

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM830861

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Lockwood Advisors, Inc.		06/06/2023	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	BNY Mellon Advisors, Inc.		
Street Address:	1800 American Boulevard		
Internal Address:	Suite 300 - Pod D		
City:	Pennington		
State/Country:	NEW JERSEY		
Postal Code:	08534		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 5			
Property Type	Number	Word Mark	
Registration Number:	3040022	ADVISORPORT	
Registration Number:	3113237	INVESTOR WORKSTATION	
Registration Number:	5007840	MANAGED360	
Registration Number:	3213909	PERFORMANCELINK	
Registration Number:	5295312	WEALTHSTART	
CORRESPONDENCE DATA			
Fax Number:	3122076400		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	312-207-6421		
Email:	ptoipinbox@reedsmith.com		
Correspondent Name:	Joshua W. Newman		
Address Line 1:	P.O. Box 488		
Address Line 2:	Reed Smith LLP		
Address Line 4:	Pittsburgh, PENNSYLVANIA 15230-0488		
ATTORNEY DOCKET NUMBER:	Lockwood 480000.20790		
NAME OF SUBMITTER:	KIMBERLY L. HANEY		
SIGNATURE:	/KIMBERLY L. HANEY/		
DATE SIGNED:	08/10/2023		

OP \$140.00 3040022

Total Attachments: 2

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Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "LOCKWOOD ADVISORS, INC.", CHANGING ITS NAME FROM "LOCKWOOD ADVISORS, INC." TO "BNY MELLON ADVISORS, INC", FILED IN THIS OFFICE ON THE FIFTH DAY OF JUNE, A.D. 2023, AT 11:35 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE SIXTH DAY OF JUNE, A.D. 2023.




Jeffrey W. Bullock, Secretary of State

2613317 8100
SR# 20232662254

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 203481857
Date: 06-05-23

TRADEMARK
REEL: 008162 FRAME: 0173

CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
OF
LOCKWOOD ADVISORS, INC.

Lockwood Advisors, Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of said corporation, at a meeting duly held, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

RESOLVED, that the Certificate of Incorporation of Lockwood Advisors, Inc. be amended by changing the First Article thereof so that, as amended, said Article shall be and read as follows:

1. Name. The name of the Corporation is BNY Mellon Advisors, Inc.

SECOND: That in lieu of a meeting and vote of stockholders, the stockholders have given unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

FOURTH: That this Certificate of Amendment of the Certificate of Incorporation shall be effective on June 6, 2023.

IN WITNESS WHEREOF, the Corporation has caused this certificate to be signed by Cristina M. Rice, its Secretary, this 5th day of June, 2023.

By: 
Cristina M. Rice, Secretary