

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

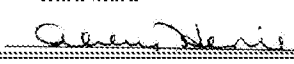
ETAS ID: TM603146

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT		
NATURE OF CONVEYANCE:	Corrective Assignment to correct the erroneous addition of Ser. No. 88/252,413 to the schedule of an intended assignment of registration(s) only, previously recorded on Reel 007060 Frame 0213. Assignor(s) hereby confirms the assignment of Reg. No. 3,647,154 to Advisor Group Holdings, Inc..		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Ladenburg Thalmann Financial Services Inc.		09/23/2020	Corporation: FLORIDA
RECEIVING PARTY DATA			
Name:	Advisor Group Holdings, Inc.		
Street Address:	20 E. Thomas Road		
Internal Address:	Suite 2000		
City:	Phoenix		
State/Country:	ARIZONA		
Postal Code:	85012		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3647154	DRIVING FINANCIAL GROWTH SINCE 1876	
CORRESPONDENCE DATA			
Fax Number:	<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	trademarks@dechert.com		
Correspondent Name:	Glenn A. Gundersen		
Address Line 1:	2929 Arch Street, Cira Centre		
Address Line 4:	Philadelphia, PENNSYLVANIA 19104		
NAME OF SUBMITTER:	Gayle Denman		
SIGNATURE:	/Gayle Denman/		
DATE SIGNED:	10/15/2020		
Total Attachments: 3			
source=Corrected Assignment#page1.tif			
source=Corrected Assignment#page2.tif			

CH \$40.00 3647154

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL	
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
LADENBURG THALMANN FINANCIAL SERVICES INC.		09/23/2020	Corporation: FLORIDA
RECEIVING PARTY DATA			
Name:	ADVISOR GROUP HOLDINGS, INC.		
Street Address:	20 E. THOMAS ROAD		
Internal Address:	SUITE 2000		
City:	PHOENIX		
State/Country:	ARIZONA		
Postal Code:	85012		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Serial Number:	88252413	QUICK	 10/15/2020
Registration Number:	3647154	DRIVING FINANCIAL GROWTH SINCE 1876	
CORRESPONDENCE DATA			
Phone:	2159944000		
Email:	trademarks@dechert.com		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Correspondent Name:	Dechert LLP		
Address Line 1:	2929 Arch Street		
Address Line 2:	Cira Centre		
Address Line 4:	Philadelphia, PENNSYLVANIA 19104		
NAME OF SUBMITTER:		Hilary Smoot	
Signature:		/Hilary Smoot/	
Date:		09/24/2020	
Total Attachments: 2			
source=Ladenburg Thalmann Financial Services Inc-AGHI trademark assignment, Signed by AH#page1.tif			
source=Ladenburg Thalmann Financial Services Inc-AGHI trademark assignment, Signed by AH#page2.tif			
RECEIPT INFORMATION			
ETAS ID:	TM599285		
Receipt Date:	09/24/2020		
Fee Amount:	\$65		

TRADEMARK ASSIGNMENT


WHEREAS **LADENBURG THALMANN FINANCIAL SERVICES INC.**, a Florida corporation whose address is 4400 Biscayne Blvd., 12th Floor, Miami FL 33137 ("Assignor"), was acquired by and is now a related entity to **ADVISOR GROUP HOLDINGS, INC.**, a Delaware corporation whose address is 20 E. Thomas Rd., Suite 2000, Phoenix, AZ 85012 ("Assignee");

WHEREAS Assignor owns the U.S. trademark registrations set forth in the attached **Schedule A** (together the "Registrations"); and

WHEREAS Assignee desires to acquire all of Assignor's rights in the subject marks of the Registrations (together the "Marks"), the Registrations, and the goodwill of the business connected with the use of and symbolized by the Marks.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor hereby irrevocably transfers and assigns to Assignee all of Assignor's right, title, and interest in and to the Marks, the Registrations, all other rights that Assignor may have in the Marks, and the goodwill of the business connected with the use of and symbolized by the Marks.

LADENBURG THALMANN FINANCIAL SERVICES, INC.

By: 

Name: Abby Henig

Position: Assistant Secretary

Dated: September 23, 2020

SCHEDULE A

App/Reg No.	Mark
3,647,154	DRIVING FINANCIAL GROWTH SINCE 1876
88/252,413	QUI(K)

Allen Davis 10/15/2020