

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

Assignment ID: TMI132346

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
PERIGON FINANCIAL HOLDINGS, LLC		03/29/2024	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Company Name:	Ares Capital Corporation		
Street Address:	245 Park Avenue, 44th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10167		
Entity Type:	Corporation: MARYLAND		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3047867	PERIGON	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	(800)221-0102		
Email:	ipteam@cogencyglobal.com		
Correspondent Name:	Khadijah Sampson		
Address Line 1:	1025 Connecticut Ave NW, Suite 712		
Address Line 2:	COGENCY GLOBAL INC.		
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20036		
ATTORNEY DOCKET NUMBER:	2310171ks		
NAME OF SUBMITTER:	Andrew Hackett		
SIGNATURE:	Andrew Hackett		
DATE SIGNED:	03/29/2024		
Total Attachments: 4			
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NOTICE OF IP SECURITY INTEREST
(Trademarks)

March 29, 2024

United States Department of Commerce
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Ladies and Gentlemen:

Pursuant to that certain Guarantee and Collateral Agreement, dated as of March 29, 2024 (as amended, restated, supplemented or otherwise modified from time to time, the “**Security Agreement**”), **PERIGON FINANCIAL HOLDINGS, LLC**, a Delaware limited liability company (the “**Assignor**”), whose address is 201 Mission Street, Suite 1825, San Francisco, CA 94105, hereby grants to **ARES CAPITAL CORPORATION**, as agent for certain secured parties (in such capacity, the “**Assignee**”), whose address is 245 Park Avenue, 44th Floor, New York, NY 10167, a security interest in and to all of the Assignor’s right, title and interest in, to and under all Trademarks (as such term is defined in the Security Agreement) and the goodwill of the business symbolized by the foregoing (other than intent-to-use trademark applications constituting Excluded Property), including (i) the Trademarks listed on Exhibit A hereto, (ii) all applications, registrations and recordings thereof, (iii) all extensions and renewals thereof, (iv) the right to sue for past, present and future infringement or dilution of any of the foregoing or for any injury to goodwill, (v) all proceeds (including licenses, royalties, income, payments, claims, damages and proceeds of suit) now or hereafter due or payable with respect to the foregoing and (vi) all rights corresponding to any of the foregoing, in each case, to the extent constituting Collateral. The Assignee’s security interest in the Trademarks can only be terminated in accordance with the terms of the Security Agreement.

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IN WITNESS WHEREOF, the undersigned have caused this Notice of Security Interest in Trademarks to be duly executed and delivered as of the date first above written.

PERIGON FINANCIAL HOLDINGS, LLC, as the
Assignor

Charles Pinson-Rose

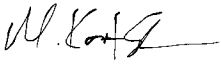
By: _____

Name: Charles Pinson-Rose

Title: Chief Financial Officer

Acknowledged by:

ARES CAPITAL CORPORATION,
as the Assignee

By: 

Name: M. Kort Schnabel
Title: Authorized Signatory

Exhibit A to Notice of Security Interest in Trademarks

Registered Trademarks:

Trademark	Country/ Jurisdiction	Registration No.	Registration Date	Owner
PERIGON	United States	3047867	January 24, 2006	Perigon Financial Holdings, LLC

Trademark Applications:

None.