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# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 Assignment ID: TMI135891

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Diversified Coffee Products, LLC		04/01/2024	Limited Liability Company: MINNESOTA

#### **RECEIVING PARTY DATA**

Company Name:	Stellus Capital Investment Corporation, as Administrative Agent
Street Address:	c/o Stellus Capital Management, LLC
Internal Address:	4400 Post Oak Parkway, Suite 2200
City:	Houston
State/Country:	TEXAS
Postal Code:	77027
Entity Type:	Corporation: MARYLAND

#### **PROPERTY NUMBERS Total: 3**

Property Type	Number	Word Mark
Serial Number:	98233652	UP COFFEE ROASTERS
Serial Number:	98233644	UP COFFEE ROASTERS
Serial Number:	98233637	UP COFFEE ROASTERS

# **CORRESPONDENCE DATA**

**Fax Number:** 7043311159

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 7043311000

**Email:** christinaquinn@mvalaw.com,PTO\_TMconfirmation@mvalaw.com

Correspondent Name: John E. Slaughter III

Address Line 1: 100 North Tryon Street

Address Line 2: Suite 4700

Address Line 4: Charlotte, NORTH CAROLINA 28202-4003

NAME OF SUBMITTER: CHRISTINA QUINN SIGNATURE: CHRISTINA QUINN	ATTORNEY DOCKET NUMBER:	036760.000387
	NAME OF SUBMITTER:	CHRISTINA QUINN
	SIGNATURE:	CHRISTINA QUINN
<b>DATE SIGNED:</b> 04/01/2024	DATE SIGNED:	04/01/2024

**Total Attachments: 4** 

TRADEMARK REEL: 008386 FRAME: 0219

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TRADEMARK REEL: 008386 FRAME: 0220 NOTICE

OF

#### GRANT OF SECURITY INTEREST

IN

#### **TRADEMARKS**

Please be advised that pursuant to the Security and Pledge Agreement dated as of April 1, 2024 (as the same may be amended, modified, extended or restated from time to time, the "<u>Agreement</u>") by and among the obligors party thereto (each an "<u>Obligor</u>" and collectively, the "<u>Obligors</u>") and Stellus Capital Investment Corporation, as administrative agent for the holders of the Secured Obligations referenced therein (in such capacity, the "<u>Administrative Agent</u>"), the undersigned Obligor has granted a continuing security interest to the Administrative Agent for the benefit of the holders of the Secured Obligations in the trademarks and trademark applications set forth on <u>Schedule 1</u>.

THIS NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS AND ANY CLAIMS, CONTROVERSY, DISPUTE OR CAUSE OF ACTION (WHETHER IN CONTRACT OR TORT OR OTHERWISE) BASED UPON, ARISING OUT OF OR RELATING TO THIS NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS AND THE TRANSACTIONS CONTEMPLATED HEREBY SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK.

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TRADEMARK REEL: 008386 FRAME: 0221 The Obligors and the Administrative Agent, on behalf of the holders of the Secured Obligations, hereby acknowledge and agree that the security interest in the foregoing trademarks and trademark applications (i) may only be terminated in accordance with the terms of the Agreement and (ii) is not to be construed as an assignment of any trademark or trademark application.

Very truly yours,

DIVERSIFIED COFFEE PRODUCTS, LLC a Minnesota limited liability company

By: Andrew & Drister

Name: Andrew Deister Title: Chief Executive Officer

> STELLUS – FAIRWAVE NGSI TRADEMARKS

TRADEMARK REEL: 008386 FRAME: 0222

Acknowledged and Accepted:

STELLUS CAPITAL INVESTMENT CORPORATION, as Administrative Agent

By: W. ATH H

Name: W. Todd Huskinson Title: Authorized Signatory

> STELLUS – FAIRWAVE NGSI TRADEMARKS

TRADEMARK
REEL: 008386 FRAME: 0223

# Schedule 1

# Diversified Coffee Products, LLC (Minnesota Limited Liability Company)

# U.S. Trademarks

# Trademark Applications

Mark	Appl. No.	Filing Date
UP COFFEE ROASTERS and Design	98233652	10/20/2023
UP COFFEE ROASTERS and Design	98233644	10/20/2023
UP COFFEE ROASTERS and Design	98233637	10/20/2023

TRADEMARK REEL: 008386 FRAME: 0224

RECORDED: 04/01/2024