

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM831369

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
SIGMALOGIC INC.		08/11/2023	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	U.S. BANK NATIONAL ASSOCIATION, as Administrative Agent		
Street Address:	800 Nicollet Mall		
City:	Minneapolis		
State/Country:	MINNESOTA		
Postal Code:	55402		
Entity Type:	National Banking Association: UNITED STATES		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	5743848	ETFLOGIC	
CORRESPONDENCE DATA			
Fax Number:	6123408827		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	6124926854		
Email:	ip.docket@dorsey.com		
Correspondent Name:	Cathleen F. Dahl, Dorsey & Whitney LLP		
Address Line 1:	50 South Sixth Street		
Address Line 2:	Suite 1500		
Address Line 4:	Minneapolis, MINNESOTA 55402-1498		
NAME OF SUBMITTER:	Cathleen F. Dahl		
SIGNATURE:	/Cathleen F. Dahl/		
DATE SIGNED:	08/11/2023		
Total Attachments: 4			
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OP \$40.00 5743848

Confirmatory Grant of Security Interest in Intellectual Property

THIS CONFIRMATORY GRANT OF SECURITY INTEREST IN TRADEMARKS (this “Confirmatory Grant”) is made effective as of August 11, 2023, by and among SIGMALOGIC INC., a Delaware corporation (the “Grantor”) to and in favor of U.S. BANK NATIONAL ASSOCIATION, a national banking association (the “Secured Party”) for itself and as administrative agent for the Lenders (as defined in the Credit Agreement referenced below).

WHEREAS, VETTAFI INTERMEDIATE LLC, a Delaware limited liability company, and VettaFi LLC, a Delaware limited liability company (collectively, the “Borrowers”) and the Secured Party are parties to that certain Credit Agreement dated as of April 20, 2023 (as the same may hereafter be amended, supplemented, extended, restated, or otherwise modified from time to time, the “Credit Agreement”) pursuant to which the Lenders agree, among other things, to extend to the Borrowers certain credit accommodations.

WHEREAS, The Grantor has entered into a Joinder Agreement dated as of August 11, 2023 (as amended, restated, supplemented or otherwise modified from time to time, the “Joinder Agreement”) pursuant to which the Grantor has agreed, among other things, to join the Credit Agreement as a Guarantor to guarantee the obligations of the Borrowers under the Credit Agreement and join the Security Agreement as a Grantor to grant security interests to the Secured Party under the Pledge and Security Agreement dated as of April 20, 2023 as amended, restated, supplemented or otherwise modified from time to time (the “Security Agreement”);

WHEREAS, the Grantor owns the trademark (the “Trademark”) listed on Exhibit A, which is registered or applied for with the United States Patent and Trademark Office; and

WHEREAS, this Confirmatory Grant has been granted in conjunction with the security interest granted to the Secured Party under the Security Agreement. The rights and remedies of the Secured Party with respect to the security interest granted herein are without prejudice to and are in addition to those set forth in the Security Agreement and the other Loan Documents, all terms and provisions of which are incorporated herein by reference. If any provisions of this Confirmatory Grant are deemed to conflict with the Security Agreement, the provisions of the Security Agreement shall govern.

NOW, THEREFORE, in consideration of the mutual covenants and agreements set forth herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, it is hereby agreed that:

1) Definitions. All capitalized terms not defined herein shall have the respective meaning given to them in the Credit Agreement.

2) The Security Interest.

(a) This Confirmatory Grant is made to secure the satisfactory performance and payment of (i) all the Obligations and (ii) all of the obligations and liabilities of the Guarantor under the Guaranty. Upon the payment in full of all Obligations (other than contingent indemnification obligations), the Secured Party shall promptly, upon such

satisfaction, execute, acknowledge, and deliver to the Grantor (at the Grantors' expense) all reasonably requested instruments releasing the security interest in the Trademark acquired under this Confirmatory Grant.

(b) The Grantor hereby grants to the Secured Party a security interest in all of the Trademarks set forth in Exhibit A now owned or from time to time after the date hereof owned or acquired by the Grantor;

(c) Unless and until an Event of Default occurs and is continuing, the Grantor shall retain the legal and equitable title to the Trademarks and shall have the right to use and register the Trademarks in the ordinary course of the business of the Grantor.

THE VALIDITY, CONSTRUCTION AND ENFORCEABILITY OF THIS CONFIRMATORY GRANT SHALL BE GOVERNED BY THE LAWS OF THE STATE OF NEW YORK, WITHOUT GIVING EFFECT TO CONFLICT OF LAWS PRINCIPLES THEREOF (OTHER THAN THE PROVISIONS OF SECTIONS 5-1401 AND 5-1402 OF THE GENERAL OBLIGATIONS LAW OF THE STATE OF NEW YORK).

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IN WITNESS WHEREOF, the Grantor has executed this Confirmatory Grant of Security Interest in Trademarks effective as of the date first written above.

SIGMALOGIC, INC

By: 

Name: Laure Richmond

Title: Chief Financial Officer

Confirmatory Grant of Security Interest in Trademarks

TRADEMARK
REEL: 008164 FRAME: 0985

Exhibit A - SCHEDULE OF TRADEMARKS

United States Trademarks:

Trademark	Application Number	Application Date	Registration Number	Registration Date	Credit Party
ETFLOGIC	88052027	07-25-2018	5743848	05-07-2019	SigmaLogic, Inc.