TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM777930

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL	

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Orion Advisor Solutions, LLC		12/31/2022	Limited Liability Company: DELAWARE

RECEIVING PARTY DATA

Name:	Orion Advisor Solutions, Inc.		
Street Address:	17605 Wright Street		
City:	Omaha		
State/Country:	NEBRASKA		
Postal Code:	68130		
Entity Type:	Corporation: DELAWARE		

PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Registration Number:	6127637	INNOVATE. DISRUPT. WIN.

CORRESPONDENCE DATA

Fax Number: 2127288111

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2127288000

ipdept@willkie.com Email: **Correspondent Name:** Matthew S. Makover

Address Line 1: Willkie Farr & Gallagher LLP

Address Line 2: 787 Seventh Avenue

Address Line 4: New York, NEW YORK 10019

ATTORNEY DOCKET NUMBER:	130343.00001 Orion Adviso	
NAME OF SUBMITTER:	Matthew S. Makover	
SIGNATURE:	/Matthew S. Makover/	
DATE SIGNED:	01/03/2023	

Total Attachments: 3

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> TRADEMARK REEL: 007937 FRAME: 0193

INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT (this "Agreement") is effective as of the 31st day of December, 2022 (the "Effective Date") by and between ORION ADVISOR SOLUTIONS, LLC, a Delaware limited liability company ("Assignor"), and ORION ADVISOR SOLUTIONS, INC., a Delaware corporation ("Assignee").

WHEREAS, Assignor is the sole and exclusive owner or the entire right, title, and interest in, to and under the United States trademark registration of Innovate. Disrupt. Win. (the "Mark"); and

WHEREAS, Assignor has agreed to assign all of its rights, title, and interest in and to the Mark to Assignee, and Assignee has agreed to assume all rights, title, and interest in and to the Mark, as set forth herein.

NOW, THEREFORE, in consideration of the foregoing and the agreements contained herein, and for other good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, the Parties hereto agree as follows:

- 1. <u>Assignment and Assumption</u>. As of the Effective Date, Assignor hereby assigns, sells, transfers, and sets over (collectively, the "Assignment") to Assignee all of Assignor's rights, benefits, privileges, and interest in and to the Mark, as further set forth on Exhibit A, which is attached hereto and incorporated herein, including any common law trademark rights therefor. Assignee hereby accepts the Assignment and assumes all of Assignor's rights, benefits, privileges, and interest in and to the Mark.
- 2. <u>Further Actions.</u> Each of the parties hereto covenants and agrees, as its own expense, to execute and deliver, at the request of the other party hereto, such further instruments of transfer and assignment and to take such other action as such other party may reasonably request to more efficiently consummate the Assignment contemplated by this Agreement.
- 3. <u>Headings</u>. Paragraph headings herein are for convenience only and shall not affect the interpretation of any provision.
- 4. <u>Counterparts</u>. This Agreement may be executed in one or more counterparts, all of which, when taken together, shall constitute one and the same instrument. Any delivery of an executed counterpart of this Agreement by facsimile or electronic mail shall be effective as a delivery of a manually executed counterpart of this Agreement.

[Signature Page Follows]

TRADEMARK REEL: 007937 FRAME: 0194 **IN WITNESS WHEREOF**, the undersigned have duly executed this Agreement as of the Effective Date set forth above.

ORION ADVISOR SOLUTIONS, LLC

By: Daviel Applegarth

FF894628144A49B...

Name: M. Daniel Applegarth

Title: Chief Financial Officer and Treasurer

ORION ADVISOR SOLUTIONS, INC.

By: Daniel Applegable
FF894628144A49B...

Name: M. Daniel Applegarth

Title: Chief Financial Officer and Treasurer

EXHIBIT A

<u>Trademark</u>	Owner Information	Jurisdiction of Registration	Registration Number	<u>Date of</u> <u>Registration</u>
Innovate. Disrupt. Win.	Orion Advisor Solutions, LLC	USPTO	6127637	August 8, 2020

RECORDED: 01/03/2023

TRADEMARK REEL: 007937 FRAME: 0196