

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM813354

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
ROBO Global, LLC		04/03/2023	Limited Liability Company: TEXAS
RECEIVING PARTY DATA			
Name:	ROBO Global Index LLC		
Street Address:	1330 Avenue of the Americas, Suite 12C		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10019		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	5019425	ROBO GLOBAL	
Registration Number:	6636632	ROBO GLOBAL	
CORRESPONDENCE DATA			
Fax Number:	6172359493		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-596-9287		
Email:	ustrademarkmail@ropesgray.com		
Correspondent Name:	Nicole Mollica, Ropes & Gray LLP		
Address Line 1:	1211 Avenue of the Americas		
Address Line 4:	New York, NEW YORK 10036		
ATTORNEY DOCKET NUMBER:	114667-0002-008		
NAME OF SUBMITTER:	Nicole Mollica		
SIGNATURE:	/nicole mollica/		
DATE SIGNED:	05/26/2023		
Total Attachments: 6			
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TRADEMARK ASSIGNMENT AGREEMENT

This TRADEMARK ASSIGNMENT AGREEMENT (this “Assignment”) is made as of April 3, 2023 (the “Effective Date”), by and between ROBO Global, LLC, a Texas limited liability company (“Assignor”), and ROBO Global Index LLC (*f/k/a* ROBO Index LLC), a Delaware limited liability company (“Assignee”). All capitalized terms not otherwise defined herein shall have the respective meanings set forth in the Purchase Agreement (as defined below).

WHEREAS, pursuant to, and subject to the terms, conditions and obligations of, that certain Asset Purchase Agreement, dated as of February 10, 2023, by and among Assignor, Assignee, ROBO Global Holdings, L.P., a Delaware limited partnership, and, solely for purposes of Section 13.16 therein, VettaFi LLC, a Delaware limited liability company (as amended, restated, supplemented or otherwise modified from time to time, the “Purchase Agreement”), Assignor has agreed to sell and assign to Assignee, and Assignee has agreed to purchase and assume from Assignor, the Trademarks set forth on Schedule A (the “Assigned Trademarks”); and

WHEREAS, Assignee wishes to acquire from Assignor, and Assignor wishes to assign to Assignee, all right, title and interest in and to the Assigned Trademarks.

NOW, THEREFORE, for and in consideration of the mutual covenants contained herein, the payments by Purchaser as set out in the Purchase Agreement, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and subject to the Purchase Agreement, the parties hereto agree as follows:

1. Assignment. Assignor hereby assigns to Assignee all of Assignor’s right, title and interest in and to the Assigned Trademarks, together with the goodwill and common law rights associated therewith, all other corresponding rights secured under the laws of the United States and any foreign country and all claims and rights to bring, make, oppose, defend and appeal proceedings, claims or actions and obtain relief (and to retain any damages recovered) in respect of past, present or future infringement, unauthorized use or other violation of the Assigned Trademarks, including the right to sue for injunctive relief and collect all proceeds and damages therefrom (whether before or after the date hereof), and the right (where applicable) to file applications under the Paris Convention for the Protection of Industrial Property corresponding to or based on any of the applications for the Assigned Trademarks and to claim priority from such applications.
2. Recordation. Assignor hereby authorizes and requests the United States Patent and Trademark Office, and the corresponding entities or agencies in any applicable foreign countries, to record Assignee as the assignee and owner of the Assigned Trademarks registered in the corresponding jurisdiction.
3. Miscellaneous. Sections 13.4 (*Amendment, Waivers and Consents*), 13.5 (*Successors and Assigns*), 13.6 (*Governing Law*), 13.7 (*Jurisdiction, Waiver of Jury Trial*), 13.9

(*Severability*), 13.11 (*Notices*) and 13.14 (*Counterparts*) of the Purchase Agreement are incorporated herein by reference *mutatis mutandis*.

4. Relation to Purchase Agreement. Without limiting the representations and warranties set forth in the Purchase Agreement, this Assignment is made without representation or warranty. This Assignment shall be subject to, and shall not be deemed to supersede, modify, limit or expand in any way, the terms, conditions or obligations of the Purchase Agreement. In the event of any conflict or inconsistency between this Assignment and the Purchase Agreement, the terms of the Purchase Agreement will govern.

[Signature Page Follows]

IN WITNESS WHEREOF, Assignor and Assignee have each caused this Assignment to be executed by its duly authorized representative as of the date first above written.

ASSIGNOR:

ROBO GLOBAL, LLC

DocuSigned by:
By: Travis Briggs
Name: Travis Briggs
Title: Chief Executive Officer

ASSIGNEE:

ROBO GLOBAL INDEX, LLC

By: _____
Name:
Title:

IN WITNESS WHEREOF, Assignor and Assignee have each caused this Assignment to be executed by its duly authorized representative as of the date first above written.

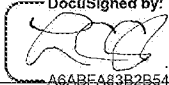
ASSIGNOR:

ROBO GLOBAL, LLC

By: _____
Name:
Title:

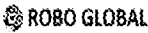
ASSIGNEE:

ROBO GLOBAL INDEX LLC

By:  _____
Name: Leland Clemons
Title: Chief Executive Officer

Schedule A

Assigned Trademarks

Country	Trademark	App No.	App Date	Reg No.	Reg Date	International Class/Goods & Services
China	ROBO GLOBAL	40182545	Aug 07 2019	40182545	Aug 28 2020	36 - financial information; investments of funds; financial research 41 - providing on-line electronic publications, non-downloadable
Hong Kong	ROBO GLOBAL	30428669 2	Sep 27 2017	30428669 2	May 09 2018	35 - Business information services, namely, providing financial indices based on a selected group of stocks.
United Kingdom	ROBO GLOBAL & Design 	UK00003 336800	Sep 17 2018	UK00003 336800	Dec 14 2018	16 - Publications related to disruptive and foundational technologies, including robotics, automation and artificial intelligence. 36 - Providing investment strategies including financial indices that support financial products in the field of disruptive and foundational technologies, including robotics, automation and artificial intelligence; products tracking investment strategies including mutual funds, exchange traded funds, collective investment schemes under UCITs, separately managed accounts, sub- advisory relationships and limited partnerships 41 - Educational and training services, organizing, hosting and promoting conferences, workshops, symposia and online publications, all of the aforesaid services including in particular the fields of disruptive and foundational technologies including robotics, automation and artificial intelligence
United States of America	ROBO GLOBAL	86547412	Feb 26 2015	5019425	Aug 09 2016	35 - Providing financial indices based on a selected group of stocks 36 - Providing financial products in the fields of robotics and automation, namely exchange traded funds 41 - Providing a website featuring on- line publications and information in the nature of articles, news stories and commentaries in the fields of robotics and automation
United States of America	ROBO GLOBAL	90522422	Feb 10 2021	6636632	Feb 08 2022	35 - Providing financial indices based on a selected group of stocks 36 - Issuance and management of exchange traded funds; investment advisory services; investment research services
WIPO <u>Designated</u> <u>Countries:</u>	ROBO GLOBAL	1384659	Sep 26 2017	1384659	Sep 26 2017	35 - providing financial indices based on a selected group of stocks

Country	Trademark	App No.	App Date	Reg No.	Reg Date	International Class/Goods & Services
Australia Colombia France India Israel Japan Mexico New Zealand Philippines Singapore South Korea Switzerland Turkey						