

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM688332

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
ACP Horizon Holdings, L.P.		11/15/2021	Limited Partnership: DELAWARE

RECEIVING PARTY DATA

Name:	Horizon Investments, LLC
Street Address:	6210 Ardrey Kell Road, Suite 300
City:	Charlotte
State/Country:	NORTH CAROLINA
Postal Code:	28277
Entity Type:	Limited Liability Company: SOUTH CAROLINA
Name:	Vistafy, Inc.
Street Address:	6210 Ardrey Kell Road, Suite 300
City:	Charlotte
State/Country:	NORTH CAROLINA
Postal Code:	28277
Entity Type:	Corporation: NORTH CAROLINA

PROPERTY NUMBERS Total: 14

Property Type	Number	Word Mark
Registration Number:	4663102	REAL SPEND
Registration Number:	4945619	GAIN PROTECT SPEND
Registration Number:	5016093	HORIZON INVESTMENTS
Registration Number:	5079483	H
Registration Number:	5079488	VISTAFY
Registration Number:	5511034	EMPOWER THE ADVISOR
Registration Number:	5542092	HORIZON PATHWAY PORTFOLIOS
Registration Number:	5572310	GOALS-BASED PERFORMANCE STANDARDS
Registration Number:	4531887	RISK ASSIST
Registration Number:	5829865	HORIZON ONE
Registration Number:	6020767	GOALS-BASED PLANNING
Serial Number:	87379529	GBPS
Serial Number:	88847113	PLANNING FACTORS

TRADEMARK

Property Type	Number	Word Mark
Serial Number:	88901346	EXPECTATIONAL CERTAINTY
CORRESPONDENCE DATA		
Fax Number:	6172359493	
	<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6179517790	
Email:	ronald.duvernay@ropesgray.com	
Correspondent Name:	Ronald M. Duvernay	
Address Line 1:	Prudential Tower, 800 Boylston Street	
Address Line 2:	Ropes & Gray LLP	
Address Line 4:	Boston, MASSACHUSETTS 02199-3600	
ATTORNEY DOCKET NUMBER:	108712-0197-003	
NAME OF SUBMITTER:	Ronald M. Duvernay	
SIGNATURE:	/r duvernay/	
DATE SIGNED:	11/16/2021	
Total Attachments: 5		
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RELEASE OF SECURITY INTEREST IN TRADEMARKS

This **RELEASE OF SECURITY INTEREST IN TRADEMARKS**, dated as of November 15, 2021, is made by ACP Horizon Holdings, L.P., a Delaware limited partnership, as administrative agent (in such capacity, the “Grantee”), in favor of Horizon Investments, LLC, an South Carolina limited liability company and Vistafy, Inc., a North Carolina Corporation (together the “Grantors”). Capitalized terms used but not defined herein shall have the meanings assigned to them in the Trademark Security Agreement whether directly therein or by reference to another agreement.

WHEREAS, (i) the Grantors, the Grantee and others are parties to that certain Pledge and Security Agreement, dated as of July 20, 2020 (as amended, restated, supplemented or otherwise modified from time to time, the “Security Agreement”), and (ii) the Grantors and the Grantee are parties to that certain Trademark Security Agreement, dated as of July 20, 2020 (the “Trademark Security Agreement”);

WHEREAS, pursuant to the Security Agreement and the Trademark Security Agreement, the Grantors granted to the Grantee for the benefit of the Secured Parties a Lien on and security interest in (the “Security Interest”) all of the Grantors’ right, title and interest in, to and under the Trademarks, Trademark registrations and Trademark applications referred to on Schedule A annexed hereto, (b) all renewals and extensions of the foregoing, (c) all goodwill of the business connected with the use of, and symbolized by, each such Trademark, and (d) all income, royalties, proceeds and Liabilities at any time due or payable or asserted under and with respect to any of the foregoing, including, without limitation, all rights to sue and recover at law or in equity for any past, present and future infringement, misappropriation, dilution, violation or other impairment thereof (collectively, the “Trademark Collateral”);

WHEREAS, the Trademark Security Agreement was recorded at the United States Patent and Trademark Office (the “USPTO”) on July 22, 2020 at Reel 007005 and Frame 0659; and

WHEREAS, the Grantee has agreed to terminate the Trademark Security Agreement and release the Security Interest in, to and under the Trademark Collateral and reassign any and all rights in the same to the Grantors.

NOW, THEREFORE, in consideration of the premises and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantee hereby (i) terminates the Trademark Security Agreement, (ii) terminates, releases, relinquishes and discharges fully the Security Interest in the Trademark Collateral, and (iii) reassigns and transfers to the Grantors, any and all right, title and interest it may have in, to or under the Trademark Collateral, in each case without representation, warranty or recourse of any kind. The Grantee hereby authorizes the Grantors, or its successors (including any person or entity hereafter having any right, title or interest in and to the Trademark Collateral), at the Grantors’ sole expense, to record this document with the USPTO and any other applicable governmental office or agency. The Grantee further authorizes and requests that the Commissioner for Trademarks in the USPTO, and any other necessary United States government officer, record this document, it being understood that such recordation shall be at the Grantors’ sole expense.

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IN WITNESS WHEREOF, the Grantee has caused this Release of Security Interest in Trademarks to be duly executed as of the date first set forth above.

ACP HORIZON HOLDINGS, L.P.,

as Administrative Agent

By: ACP Investment Fund Management, LLC,
its general partner

By: 
Name: Keoni Schwartz
Title: Managing Member

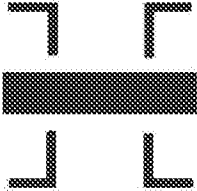
[Signature Page - Release of Security Interests in Trademarks]

TRADEMARK
REEL: 007495 FRAME: 0654

SCHEDULE A

Trademark Registrations and Trademark Applications

I. Registered Trademarks

<u>Grantor</u>	<u>Trademark Name</u>	<u>Registration/ Application No.</u>	<u>Registration Date</u>	<u>Filing Date</u>	<u>Status</u>
Horizon Investments, LLC	REAL SPEND (plain text)	4663102/ 86279898	Registered: 12/30/14	4/23/2020	LIVE
Horizon Investments, LLC	GAIN PROTECT SPEND (plain text)	4945619/ 86750588	Registered: 4/26/16	4/26/2020	LIVE
Horizon Investments, LLC	HORIZON INVESTMENTS (plain text)	5016093/ 86733201	Registered: 8/9/16	8/9/2016	LIVE
Horizon Investments, LLC		5079483/ 86799953	Registered: 11/8/2016	11/8/2020	LIVE
Vistafy, Inc.	VISTAFY (plain text)	5079488/ 86802315	Registered; 11/8/16	11/8/2020	LIVE
Horizon Investments, LLC	EMPOWER THE ADVISOR (plain text)	5511034/ 87455660	Registered: 7/10/18	7/10/2020	LIVE

Horizon Investments, LLC	HORIZON PATHWAY PORTFOLIOS (plain text)	5542092/ 87455693	Registered: 8/14/18	8/14/2020	LIVE
Horizon Investments, LLC	GOALS-BASED PERFORMANCE STANDARDS (plain text)	5572310/ 87379520	Registered: 9/25/18	9/25/2020	LIVE
Horizon Investments, LLC	RISK ASSIST (plain text)	4531887/ 85866672	Registered: 5/20/14	7/1/2020	LIVE
Horizon Investments, LLC	HORIZON ONE (plain text)	5829865/ 87929397	Registered: 8/6/19	8/6/2020	LIVE
Horizon Investments, LLC	GOALS-BASED PLANNING (plain text)	6,020,767/ 88420814	Registered: 3/24/20	3/24/2020	LIVE

II. Trademark Applications

<u>Grantor</u>	<u>Trademark Name</u>	<u>Registration/ Application No.</u>	<u>Registration Date</u>	<u>Filing Date</u>	<u>Status</u>
Horizon Investments, LLC	GBPS (plain text)	N/A / 87379529	Application pending: Notice of Allowance Issued (waiting on SOU)	3/19/2020	LIVE

Horizon Investments, LLC	PLANNING FACTORS (plain text)	N/A / 88847113	Application Pending: Response to office action due 12/22/20	6/22/2020	LIVE
Horizon Investments, LLC	EXPECTATIONAL CERTAINTY (plain text)	88901346	Application Pending: Scheduled to Publish on July 28, 2020	5/5/2020	LIVE