | 10/06/2019      |

### **Total Attachments: 8**

source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page1.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page2.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page3.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page4.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page5.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page6.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page7.tif source=190911 OR-VWE-Exhibit IP1 IP2-Trademarks Assignment - Ex'd#page8.tif

### **EXHIBIT IP-1**

### **Trademark Assigment**

WHEREAS, Owen Roe, LLC, a Washington limited liability company (Owen Roe), Outlook Vineyard, LLC, a Washington limited liability company ("Outlook"), Rosa Mystica Vineyard, LLC, a Washington limited liability company ("Rosa Mystica") and Jasper Bay LLC, a Washington limited liability company ("Jasper Bay" and together with Owen Roe Outlook and Rosa Mystica, collectively "Assignor"), has adopted, used and is using the trademarks, including registrations of trademarks and applications for such registrations, and other intellectual property assets more fully identified on <a href="Exhibit A">Exhibit A</a>, which is attached hereto and incorporated herein by this reference (individually and collectively, the "Trademarks"); and

WHEREAS, Vintage Wine Estates, Inc., a California corporation ("Assignee"), desires to acquire the Trademarks and the registrations thereof, pursuant to the Asset Purchase Agreement entered into by and between Assignor and Assignee effective as of July 1, 2019.

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor and Assignee hereby agree as follows:

Assignor does hereby assign and transfer unto Assignee, and Assignee hereby accepts, effective as of the 11th day of September, 2019:

- A. All rights, title and interest held by Assignor in and to the Trademarks;
- B. The good will of the business connected with the use of and symbolized by the Trademarks; and
- C. All rights to damages or profits, due or to become due, accrued or to accrue, arising out of past, present or future infringement of said Trademarks or injury to said goodwill, together with the right to sue or recover the same in the name of Assignor.

[Signature Page Follows]

190911.1201 Exhibits **TRADEMARK** 

REEL: 006774 FRAME: 0021

| <b>IN WITNESS WHEREOF</b> , the parties have executed this Assignment of Trademarks. |
|--|
| ASSIGNOR: Owen Roe, LLC, a Washington limited liability company                      |
| By: David O.Reilly, Manager  |
| Outlook Vineyard, LLC,<br>a Washington limited liability company                     |
| By:  |
| Rosa Mystica Vineyard, LLC,<br>a Washington limited liability company                |
| By: David O'Reilly, Manager  |
| Jasper Bay, LLC,<br>a Washington limited liability company                           |
| By:<br>Benjamin Wolff, Member  |
| By: Lulu Wolff, Member   |
| ASSIGNEE: Vintage Wine Estates, Inc., a California corporation                       |
| By: Pat Roney CEO  |

Exhibits

IN WITNESS WHEREOF, the parties have executed this Assignment of Trademarks. ASSIGNOR: Owen Roe, LLC, a Washington limited liability company David O.Reilly, Manager Ву: \_\_ Outlook Vineyard, LLC, a Washington limited liability company By: \_\_\_\_\_\_ David O'Reilly, Manager Rosa Mystica Vineyard, LLC, a Washington limited liability company By: \_\_\_\_\_\_ David O'Reilly, Manager Jasper Bay, LLC, a Washington limited liability company By: \_\_\_\_\_\_Benjamin Wolff, Member Julie Wolff, Member ASSIGNEE:

Vintage Wine Estates, Inc., a California corporation

By: Pat Roney

190911,1201 Exhibits

## **EXHIBIT IP-2**

# Marks & Pending Applications

| THE KILMORE            | THE KEEPER<br>US Reg. No. 3,697,053  | EX UMBRIS<br>US Reg. No. 3,054,683  | CORVIDAE WINE<br>COMPANY<br>US Reg. No. 3,912,717  | CORVIDAE<br>US Reg. No. 3,636,005   | CLANDEBOYE<br>US Reg. No. 4,637,552   | MARK ABBOT'S TABLE US Reg. No. 3,751,248   |
|------------------------|--|---|--|---|---|--|
| Class 33: wine         | Class 33: wine   | Class 33: wine  | Class 33: wine   | Class 44: vineyard and winery services, namely, the cultivation of grapes for others                              | Class 33: wine  | GOODS / SERVICES Class 33: wine  |
| Owen Roe, LLC          | Owen Roe, LLC  | Owen Roe, LLC   | Owen Roe, LLC  | Owen Roe, LLC   | Owen Roe, LLC   | OWNER Owen Roe, LLC  |
| 08/25/2009: Registered | 10/13/2009: Registered<br>10/23/2014: 8&15 accepted & acknowledged<br><b>8&amp;9 due between 10/13/2018 and 10/13/2019</b> | 01/31/2006: Registered 3/6/12: 8&15 accepted & acknowledged 3/9/16: 8&9 accepted & acknowledged 8&9 due between 01/31/2025 and 01/31/2026 | 02/01/2011: Registered<br>8&15 accepted 11/19/16<br><b>8&amp;9 due between 02/01/2020 and 02/01/2021</b> | 06/09/2009: Registered<br>8&15 filed 6/02/15 and accepted 9/30/15<br><b>8&amp;9 due between 6/9/18 and 6/9/19</b> | 11/11/2014: Registered<br><b>8&amp;15 due between 11/11/2019 and 11/11/2020</b> | STATUS  02/23/2010: Registered  3/18/16: 8&15 accepted and acknowledged  8&9 due between 2/23/2019 and 2/23/2020 |

| MARK                       | GOODS / SERVICES                                       | OWNER         | STATUS  |
|----------------------------|--|---------------|---|
| US Reg. No. 3,672,211      |  |               | 9/24/15: 8&15 accepted and acknowledged   |
|                            |  |               | 8 & 9 due between 8/25/2018 and 8/25/2019   |
| LENORE                     | Class 33: wine   | Owen Roe, LLC | 12/15/2009: Registered  |
| US Reg. No. 3,726,547      |  |               | 1/19/16: 8&15 accepted & acknowledged   |
|                            |  |               | 8&9 due between 12/15/2018 and 12/15/2019   |
| MIRTH                      | Class 33: wine   | Owen Roe, LLC | 07/07/2009: Registered  |
| US Reg. No. 3,650,985      |  |               | 7/30/15: 8&15 accepted and acknowledged   |
|                            |  |               | 8&9 due between 07/07/2018 and 07/07/2019   |
| O'REILLY'S                 | Class 33: wine   | Owen Roe, LLC | 05/02/2006: Registered  |
| US Reg. No. 3,088,940      |  |               | 8&9 accepted 6/25/16  |
|                            |  |               | 8&9 due between 6/25/2025 and 6/25/2026   |
| OWEN ROE                   | Class 33: wine   | Owen Roe, LLC | 07/25/2006: Registered  |
| US Reg. No. 3,119,559      |  |               | 06/08/2012: 8&15 accepted & acknowledged  |
|                            |  |               | 8&9 accepted 9/21/16  |
|                            |  |               | 8&9 due between 7/25/2025 and 7/25/2026   |
| OWEN ROE                   | Class 44: vineyard and winery                          | Owen Roe, LLC | 02/05/2013: Registered  |
| US Reg. No. 4,285,557      | services, namely, the cultivation of grapes for others |               | 8 filed 4/15/2019   |
| OWEN ROE LADY ROSA         | Class 33: wine   | Owen Roe, LLC | 01/24/2012: Registered  |
| US Reg. No. 4,091,326      |  |               | 8&15 filed 4/26/17  |
|                            |  |               | 8&9 due between 01/24/2021 and 01/24/2022   |
| OWEN ROE THE PARTING GLASS | Class 33: wine   | Owen Roe, LLC | 09/04/2012: Registered Cancelled 3/04/19 Reinstatement Application filed 4/15/2019. |
| US Reg. No. 4,202,657      |  |               |   |

| MARK  | GOODS / SERVICES | OWNER         | STATUS   |
|---|------------------|---------------|--|
| THE PEARL BLOCK<br>US Reg. No 4,871,433       | Class 33: wine   | Owen Roe, LLC | 12/15/15: Registered<br><b>8&amp;15 due between 12/15/2020 and 12/15/2021</b>  |
| ROCKS OF BAWN<br>US Reg. No. 4,404,293        | Class 33: wine   | Owen Roe, LLC | 09/17/2013: Registered<br><b>8&amp;15 due between 09/17/2018 and 09/17/2019</b>  |
| ROOK<br>US Reg. No. 3,703,395                 | Class 33: wine   | Owen Roe, LLC | 10/27/2009: Registered<br>11/12/2014: 8&15 accepted & acknowledged<br><b>8&amp;9 due between 10/27/18 and 10/27/2019</b>   |
| ROSA MYSTICA<br>US Reg. No. 3,679,029         | Class 33: wine   | Owen Roe, LLC | 09/08/2009: Registered<br>10/24/15: 8&15 accepted and acknowledged<br><b>8&amp;9 due between 9/8/18 and 9/8/19</b>         |
| SHARECROPPER'S<br>US Reg. No. 3,050,804       | Class 33: wine   | Owen Roe, LLC | 01/24/2006: Registered<br>3/9/16 8&9 accepted and acknowledged<br><b>8&amp;15 due between 01/24/2025 and 01/24/2026</b>    |
| SINISTER HAND LOGO<br>US Reg. No. 3,703,396   | Class 33: wine   | Owen Roe, LLC | 10/27/2009: Registered<br>11/12/2014: 8&15 accepted & acknowledged<br><b>8&amp;9 due between 10/27/2018 and 10/27/2019</b> |
| SINISTER HAND<br>US Reg. No. 3,054,685        | Class 33: wine   | Owen Roe, LLC | 01/31/2006: Registered<br>03/24/2016: 8&9 accepted & acknowledged<br><b>8&amp;9 due between 01/31/2025 and 01/31/2026</b>  |
| VOCE POPULI & Design<br>US Reg. No. 3,144,380 | Class 33: wine   | Owen Roe, LLC | 09/19/2006: Registered<br>09/27/2012: 8&15 accepted & acknowledged   |

8&9 accepted 11/10/16

# GOODS / SERVICES

## OWNER

### STATUS

8&9 due between 09/19/2025 and 09/19/2026

1. Websites, Internet domain registrations and other intellectual property [[PLEASE PROVIDE]]

USER = admin@owenroe.com Dreamhost (domain hosting)

GoDaddy (domain registrations)

USER = 209120262

OUTLOOKVINEYARDS.COM OWENROE.COM OUTLOOKVINEYARD.COM **EXCELLAROREGON.COM EX-CELLARS.COM** CORVIDAEWINES.COM CORVIDAEWINE.COM **Domains & Expiration Dates** 2/18/20 2/18/20 12/9/20 12/9/21 2/7/20 9/27/21

1/3/20

Adobe CC Subscription

ROSAMYSTICAVINEYARD.COM

2/18/20

2/18/20

ROSAMYSTICAVINEYARDS.COM

admin@owenroe.com

Microsoft Office 2013

USER = admin@owenroe.com

**Gmail Admin** 

USER = admin@owenroe.com

### CONSENT ACTION OF THE MEMBERS OF JASPER BAY LLC

Effective: July 1, 2019

Pursuant to authority granted by the Washington Limited Liability Company Act, the following action is hereby taken, without a meeting, by the Members of Jasper Bay LLC, a Washington limited liability company (the "Company"), effective as of July 1, 2019, and the Members hereby consent to this action as shown by the signatures below. All capitalized terms set forth in this consent shall have the meaning attributed to such term in this Consent, and if not defined in this Consent, then such terms shall have the meaning ascribed to such terms in the Company's Limited Liability Company Agreement dated June 12, 2009 (the "LLC Agreement").

WHEREAS, Owen Roe, LLC ("OR") and VWE executed a Letter of Intent on January 2, 2019 ("LOI") with respect to the sale of the OR assets;

WHEREAS, the parties to the LOI have negotiated the Asset Purchase Agreement attached hereto as **Exhibit A** ("APA");

NOW THEREFORE BE IT RESOLVED, the APA attached hereto as Exhibit A is hereby approved in all respects by the Members; and

BE IT FURTHER RESOLVED, that Julie Wolff and/or Ben Wolff, acting solely or in connection with the other member, is hereby directed as an Authorized Member to execute the APA, and all such other documents as may be required or reasonably necessary to close the transactions set forth under the APA;

BE IT FURTHER RESOLVED, that Julie Wolff and/or Ben Wolff, acting solely or in connection with the other member, as an Authorized Member of the Company is authorized and directed to take all such other action as may be necessary to implement the resolutions adopted above.

APPROVED:

**MEMBERS** 

Benjamin Wolff

**RECORDED: 10/06/2019** 

By: <u>Leli 1201</u> Julie Wolff