

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM756043

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER		
EFFECTIVE DATE:	05/01/2020		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Cowen Execution Services LLC		05/01/2020	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Cowen and Company, LLC		
Street Address:	599 Lexington Avenue		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10022		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	4929263	ETF DIRECT	
Registration Number:	4037974	A SMARTER WAY TO PRIME	
CORRESPONDENCE DATA			
Fax Number:	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-468-4800		
Email:	jkatz@dglaw.com		
Correspondent Name:	Jeffrey C. Katz		
Address Line 1:	Davis & Gilbert LLP, 1675 Broadway		
Address Line 4:	New York, NEW YORK 10019		
ATTORNEY DOCKET NUMBER:	027400-0001-000		
NAME OF SUBMITTER:	Jeffrey C. Katz		
SIGNATURE:	/Jeffrey C. Katz/		
DATE SIGNED:	09/19/2022		
Total Attachments: 2			
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Delaware

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Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"COWEN EXECUTION SERVICES LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "COWEN AND COMPANY, LLC" UNDER THE NAME OF "COWEN AND COMPANY, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE FIRST DAY OF MAY, A.D. 2020, AT 9:02 O`CLOCK A.M.




Jeffrey W. Bullock, Secretary of State

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SR# 20203337497

Authentication: 202859982
Date: 05-01-20

You may verify this certificate online at corp.delaware.gov/authver.shtml

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**CERTIFICATE OF MERGER
OF
COWEN EXECUTION SERVICES LLC
INTO
COWEN AND COMPANY, LLC**

Pursuant to Section 18-209 of the Delaware Limited Liability Company Act, the undersigned hereby executes the following Certificate of Merger:

FIRST: The name and jurisdiction of formation or organization of each of the limited liability companies of the merger herein certified are:

<u>Name</u>	<u>Jurisdiction</u>
Cowen Execution Services LLC	Delaware
Cowen and Company, LLC	Delaware

SECOND: An Agreement of Merger has been approved and executed by each of the limited liability companies.

THIRD: The name of the surviving limited liability company is **Cowen and Company, LLC**.

FOURTH: The merger shall become effective upon the filing of this Certificate of Merger.

FIFTH: The Agreement of Merger is on file at a place of business of the surviving limited liability company, which is located at 599 Lexington Avenue, New York, NY 10022.

SIXTH: A copy of the Agreement of Merger will be furnished by the surviving limited liability company, on request and without cost, to any member of the limited liability companies or any person holding an interest in any other business entity which is to merge.

IN WITNESS WHEREOF, the surviving limited liability company has caused this Certificate of Merger to be signed by an authorized person as of the 1st day of May, 2020.

COWEN AND COMPANY, LLC

By: *Owen Littman*
Cowen Holdings, Inc., its sole member

By: _____

Name: Owen Littman

Title: Authorized Person and Secretary

State of Delaware
Secretary of State
Division of Corporations
Delivered 09:02 AM 05/01/2020
FILED 09:02 AM 05/01/2020

SR 20203337497 - File Number 3753197

RECORDED: 09/19/2022

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