

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM599288

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ARBOR POINT ADVISORS, LLC		09/23/2020	Limited Liability Company: NEBRASKA
RECEIVING PARTY DATA			
Name:	ADVISOR GROUP HOLDINGS, INC.		
Street Address:	20 E. THOMAS ROAD		
Internal Address:	SUITE 2000		
City:	PHOENIX		
State/Country:	ARIZONA		
Postal Code:	85012		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	4400308	ARBOR POINT ADVISORS	
Registration Number:	4423402	ARBOR POINT A D V I S O R S	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2159944000		
Email:	trademarks@dechert.com		
Correspondent Name:	Dechert LLP		
Address Line 1:	2929 Arch Street		
Address Line 2:	Cira Centre		
Address Line 4:	Philadelphia, PENNSYLVANIA 19104		
NAME OF SUBMITTER:	Hilary Smoot		
SIGNATURE:	/Hilary Smoot/		
DATE SIGNED:	09/24/2020		
Total Attachments: 2			
source=Arbor Point Advisors LLC.-AGHI trademark assignment, Signed by AH#page1.tif			
source=Arbor Point Advisors LLC.-AGHI trademark assignment, Signed by AH#page2.tif			

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TRADEMARK ASSIGNMENT


WHEREAS **ARBOR POINT ADVISORS, LLC**, a Nebraska limited liability company whose address is 12325 Port Grace Blvd., La Vista, NE 68128 ("Assignor"), is a subsidiary of **ADVISOR GROUP HOLDINGS, INC.**, a Delaware corporation whose address is 20 E. Thomas Rd., Suite 2000, Phoenix, AZ 85012 ("Assignee");

WHEREAS Assignor owns the U.S. trademark registrations set forth in the attached **Schedule A** (together the "Registrations"); and

WHEREAS Assignee desires to acquire all of Assignor's rights in the subject marks of the Registrations (together the "Marks"), the Registrations, and the goodwill of the business connected with the use of and symbolized by the Marks.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor hereby irrevocably transfers and assigns to Assignee all of Assignor's right, title, and interest in and to the Marks, the Registrations, all other rights that Assignor may have in the Marks, and the goodwill of the business connected with the use of and symbolized by the Marks.

ARBOR POINT ADVISORS, LLC

By: 

Name: Abby Henig

Position: Assistant Secretary

Dated: September 23, 2020

SCHEDULE A

Registration No.	Mark
4,400,308	Arbor Point Advisors
4,423,402	Arbor Point Advisors & Logo Design