

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM545646

| | | | |
|---|---------------------------------------|-------------------------------------|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | | |
| NATURE OF CONVEYANCE: | MERGER AND CHANGE OF NAME | | |
| EFFECTIVE DATE: | 12/31/2015 | | |
| CONVEYING PARTY DATA | | | |
| Name | Formerly | Execution Date | Entity Type |
| Ibbotson Associates, Inc. | | 12/18/2015 | Corporation: ILLINOIS |
| NEWLY MERGED ENTITY DATA | | | |
| Name | Execution Date | Entity Type | |
| Morningstar Associates, LLC | 12/18/2015 | Limited Liability Company: DELAWARE | |
| MERGED ENTITY'S NEW NAME (RECEIVING PARTY) | | | |
| Name: | Morningstar Investment Management LLC | | |
| Street Address: | 22 West Washington Street | | |
| City: | Chicago | | |
| State/Country: | ILLINOIS | | |
| Postal Code: | 60602 | | |
| Entity Type: | Limited Liability Company: DELAWARE | | |
| PROPERTY NUMBERS Total: 1 | | | |
| Property Type | Number | Word Mark | |
| Registration Number: | 2240224 | IBBOTSON | |
| CORRESPONDENCE DATA | | | |
| Fax Number: | 3122367516 | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | | |
| Phone: | 312.368.4000 | | |
| Email: | deanne.vannatta@dlapiper.com | | |
| Correspondent Name: | Michael A. Geller | | |
| Address Line 1: | DLA Piper LLP (US) | | |
| Address Line 2: | P.O. Box 64807 | | |
| Address Line 4: | Chicago, ILLINOIS 60664-0807 | | |
| ATTORNEY DOCKET NUMBER: | 245941-15 | | |
| NAME OF SUBMITTER: | Michael Geller | | |
| SIGNATURE: | /Michael Geller/ | | |
| DATE SIGNED: | 10/17/2019 | | |

CH \$40.00 2240224

Total Attachments: 4

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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"IBBOTSON ASSOCIATES, INC.", AN ILLINOIS CORPORATION, WITH AND INTO "MORNINGSTAR ASSOCIATES, LLC" UNDER THE NAME OF "MORNINGSTAR INVESTMENT MANAGEMENT LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF DECEMBER, A.D. 2015, AT 6:09 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2015 AT 11:50 O'CLOCK P.M.



3098829 8100M
SR# 20151445382

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 10667291
Date: 12-22-15

TRADEMARK
REEL: 006774 FRAME: 0062

CERTIFICATE OF MERGER

Merging

IBBOTSON ASSOCIATES, INC.
(an Illinois corporation)

with and into

MORNINGSTAR ASSOCIATES, LLC
(a Delaware limited liability company)

Pursuant to Section 18-209 of the Limited Liability Company Act of the State of Delaware (the "DLLCA"), Morningstar Associates, LLC, a Delaware limited liability company (the "Company"), hereby certifies the following information relating to the merger (the "Merger") of Ibbotson Associates, Inc. an Illinois corporation, with and into the Company:

FIRST: The names and state of organization of each of the constituent entities to the Merger are as follows:

| <u>Name</u> | <u>Jurisdiction</u> |
|-----------------------------|---------------------|
| Ibbotson Associates, Inc. | Illinois |
| Morningstar Associates, LLC | Delaware |

SECOND: An agreement and plan of merger was entered into by the constituent entities and has been approved, adopted, certified, executed, and acknowledged by each of the constituent entities in accordance with Section 18-209 of the DLLCA.

THIRD: The surviving entity of the Merger is Morningstar Associates, LLC and the surviving entity shall, upon the effectiveness of the Merger, change its name to Morningstar Investment Management LLC.

FOURTH: Upon the effectiveness of the Merger, the Certificate of Formation of the Company, amended in accordance with ARTICLE THIRD hereof, shall continue as the Certificate of Formation of the surviving entity until amended in accordance with the provisions of the DLLCA.

FIFTH: The executed agreement and plan of merger is on file at the principal place of business of the surviving entity, at 22 West Washington Street, Chicago, Illinois 60602, and will be furnished by the surviving entity, on request and without cost, to any stockholder or member of either constituent entity.

SIXTH: The Merger shall become effective on December 31, 2015, at 11:50 p.m., Eastern Time.

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Merger to be executed by an authorized person on this 18th day of December, 2015.

MORNINGSTAR ASSOCIATES, LLC,
a Delaware limited liability company

By: *Rita Bardell*
Rita Bardell,
Authorized Person

Form **LLC-45.25**
May 2012

Secretary of State
Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 351
Springfield, IL 62756
217-524-8008
www.cyberdriveillinois.com


Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void.

Illinois
Limited Liability Company Act
Amended Application for Admission

FILE # 00334324

This space for use by Secretary of State.

SUBMIT IN DUPLICATE
Type or Print Clearly.

Filing Fee: \$150
Approved: 

FILED

DEC 31 2015

**JESSE WHITE
SECRETARY OF STATE**

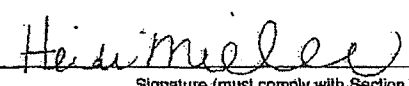
1. Limited Liability Company Name: Morningstar Associates, LLC
2. If required by this office this amended application is accompanied by a Certificate of Good Standing, a Certificate of Fact, or a copy of the Articles of Amendment to the Articles of Organization, as evidence of any change, such document being duly authenticated by the proper officer of the state or country wherein the Limited Liability Company is organized, which certification is not more than 60 days old.
3. Application for Admission is amended as follows (check applicable item(s) below):
- a) Admission of a new member (give name and address below)
 - b) Admission of a new manager (give name and address below)
 - c) Withdrawal of a member (give name below)
 - d) Withdrawal of manager (give name below)
 - e) Change in address of the office at which the records required by Section 1-40 of the Act are kept (give new address, a P.O. Box alone or C/O is unacceptable.)
 - f) Change of registered agent and/or registered agent's office (give new name and/or address below, Address Change to P.O. Box or C/O is unacceptable.)
 - g) Change in the Limited Liability Company's name (give new name below) (evidence required)
 - h) Change in date of duration.
 - i) Change in management structure (state change below)
 - j) Establish authority to issue series (fee \$400) (evidence required)
 - k) Other (give information in space below)

Additional information:

The new name of the LLC is: Morningstar Investment Management LLC

The effective time of the name change shall be December 31, 2015 at 11:50 p.m., Eastern Time.

4. I affirm, under penalties of perjury, having authority to sign hereto, that this Amended Application for Admission is to the best of my knowledge and belief, true, correct and complete.


 Signature (must comply with Section 5-45 of ILLCA)
 Heidi Miller, Corp. Secretary of Morningstar, Inc. Member of
 Name and Title (type or print)
 Morningstar Investment Management LLC
 Name if a Company or other Entity and if a Member or Manager of the LLC

Dated: December 18 2015
 Month/Day Year