

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM608460

|   |   |                       |                        |
|---|---|-----------------------|------------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT  |                       |                        |
| <b>NATURE OF CONVEYANCE:</b>  | Release of Security Interest in Intellectual Property at Reel/Frame No. 6696/0580 |                       |                        |
| <b>CONVEYING PARTY DATA</b>   |   |                       |                        |
| <b>Name</b>   | <b>Formerly</b>   | <b>Execution Date</b> | <b>Entity Type</b>     |
| Canadian Imperial Bank of Commerce  |   | 11/12/2020            | chartered bank: CANADA |
| <b>RECEIVING PARTY DATA</b>   |   |                       |                        |
| <b>Name:</b>  | Advisen Ltd.  |                       |                        |
| <b>Street Address:</b>  | 1430 Broadway, 8th Floor  |                       |                        |
| <b>City:</b>  | New York  |                       |                        |
| <b>State/Country:</b>   | NEW YORK  |                       |                        |
| <b>Postal Code:</b>   | 10018   |                       |                        |
| <b>Entity Type:</b>   | Corporation: DELAWARE   |                       |                        |
| <b>PROPERTY NUMBERS Total: 1</b>  |   |                       |                        |
| <b>Property Type</b>  | <b>Number</b>   | <b>Word Mark</b>      |                        |
| <b>Registration Number:</b>   | 5778390   | ADVISEN               |                        |
| <b>CORRESPONDENCE DATA</b>  |   |                       |                        |
| <b>Fax Number:</b>  | 2138918763  |                       |                        |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |   |                       |                        |
| <b>Email:</b>   | rhonda.deleon@lw.com  |                       |                        |
| <b>Correspondent Name:</b>  | Latham & Watkins LLP  |                       |                        |
| <b>Address Line 1:</b>  | 355 South Grand Avenue  |                       |                        |
| <b>Address Line 4:</b>  | Los Angeles, CALIFORNIA 90071-1560  |                       |                        |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 036608-0159   |                       |                        |
| <b>NAME OF SUBMITTER:</b>   | Rhonda DeLeon   |                       |                        |
| <b>SIGNATURE:</b>   | /Rhonda DeLeon/   |                       |                        |
| <b>DATE SIGNED:</b>   | 11/12/2020  |                       |                        |
| <b>Total Attachments: 5</b>   |   |                       |                        |
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OP \$40.00 5778390



## RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

This Release of Security Interest in Intellectual Property is made as of November 12, 2020, by CANADIAN IMPERIAL BANK OF COMMERCE (“**Bank**”) in favor of ADVISEN LTD., a Delaware corporation (“**Grantor**”) with its principal place of business located at 1430 Broadway, 8th Floor, New York, NY 10018.

### Recital

WHEREAS Grantor granted to Bank a security interest in all of Grantor’s right, title, and interest in its Intellectual Property (as defined in the IP Security Agreement), including the patents and trademarks described on Exhibits A and B attached hereto, under an Intellectual Property Security Agreement dated as of July 17, 2019 (as amended, restated, supplemented or otherwise modified from time to time, the “**IP Security Agreement**”) and recorded with the U.S. Patent and Trademark Office as set forth on Exhibits A and B.

WHEREAS, Grantor has requested and Bank has agreed to release its security interest in the Intellectual Property and terminate the IP Security Agreement.


### Agreement

Now, therefore, Bank agrees that it terminates and releases its security interest in the Intellectual Property (including the patents and trademarks described on Exhibits A and B attached hereto), terminates the IP Security Agreement, and reassigns to Grantor, without warranty or recourse, all right, title, and interest of Bank in the Intellectual Property.

[Signature Page Follows]

**BANK:**

**CANADIAN IMPERIAL BANK OF COMMERCE**

By: 

Name: Paul Gibson

Title: Assistant General Manager, Authorized Signatory  
CIBC Innovation Banking

By: \_\_\_\_\_

Name: Trey Brundige

Title: Assistant General Manager, Authorized Signatory  
CIBC Innovation Banking

Address of Bank:

CIBC Innovation Banking  
199 Bay St, 4th Floor  
Toronto, Ontario, M5L 1A2


**BANK:**

**CANADIAN IMPERIAL BANK OF COMMERCE**

By: \_\_\_\_\_

Name: Paul Gibson

Title: Assistant General Manager, Authorized Signatory  
CIBC Innovation Banking

By:  \_\_\_\_\_

Name: Trey Brundige

Title: Assistant General Manager, Authorized Signatory  
CIBC Innovation Banking

Address of Bank:

CIBC Innovation Banking  
199 Bay St, 4th Floor  
Toronto, Ontario, M5L 1A2

EXHIBIT A

PATENTS

| <u>OWNER</u> | <u>DESCRIPTION</u>   | <u>PATENT /<br/>APPLICATION<br/>NUMBER</u> | <u>ISSUE /<br/>APPLICATION<br/>DATE</u> |
|--------------|--|--|---|
| ADVISEN LTD. | SYSTEM AND METHOD FOR<br>PROVIDING GLOBAL<br>INFORMATION ON RISKS<br>AND RELATED HEDGING<br>STRATEGIES | 8762178                                    | 06/24/2014                              |

Bank's security interest recorded at the U.S. Patent and Trademark Office on July 18, 2019 at Reel Number 049784 and Frame Number 0004.

EXHIBIT B  
TRADEMARKS

| <u>OWNER</u> | <u>DESCRIPTION</u>     | <u>REGISTRATION/<br/>SERIAL<br/>NUMBER</u> | <u>REGISTRATION/<br/>APPLICATION<br/>DATE</u> |
|--------------|------------------------|--|---|
| ADVISEN LTD. | ADVISEN                | 5778390                                    | 06/18/2019                                    |
| ADVISEN LTD. | INSURANCE INTELLIGENCE | 4489712                                    | 02/25/2014                                    |

Bank's security interest recorded at the U.S. Patent and Trademark Office on July 18, 2019 at Reel Number 6696 and Frame Number 0580.