

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM803537

SUBMISSION TYPE:	RESUBMISSION		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
RESUBMIT DOCUMENT ID:	900761999		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Sargent Bickham Lagudis, LLC		02/24/2023	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Lido Advisors, LLC		
Street Address:	1875 Century Park E #950		
City:	Los Angeles		
State/Country:	CALIFORNIA		
Postal Code:	90067		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 4			
Property Type	Number	Word Mark	
Registration Number:	4793920	CFM	
Registration Number:	4793922		
Registration Number:	4793913	COLORADO FINANCIAL MANAGEMENT	
Serial Number:	97409380	THE INFORMED WAY TO INVEST	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-692-6704		
Email:	egguillouet@mintz.com		
Correspondent Name:	Karen Won		
Address Line 1:	One Financial Center		
Address Line 4:	Boston, MASSACHUSETTS 02111		
ATTORNEY DOCKET NUMBER:	061516-004		
NAME OF SUBMITTER:	Karen Won		
SIGNATURE:	/kkw/		
DATE SIGNED:	04/17/2023		

Total Attachments: 4

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TRADEMARK ASSIGNMENT AGREEMENT

This Trademark Assignment Agreement (“**Agreement**”) is made effective as of February 27, 2023 (“**Effective Date**”) by and between Sargent Bickham Lagudis, LLC, a Delaware limited liability company (“**Assignor**”), and Lido Advisors, LLC, a Delaware limited liability company (“**Assignee**”). (Each a “**Party**” and collectively “**Parties**”).

WHEREAS, Assignor is the owner of all right, title, and interest to the trademarks set forth in Schedule A (“**Trademarks**”), along with the goodwill symbolized thereby;

WHEREAS, Assignor and Assignee are parties to that certain Amended and Restated Contribution And Asset Purchase Agreement (“**APA**”) dated as of February 24, 2023 and incorporated herein by reference;

WHEREAS, pursuant to the terms of the APA, Assignor sold and transferred to Assignee all of Assignor’s right, title and interest in the Trademarks; and

WHEREAS, the Parties wish to execute and deliver this Agreement for recording with the United States Patent and Trademark Office.

NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged:

1. Assignor irrevocably assigns to Assignee and its successors and assigns, and Assignee hereby accepts, all of Assignor’s right, title, and interest in and to Trademarks throughout the world, together with the goodwill symbolized thereby, as well as all rights and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages. Assignor further assigns to Assignee and its successors and assigns the right to grant releases for past infringements or misappropriation.

2. This Agreement will inure to the benefit of, and be binding upon, the Parties, together with their respective representatives, successors, and assigns.

3. If any provision of this Agreement is held to be invalid by any court of competent jurisdiction, such invalidity will not affect the validity, operation or enforcement of any other provision, and the invalid provision will be deemed severed from this Agreement.

4. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed one and the same agreement.

[INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF:

For Assignor:

Date: 2/24/2023

Signature: DocuSigned by:
Bradley Bickham
D5E18A7AED8C48E...

Name: Bradley Bickham
Title: Managing Partner

For Assignee:

Date: _____

Signature: _____
Name:
Title:

IN WITNESS WHEREOF:

For Assignor:

Date: _____

Signature: _____

Name:

Title:

For Assignee:

Date: _____

Signature:  _____

Name: Jason Lee

Title: Chief Legal Officer

SCHEDULE A

Trademarks

1. USPTO Trademark Registration for "CFM", U.S. Reg. No. 4793920
2. USPTO Trademark Registration for C (stylized), U.S. Reg. No. 4793922
3. USPTO Trademark Registration for "COLORADO FINANCIAL MANAGEMENT", U.S. Reg. No. 4793913
4. USPTO Trademark Application for "THE INFORMED WAY TO INVEST", U.S. Ser. No. 97409380