ETAS ID: TM643868

#### TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: Release of Intellectual Property Security Interest recorded at Reel

6846/Frame 0559

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Generate Lending, LLC		04/28/2021	Limited Liability Company: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	Stem, Inc.	
Street Address:	100 Rollins Road	
City:	Millbrae	
State/Country:	y: CALIFORNIA	
Postal Code:	94030	
Entity Type:	ntity Type: Corporation: DELAWARE	

#### **PROPERTY NUMBERS Total: 4**

Property Type	Number	Word Mark
Serial Number:	87495408	ATHENA
Registration Number:	5477067	ENERGY SUPERINTELLIGENCE
Registration Number:	4685461	POWERSCOPE
Registration Number:	4679383	STEM

#### **CORRESPONDENCE DATA**

**Fax Number:** 9494754754

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 949-451-3800

**Email:** skann@gibsondunn.com

Correspondent Name: Stephanie Kann
Address Line 1: 3161 Michelson Drive

Address Line 2: Gibson, Dunn & Crutcher LLP Address Line 4: Irvine, CALIFORNIA 92612

ATTORNEY DOCKET NUMBER:	90817-00007
NAME OF SUBMITTER:	Stephanie Kann
SIGNATURE:	/stephanie kann/
DATE SIGNED:	05/03/2021

#### **Total Attachments: 7**

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#### RELEASE OF INTELLECTUAL PROPERTY SECURITY INTEREST

This RELEASE OF INTELLECTUAL PROPERTY SECURITY INTEREST (this "Release") is made as of April 28, 2021, by **GENERATE LENDING, LLC**, in its capacity as Lender under (and as defined in) the Security Agreement referred to below (the "Lender") for the benefit of **STEM, INC.**, a Delaware corporation (the "Grantor"). Capitalized terms used but not otherwise defined herein shall have the respective meanings ascribed thereto in the Loan Agreement (as defined below) or the Security Agreement (as defined below) as applicable.

#### $\underline{W} \underline{I} \underline{T} \underline{N} \underline{E} \underline{S} \underline{S} \underline{E} \underline{T} \underline{H}$ :

WHEREAS, the Grantor and the Lender are parties to that certain (i) Second Amended and Restated Loan Agreement, dated as of December 30, 2019 (as amended, restated, supplemented or otherwise modified from time to time, the "Loan Agreement"); and (ii) Grant of Security Interest in Intellectual Property Rights, dated as of December 30, 2019 (as amended, restated, supplemented or otherwise modified from time to time), pursuant to which the Grantor has granted to the Lender for the benefit of the Secured Parties a lien on and security interest in all of the right, title and interest of the Grantor in, to and under the Patents and Trademarks (as defined in the Security Agreement), including the trademarks set forth on Schedule I hereto; and

WHEREAS, the Security Agreement was recorded in the United States Patent and Trademark Office on January 27, 2020 at Reel 6846 and Frame 0559 for trademarks, and on January 27, 2020 at Reel 051629 and Frame 0400 for patents; and

WHEREAS, the Grantor has requested that the Lender release, and the Lender is willing to release its lien on and security interest in, and any other right, title, and interest it may have in, to and under the Patents and Trademarks, including, without limitation, the trademarks set forth on <u>Schedule I</u> hereto, and the patents set forth on <u>Schedule II</u> hereto.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Lender hereby agrees as follows:

1. The Lender does hereby irrevocably terminate, release and discharge the entirety of any and all liens or security interests that it may have in, and all claims, whether presently existing or hereafter acquired or created, pursuant to the Loan Agreement or Security Agreement to the Patents and Trademarks, including, without limitation, the trademarks (including the trademark registrations and trademark applications) set forth on Schedule I hereto, the goodwill of the business symbolized by the trademarks and the applications and registrations thereof, and the patents (including the patent registrations and patent applications) set forth on Schedule II hereto, and all proceeds thereof, and any right, title or interest of the Lender in such Patents and Trademarks shall hereby terminate, cease and become void. The Lender hereby assigns, transfers and conveys any and all right, title or interest of the Lender in the Patents and Trademarks to the Grantor.

- 2. The Lender, on behalf of itself and the Secured Parties, does hereby terminate and cancel the Security Agreement.
- 3. This Release may be executed in any number of counterparts (including electronic transmission and facsimile counterparts), each of which when so executed and delivered shall be deemed an original, but all such counterparts together shall constitute but one and the same instrument.
- 4. This Release and the rights and obligations of the parties hereunder shall be governed by, and shall be construed and enforced in accordance with, the laws of the State of New York.

[Signature page follows]

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IN WITNESS WHEREOF, the undersigned has caused this Release of Intellectual Property Security Interest to be executed and delivered as of the date first written above.

GENERATE LENDING, LLC, as Lender

Docusigned by

Name: Matan Friedman

Title: Manager

**REEL: 007277 FRAME: 0697** 

# **SCHEDULE I**

### UNITED STATES FEDERAL TRADEMARKS

TM/AN/RN/Disclaimer	Status/Key	Full Goods/Services	Owner
ATHENA SN: 87495408	Allowed - Intent to Use Statement of Use Sent to Examiner February 26, 2019 Int'l Class: 35 First Use: August 15, 2017 Filed: June 19, 2017	(Int'l Class: 35) Energy optimization services, namely, energy usage management, provided via Software-as-a- Service (Saas)	Information  Stem, Inc. (California Corp.) 100 Rollins Road Millbrae California 94030
ENERGY SUPERINTELLIGENCE RN: 5477067 SN: 87495403 Disclaimer: "ENERGY"	Registered May 22,2018 Int'l Class: 35,39 First Use: October 30, 2017 Filed: June 19, 2017	(Int'l Class: 35) energy optimization services, namely, energy usage management, provided via software-as-a- service (saas) (Int'l Class: 39) energy optimization services, namely, storage of electricity in batteries during lower cost periods and distribution of stored energy during higher cost periods, provided via software-as-a-service (saas)	Stem, Inc. (California Corp.) 100 Rollins Road Millbrae California 94030
POWERSCOPE RN: 4685461 SN: 85983196	Registered February 10, 2015 Int'l Class: 42 First Use: November 15, 2012 Filed: March 15, 2013	(Int'l Class: 42) providing on-line non- downloadable web-based software for use in showing predicted, actual, and saved energy usage achieved through the use of a battery storage system	Stem, Inc. (California Corp.) 100 Rollins Road Millbrae California 94030
STEM (Stylized)	Registered	(lnt'l Class: 35)	Stem, Inc.

# stem

RN: 4679383 SN: 85877454 January 27, 2015 Int'l Class: 35 First Use: November 15, 2012 Int'l Class: 39 First Use: April 1,2012 Filed: March 15,

2013

energy optimization services, namely, energy usage management (Int'l Class: 39) energy optimization services namely, storage of electricity in batteries during off-peak times and distribution of stored energy during peak times (California Corp.) 100 Rollins Road Millbrae California 94030

# SCHEDULE II

# **UNITED STATES PATENTS**

	App/Pat No.	File/Grant Date	Title
1.	8,368,386	2/5/2013	METER SOCKET CONNECTION METHODS AND SYSTEMS FOR LOCAL GENERATORS OR MONITORING CONNECTIONS
2.	8,922,192	12/30/2014	MULTIPHASE ELECTRICAL POWER PHASE IDENTIFICATION
3.	8,803,570	8/12/2014	MULTIPHASE ELECTRICAL POWER ASSIGNMENT AT MINIMAL LOSS
4.	8,774,977	7/8/2014	MULTIPHASE ELECTRICAL POWER CONSTRUCTION AND ASSIGNMENT AT MINIMAL LOSS
5.	9,600,046	3/21/2017	MULTIPHASE ELECTRICAL POWER CONSTRUCTION AND ASSIGNMENT AT MINIMAL LOSS
6.	15/464,143	3/20/2017	MULTIPHASE ELECTRICAL POWER CONSTRUCTION AND ASSIGNMENT AT MINIMAL LOSS
7.	8,971,057	3/3/2015	BIDIRECTIONAL ENERGY CONVERTER WITH CONTROLLABLE FILTER STAGE
8.	14/590,925	1/6/2015	BIDIRECTIONAL ENERGY CONVERTER WITH CONTROLLABLE FILTER STAGE
9.	8,643,336	2/4/2014	HIGH SPEED FEEDBACK ADJUSTMENT OF POWER CHARGE/DISCHARGE FROM ENERGY STORAGE SYSTEM
10.	8,350,521	1/8/2013	HIGH SPEED FEEDBACK ADJUSTMENT OF POWER CHARGE/DISCHARGE FROM AN ENERGY STORAGE SYSTEM
11.	13/730,740	12/28/2012	HIGH SPEED FEEDBACK ADJUSTMENT OF POWER CHARGE/DISCHARGE FROM AN ENERGY STORAGE SYSTEM
12.	9,136,712	9/15/2015	HIGH SPEED FEEDBACK ADJUSTMENT OF POWER CHARGE/DISCHARGE FROM ENERGY STORAGE SYSTEM
13.	14/011,591	8/27/2013	METHOD AND APPARATUS FOR BALANCING POWER ON A PER PHASE BASIS IN MULTI- PHASE ELECTRICAL LOAD FACILITIES USING AN ENERGY STORAGE SYSTEM
14.	14/014,276	8/29/2013	METHOD AND APPARATUS FOR AUTOMATICALLY RECONFIGURING, MULTI- PHASED NETWORKED ENERGY STORAGE DEVICES AT A SITE
15.	9,634,508	4/25/2017	METHOD FOR BALANCING FREQUENCY INSTABILITY ON AN ELECTRIC GRID USING NETWORKED DISTRIBUTED ENERGY STORAGE SYSTEMS

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16.	15/497,046	4/25/2017	METHOD FOR BALANCING FREQUENCY INSTABILITY ON AN ELECTRIC GRID USING NETWORKED DISTRIBUTED ENERGY STORAGE SYSTEMS
17.	14/037,754	9/26/2013	SYSTEM FOR OPTIMIZING THE CHARGING OF
			ELECTRIC VEHICLES USING NETWORKED DISTRIBUTED ENERGY STORAGE SYSTEMS
18.	14/061,643	10/12/2013	METHOD AND APPARATUS FOR DAMPING POWER OSCILLATIONS ON AN ELECTRICAL TRANSMISSION GRID USING NETWORKED DISTRIBUTED ENERGY STORAGE SYSTEMS
19.	14/069,088	10/31/2013	METHOD AND APPARATUS FOR STABILIZING POWER ON AN ELECTRICAL GRID USING NETWORKED DISTRIBUTED ENERGY STORAGE SYSTEMS
20.	9,406,094	8/2/2016	METHOD AND APPARATUS FOR DELIVERING POWER USING EXTERNAL DATA
21.	9,418,392	8/16/2016	METHOD AND APPARATUS FOR DELIVERING POWER USING EXTERNAL DATA
22.	8,880,233	11/4/2014	METHOD AND APPARATUS FOR DELIVERING POWER USING EXTERNAL DATA
23.	15/226,790	8/2/2016	METHOD AND APPARATUS FOR DELIVERING POWER USING EXTERNAL DATA
24.	7,248,490	7/24/2007	BATTERY AND INVERTER CONFIGURATION WITH INCREASED EFFICIENCY
25.	7,385,373	6/10/2008	INTELLIGENT DISTRIBUTED ENERGY STORAGE SYSTEM FOR DEMAND SIDE POWER MANAGEMENT
26.	7,262,694	8/28/2007	MULTIFUNCTIONAL, INTELLIGENT POWER AND COMMUNICATION DEVICE
27.	15/199,432	6/30/2016	INCREASING THE DEMAND REDUCTION EFFECTIVENESS OF AN ENERGY STORAGE SYSTEM
28.	15/199,496	6/30/2016	INCREASING THE DEMAND REDUCTION EFFECTIVENESS OF AN ENERGY STORAGE SYSTEM
29.	62/336,517	5/13/2016	OPTIMIZING POWER OUTPUT OF ADVANCED ENERGY STORAGE FOR DEMAND MANAGEMENT (PROVISIONAL)

**RECORDED: 05/03/2021**