

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM599285

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
LADENBURG THALMANN FINANCIAL SERVICES INC.		09/23/2020	Corporation: FLORIDA
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	ADVISOR GROUP HOLDINGS, INC.		
<b>Street Address:</b>	20 E. THOMAS ROAD		
<b>Internal Address:</b>	SUITE 2000		
<b>City:</b>	PHOENIX		
<b>State/Country:</b>	ARIZONA		
<b>Postal Code:</b>	85012		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	88252413	QUI(K)	
<b>Registration Number:</b>	3647154	DRIVING FINANCIAL GROWTH SINCE 1876	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2159944000		
<b>Email:</b>	trademarks@dechert.com		
<b>Correspondent Name:</b>	Dechert LLP		
<b>Address Line 1:</b>	2929 Arch Street		
<b>Address Line 2:</b>	Cira Centre		
<b>Address Line 4:</b>	Philadelphia, PENNSYLVANIA 19104		
<b>NAME OF SUBMITTER:</b>	Hilary Smoot		
<b>SIGNATURE:</b>	/Hilary Smoot/		
<b>DATE SIGNED:</b>	09/24/2020		
<b>Total Attachments: 2</b>			
source=Ladenburg Thalmann Financial Services Inc-AGHI trademark assignment, Signed by AH#page1.tif			
source=Ladenburg Thalmann Financial Services Inc-AGHI trademark assignment, Signed by AH#page2.tif			

CH \$65.00 88252413

## TRADEMARK ASSIGNMENT


WHEREAS **LADENBURG THALMANN FINANCIAL SERVICES INC.**, a Florida corporation whose address is 4400 Biscayne Blvd., 12<sup>th</sup> Floor, Miami FL 33137 ("Assignor"), was acquired by and is now a related entity to **ADVISOR GROUP HOLDINGS, INC.**, a Delaware corporation whose address is 20 E. Thomas Rd., Suite 2000, Phoenix, AZ 85012 ("Assignee");

WHEREAS Assignor owns the U.S. trademark registrations set forth in the attached **Schedule A** (together the "Registrations"); and

WHEREAS Assignee desires to acquire all of Assignor's rights in the subject marks of the Registrations (together the "Marks"), the Registrations, and the goodwill of the business connected with the use of and symbolized by the Marks.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor hereby irrevocably transfers and assigns to Assignee all of Assignor's right, title, and interest in and to the Marks, the Registrations, all other rights that Assignor may have in the Marks, and the goodwill of the business connected with the use of and symbolized by the Marks.

**LADENBURG THALMANN FINANCIAL  
SERVICES, INC.**

By: 

Name: Abby Henig

Position: Assistant Secretary

Dated: September 23, 2020

**SCHEDULE A**

<b>App/Reg No.</b>	<b>Mark</b>
3,647,154	DRIVING FINANCIAL GROWTH SINCE 1876
88/252,413	QUI(K)